

**BAC NINH CLEAN WATER  
JOINT STOCK COMPANY**

**THE SOCIALIST REPUBLIC OF VIETNAM**  
**Independence – Freedom – Happiness**

No.: 03/BC-BNW

Bac Ninh, dated January 23, 2025

**REPORT ON CORPORATE GOVERNANCE**  
**(The year 2024)**

**To:** - **THE STATE SECURITIES COMMISSION**  
- **HANOI STOCK EXCHANGE**

Name of company: Bac Ninh Clean Water Joint Stock Company  
Address of headoffice: No. 57 Ngo Gia Tu, Thi Cau Ward, Bac Ninh City, Bac Ninh  
Province, Vietnam  
Telephone: 0222.3824369; 3825358 - Fax: 0222.3822708  
Charter capital: VND 375.493.910.000  
Stock symbol: BNW  
Governance model: Governance model: General Meeting of Shareholders, Board of  
Directors, Board of Supervisors and General Director  
The implementation of internal audit: Not yet implemented

**I. ACTIVITIES OF THE GENERAL MEETING OF SHAREHOLDERS:**

| No. | Resolution No.   | Date       | Content  |
|-----|------------------|------------|--|
| 1   | 01/2024/NQ-DHĐCĐ | 19/04/2024 | <p>1- Approved the following reports:</p> <ul style="list-style-type: none"> <li>• Report on the activities of the Board of Directors in 2023</li> <li>• Report on the activities of the Board of Supervisors in 2023</li> <li>• Audited financial statements for the year 2023</li> <li>• Report on the results of production and business activities in 2023 and the direction and tasks for 2024</li> </ul> <p>2- Approved the profit distribution plan and dividend payment for the year 2023</p> <p>3- Approved for the proposed profit distribution plan and dividend payment for the year 2024</p> <p>4- Approved for the remuneration for the Board of Directors, Supervisory Board, Company Secretary/Administrator for the year 2023 and the plan for 2024</p> <p>5- Approved the selection of an independent auditing firm for the year 2024</p> <p>6- Approved the resignation of Mr. Ngo Minh</p> |

|  |  |  |  |
|--|--|--|--|
|  |  |  | <p>Chau from his position as a member of Board of Directors of Bac Ninh Clean Water JSC, effective from May 1, 2024</p> <p>7- Approved the resignation of Mr. Nguyen Van An from his position as a member of Board of Supervisors of Bac Ninh Clean Water JSC, effective from May 1, 2024</p> <p>8- Approved the results of the additional election of members to the Board of Directors and the Board of Supervisors for the term 2022-2027, effective from May 1, 2024</p> |
|--|--|--|--|

## II. ACTIVITIES OF BOARD OF DIRECTORS

### 1. Information about the members of the Board of Directors (BOD):

| No. | Board of Directors 's members | Position                            | The date becoming/ceasing to be the member of the Board of Directors |                   |
|-----|-------------------------------|-------------------------------------|--|-------------------|
|     |                               |                                     | Date of appointment  | Date of dismissal |
| 1   | Mr. Nguyen Tien Long          | Chairman of BOD                     | 04/2022  |                   |
| 2   | Mr. Luu Xuan Tam              | BOD member, General Director        | 04/2022  |                   |
| 2   | Mr. Nguyen Dinh Ton           | BOD member, Deputy General Director | 04/2022  |                   |
| 4   | Mrs. Vu Thi Chuyen            | BOD member                          | 04/2022  |                   |
| 5   | Mr. Ngo Minh Chau             | BOD member                          | 04/2022  | 05/2024           |
| 6   | Mr. Nguyen Xuan Quyet         | BOD member                          | 05/2024  |                   |

### 2. Meetings of the Board of Directors

| No. | Board of Directors 's members | Number of meetings attended by BOD | Attendance rate (%) | Reasons for absence  |
|-----|-------------------------------|------------------------------------|---------------------|----------------------|
| 1   | Mr. Nguyen Tien Long          | 06/06                              | 100%                |                      |
| 2   | Mr. Luu Xuan Tam              | 06/06                              | 100%                |                      |
| 3   | Mr. Nguyen Dinh Ton           | 06/06                              | 100%                |                      |
| 4   | Mrs. Vu Thi Chuyen            | 06/06                              | 100%                |                      |
| 5   | Mr. Ngo Minh Chau             | 03/06                              | 100%                | Dismissed in 05/2024 |
| 6   | Mr. Nguyen Xuan Quyet         | 03/06                              | 100%                | Appointed in 05/2024 |

### 3. Supervising the Board of Management by the Board of Directors:



The supervisory activities of the members of the Board of Directors are carried out in accordance with the provisions of the law and the Company's Charter.

The General Meeting of Shareholders has approved the business and production plan for 2024, with total revenue expected to reach VND 274,91 billion and net profit after tax projected at VND 28,21 billion.

**4. Activities of the Board of Directors' subcommittees:**

None

**5. Resolutions of the Board of Directors:**

| No. | Resolution No.                              | Contents (Summary)   | Approval rate |
|-----|---|--|---------------|
| 1.  | 03/NQ/HĐQT-NSBN dated 24/01/24 (session 10) | <ul style="list-style-type: none"> <li>- Approved the Consulting Contract for the implementation of Package No. 11</li> <li>- Approved Contract No. 08/2024/HD-XD-LD to implement construction package No. 8 and Contract No. 12/2024/HD-TVGS-LD to implement package No. 12</li> <li>- Approved the appendix to the contract for providing and using EK Cloud information technology services - Water supply management package</li> <li>- Interim cash dividend payment for the first period of 2023</li> </ul>  | 5/5           |
| 2.  | 07/NQ/HĐQT-NSBN dated 20/03/24 (session 11) | <ol style="list-style-type: none"> <li>1. Documents presented at the Annual General Meeting of Shareholders in 2024</li> <li>2. Approved the renaming of the Pho Moi Town Water Supply Enterprise</li> <li>3. Approved the consulting contract for the preparation of the feasibility study report for the project "Investment in the construction of a management and operation building for the water supply system and the booster pump station"</li> <li>4. Approved the plan, estimate, and construction contract for the project: Relocation of the clean water pipeline to serve the renovation and expansion of Nga Bridge (Section 2, D630 and D710 pipelines on both sides of the Nga Bridge)</li> <li>5. Approved Appendix No. 02 to the Work Assignment Agreement No. 30/05/2022/TTGV regarding the construction of the project: Upgrading the capacity of the Cho Town Water Treatment Plant - Treatment Area.</li> <li>6. Approved the construction contract (Package 2 - All construction works) for the project "Relocation of clean water pipeline for land clearance in the investment project to renovate and expand Nga Bridge and the access road at both ends of the bridge" (Section 1, D630, D200 pipelines along the Nga Bridge body)</li> <li>7. Approved the adjustment to the investment policy for the project: "Raw water pump station and sedimentation tank serving the Cho Town Water Supply Plant."</li> </ol> | 5/5           |
| 3.  | 17/NQ/HĐQT-NSBN dated 22/4/2024             | <ul style="list-style-type: none"> <li>- Payment of the remaining dividend for the year 2023 in cash.</li> </ul>   | 5/5           |



|    |  |  |     |
|----|--|--|-----|
| 4. | 19/NQ/HĐQT-NSBN dated 20/5/2023 (for opinion)  | Approved Construction Contract No. 0404/2024/HĐ-XD for the execution of "Package 02: Construction and procurement of equipment for the Substation" – the "Substation" component – for the project "Upgrading the capacity of the Cho Town Water Treatment Plant - Power supply for the raw water pump station" under the "Investment Project for the construction of a raw water pump station and sedimentation tank serving the Cho Town Water Supply Plant, Yen Phong District."   | 5/5 |
| 5. | 21/NQ/HĐQT-NSBN dated 02/07/24 (session 12)    | <p>1. Approved the Appendix to the Construction Contract No. PL01-08/2024/HĐ-XD-LD regarding the extension of the project timeline for the implementation of "Package 8: Construction, supply, installation of equipment, and project insurance for the following components: raw water pumping station, raw water pipeline, treatment plant, medium-voltage power lines, 400kVA and 1000kVA substations, and trial operation" within the project: Investment in the construction of the Bac Ninh Surface Water Treatment Plant (Capacity 45.000 m<sup>3</sup>/day) and the transmission pipeline.</p> <p>2. Approved the Appendix to the Contract No. PL01-02/HĐKT regarding the preparation of compensation, support, and resettlement documents for the land designated for the settling pond within the Bac Ninh Surface Water Treatment Plant project (Capacity 45.000 m<sup>3</sup>/day) and the transmission pipeline (extension).</p> <p>3. Approved the appointment of Mr. Tran Khanh Tinh as Deputy General Director – Head of the Department of Science and Technology.</p> <p>4. Approved the appointment of the Director of Que Vo Water Supply Enterprise.</p> <p>5. Approved for the appointment of the Director of the Bac Ninh City Water Treatment Plant (Groundwater).</p> <p>6. Approved amendments to the regulations on recruitment, dismissal, appointment, and removal (not Approved).</p> | 5/5 |
| 6. | 25/NQ/HĐQT-NSBN dated 22/10/2024 (for opinion) | <p>1. Approved the Project Management Consulting Contract for the investment in the construction of the management and operation building of the water supply system and booster pump station.</p> <p>2. Approved the Consulting Contract for the preparation of the application for the surface water extraction license for the Bac Ninh City Surface Water Treatment Plant project, with an extraction capacity of 100.000 m<sup>3</sup>/day.</p>   | 5/5 |
| 7. | 27/NQ/HĐQT-NSBN dated 02/12/2024 (for opinion) | Approved the Principle Contract: Provision, construction, and installation of D500 centrifugal piles, along with static pile load testing, for the project "Investment in the construction of the management and operation building of the water supply system and booster pump station."  | 5/5 |
| 8. | 29/NQ/HĐQT-NSBN dated 16/12/24 (session        | 1. Approved the decision to Approved the adjustment of the Investment Project for the construction of the management   | 5/5 |



|    |   |  |     |
|----|---|--|-----|
|    | 13)   | <p>and operation building of the water supply system and booster pump station.</p> <p>2. Approved the contractor selection regulations for the Investment Project for the construction of the management and operation building of the water supply system and booster pump station.</p> <p>3. Approved the consulting contract for the design of construction drawings and cost estimation for the Investment Project for the construction of the management and operation building of the water supply system and booster pump station.</p>  |     |
| 9. | 31/NQ/HĐQT-NSBN dated 25/12/24 (session 14) | <p>1. Approved the report on the business performance results for 2024 and the business plan for 2025.</p> <p>2. Approved the principle contract for the purchase of materials and chemicals for the year 2025.</p> <p>3. Decision on the investment plan and the contract for purchasing water quality monitoring equipment at the Bac Ninh Surface Water Treatment Plant.</p> <p>4. Approved the Appendix to the construction contract for Package 08 – Treatment Area of the project: Investment in the construction of the Bac Ninh City Surface Water Treatment Plant (capacity 45.000 m<sup>3</sup>/day) and the transmission pipeline.</p> <p>5. Approved the loan agreement for the Settling Pond section of the Bac Ninh Surface Water Treatment Plant project (capacity 45.000 m<sup>3</sup>/day) and the transmission pipeline with the Bac Ninh Provincial Development Investment Fund, and the signing of a mortgage contract for the Bac Ninh Surface Water Treatment Plant project (capacity 45.000 m<sup>3</sup>/day) and the transmission pipeline with the Bac Ninh Provincial Development Investment Fund and BIDV Kinh Bac Branch.</p> <p>6. Allowance for doubtful receivables.</p> <p>7. Approved the salary plan for the Board of Management and employees for 2025, and approval of the salary implementation for 2024 and the plan for 2025 as reported by the General Director.</p> <ul style="list-style-type: none"> <li>- 2024 salary fund: 53.487 (million VND)</li> <li>- 2024 employee bonuses: 1.000 (million VND)</li> <li>- 2025 salary fund:</li> </ul> <p>The Board of Management is tasked with developing the salary unit price for 2025 and beyond, to be submitted to the Board of Directors in January 2025.</p> <p>8. Approved the budget for purchasing Lunar New Year gifts for the year of the Snake and the administrative expenses for the Lunar New Year for the water supply plants.</p> <p>9. Approved the contract for purchasing a car.</p> | 5/5 |

### III. BOARD OF SUPERVISORS

#### 1. Information about Board of Supervisor's members



| No. | Members of Board of Supervisors | Position | The date becoming/ceasing to be the member of the Board of Supervisors | Qualification                         |
|-----|---------------------------------|----------|--|---------------------------------------|
| 1   | Mrs. Tran Thi Huong             | Chief    | 04/2022  | Bachelor of Accounting and Statistics |
| 2   | Mrs. Nguyen Thi Mai Lan         | Member   | 04/2022  | Bachelor of Accounting                |
| 3   | Mr. Nguyen Hong Thien           | Member   | 04/2024  | Bachelor of Laws                      |

## 2. Meetings of Board of Supervisors

| No. | Members of Board of Supervisors | Number of meetings attended | Attendance rate (%) | Voting rate (%) | Reasons for absence |
|-----|---------------------------------|-----------------------------|---------------------|-----------------|---------------------|
| 1   | Mrs. Tran Thi Huong             | 4/4                         | 100%                | 100%            |                     |
| 2   | Mrs. Nguyen Thi Mai Lan         | 4/4                         | 100%                | 100%            |                     |
| 3   | Mr. Nguyen Hong Thien           | 4/4                         | 100%                | 100%            |                     |

## 3. Supervising Board of Directors, Board of Management and shareholders by Board of Supervisors

### ❖ *Regarding the implementation of the functions and duties of Board of Supervisors of the Company*

- Discussion of certain aspects related to the monitoring of the operational management of the Board of Directors and the Board of Management in accordance with the resolutions of the General Meeting of Shareholders. Review of documents, accounting entries, bookkeeping, and compliance with state obligations. Monitoring the implementation of resolutions approved by Board of Directors.
- Development of a plan for monitoring and auditing the activities of the Board of Directors and the Board of Management during the year 2024.
- Discussion of quarterly financial reports; semi-annual financial reports; annual financial reports, with the aim of evaluating the reasonableness of financial data and compliance with regulations.
- Supervision of information disclosure in accordance with legal requirements for public companies, including the disclosure of changes in key personnel.
- Evaluation of the Annual Business Production Performance Report.
- Review and analysis of the 2023 financial statement data to be presented at the 2024 Annual General Meeting of Shareholders.



- Participation in Board of Directors meetings regarding decisions on matters related to business production operations.
- Contribution of opinions on draft contracts, development, and adjustments to internal governance regulations and rules.
- Review of the processes for organizing the General Meeting of Shareholders and the Extraordinary General Meeting of Shareholders in 2024, in accordance with regulations.
- Participation in and evaluation of the year-end inventory of assets and equipment at the company's units and factories.
- Proposing recommendations to the Board of Management regarding the revision of cost estimates for various departments to align with actual conditions.
- ❖ ***Control the Resolutions and Decisions of the Company's Board of Directors***
  - The resolutions of the Board of Directors for the year 2024 have focused on directing the implementation of the Company's business production plan and investment construction plan.
  - The format of the meetings, the content, meeting minutes, resolutions, decisions, and documents of the Board of Directors are in compliance with Law on Enterprises and the Company's Charter.
- ❖ ***Control the activities of the Board of Management***
  - Implementation of the resolutions and decisions of the Board of Directors: The Company's Board of Management has fully carried out the contents of the resolutions and decisions of the Board of Directors.
  - Other matters, such as input costs, procurement contracts for materials, goods, and transportation expenses: The Board of Supervisors conducts checks using a random sampling method. Upon review, the Board of Supervisors finds that the procedures are appropriate and no violations have been detected.
  - The Company's regulations and policies are in accordance with the operational model of a joint-stock company and have been implemented and followed.
  - Execution of business production tasks in the year 2024.
- ❖ ***Shareholders' opinions***

In the year 2024, the Board of Supervisors did not receive any opinions, feedback, or proposals from shareholders regarding the Company's business production operations.

#### **4. The coordination among the Board of Supervisors, Board of Directors and the Board of Management.**

With a sense of responsibility, collaboration, and constructive engagement throughout its activities, the Board of Supervisors consistently coordinates, communicates, and provides candid feedback to the Board of Directors and the Board of Management during meetings, as well as in relation to the resolutions and decisions of the Board of Directors and the Board of Management, in alignment with the objectives of the Board of Directors and applicable policies and regulations.

The Board of Supervisors has been provided with comprehensive information



regarding the decisions of the Board of Directors and the Board of Management and has received full cooperation from the Company's departments in facilitating access to documents and materials necessary for the inspection and oversight process.

**5. Other activities of the Board of Supervisors:**

None

**IV. BOARD OF MANAGEMENT**

| No. | Members of Board of Management                   | Date of birth | Qualification                      | Date of appointment |
|-----|--|---------------|------------------------------------|---------------------|
| 1   | Mr. Luu Xuan Tam<br>- General Director           | 5/10/1970     | Irrigation engineer                | 04/2021             |
| 2   | Mr. Nguyen Dinh Ton<br>- Deputy General Director | 22/6/1975     | Master of Business Administration  | 04/2020             |
| 3   | Mr. Tran Khanh Tinh<br>- Deputy General Director | 28/05/1982    | Water Supply and Drainage Engineer | 07/2024             |

**V. CHIEF ACCOUNTANT**

| Name                   | Date of birth | Qualification         | Date of appointment |
|------------------------|---------------|-----------------------|---------------------|
| Mrs. Nguyen Thi Phuong | 29/08/1989    | Bachelor of Economics | 04/2021             |

**VI. TRAINING COURSES ON CORPORATE GOVERNANCE**

The Company continues to create all necessary conditions for appointing staff to participate in training programs aimed at enhancing their knowledge of corporate governance, organized by relevant competent authorities.

**VII. THE LIST OF AFFILIATED PERSONS OF THE COMPANY ACCORDING TO THE PROVISIONS OF CLAUSE 34, ARTICLE 6 OF LAW ON SECURITIES AND TRANSACTIONS OF AFFILIATED PERSONS OF THE COMPANY:**

1. The list of affiliated persons of the Company: *Appendix No. 01 attached.*
2. Transactions between the Company and its affiliated persons or between the Company and major shareholder, internal persons and affiliated persons: *Appendix No. 02 attached*
3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power: *None*
4. Transaction between the company and other objects:
  - 4.1. Transactions between the Company and the company that its members of Board of Management, the Board of Supervisors, General Director have been founding



members or members of Board of Directors, or General Director in three (03) latest years (calculated at the time of reporting): *None*

4.2. Transactions between the Company and the company that its affiliated persons with members of Board of Directors, Board of Supervisors, General Director and other managers as a member of Board of Directors, General Director: *None*

4.3. Other transactions of the Company (if any) may bring material or non- material benefits for members of Board of Directors, members of the Board of Supervisors, General Director and other managers: *None*

#### **VIII. SHARE TRANSACTIONS OF INTERNAL PERSONS AND THEIR AFFILIATED PERSONS:**

1. The list of internal persons and their affiliated persons: *Appendix No. 03 attached.*
2. Transactions of internal persons and affiliated persons with shares of the Company: *None*

#### **IX. OTHER SIGNIFICANT ISSUES: None.**

***Recipients:***

- As above;
- Archived: Office. ✓

**ON BEHALF OF BOARD OF DIRECTORS  
CHAIRMAN**



**Nguyen Tien Long**





**APPENDIX 01: THE LIST OF AFFILIATED PERSONS OF THE COMPANY**

| No . | Name of organization/ individual | Securities trading account (if any) | Position at the Company (if any)       | NSH No., date of issue, place of issue | Address | Time of starting to be affiliated person | Time of ending to be affiliated person | Reasons  | Relationship with the Company    |
|------|----------------------------------|-------------------------------------|--|--|---------|--|--|----------|----------------------------------|
| 1    | Mr. Nguyen Tien Long             |                                     | Chairman of BOD                        |  |         | 04/2022                                  |  |          | Chairman of BOD                  |
| 2    | Mr. Luu Xuan Tam                 |                                     | Member of BOD, General Director        |  |         | 04/2022                                  |  |          | Member of BOD, General Director  |
| 3    | Mr. Nguyen Dinh Ton              |                                     | Member of BOD, Deputy General Director |  |         | 04/2022                                  |  |          | Deputy General Director          |
| 4    | Mr. Tran Khanh Tinh              |                                     | Deputy General Director                |  |         | 07/2024                                  |  |          | Deputy General Director          |
| 5    | Mrs. Vu Thi Chuyen               |                                     | Member of BOD                          |  |         | 04/2022                                  |  |          | Member of BOD, Major Shareholder |
| 6    | Mr. Nguyen Xuan Quyet            |                                     | Member of BOD                          |  |         | 04/2024                                  |  |          | Member of BOD                    |
| 7    | Mr. Ngo Minh Chau                |                                     | Member of BOD                          |  |         | 04/2022                                  | 04/2024                                | Resigned | Member of BOD                    |
| 8    | Mrs. Tran Thi Huong              |                                     | Chief of Board of Supervisors          |  |         | 04/2022                                  |  |          | Chief of Board of Supervisors    |
| 9    | Mrs. Nguyen Thi Mai Lan          |                                     | Member of Board of Supervisors         |  |         | 04/2022                                  |  |          | Member of Board of Supervisors   |
| 10   | Mr. Nguyen Hong Thien            |                                     | Member of Board of                     |  |         | 04/2024                                  |  |          | Member of Board of               |



| No . | Name of organization/ individual        | Securities trading account (if any) | Position at the Company (if any) | NSH No., date of issue, place of issue | Address | Time of starting to be affiliated person | Time of ending to be affiliated person | Reasons  | Relationship with the Company                     |
|------|---|-------------------------------------|----------------------------------|--|---------|--|--|----------|---|
|      |   |                                     | Supervisors                      |  |         |  |  |          | Supervisors                                       |
| 11   | Mr. Nguyen Van An                       |                                     | Member of Board of Supervisors   |  |         | 04/2022                                  | 04/2024                                | Resigned | Member of Board of Supervisors                    |
| 12   | Mrs. Nguyen Thi Phuong                  |                                     | Head of the Finance Department   |  |         | 04/2022                                  |  |          | Head of the Finance Department                    |
| 13   | Long Phuong Group JSC                   |                                     |                                  |  |         | 04/2022                                  |  |          | Major shareholder, related to the Chairman of BOD |
| 14   | People's Committee of Bac Ninh province |                                     |                                  |  |         | 04/2022                                  |  |          | Major shareholder                                 |



**APPENDIX 02: TRANSACTIONS BETWEEN THE COMPANY AND ITS AFFILIATED PERSONS OR BETWEEN THE COMPANY AND ITS MAJOR SHAREHOLDERS, INTERNAL PERSONS AND AFFILIATED PERSONS**

| No. | Name of organization/ individual        | Relationship with the Company                      | No.* date of issue, place of issue   | Address | Time of transactions with the Company                | Resolution No. or Decision No. Approved by General Meeting of Shareholders/ Board of Directors (if any, specifying date of issue) | Content, quantity, total value of transaction   | Note |
|-----|---|--|--|---------|--|---|---|------|
| 1   | Long Phuong Group JSC                   | Major shareholder, related to the Chairman of BOD  | 2300224741, issued on April 9, 2002 by the Department of Planning and Investment of Bac Ninh |         | 25/01/2024<br>19/04/2024<br>04/10/2024               |   | Purchase of goods and services<br>Total value: 167.056.842 VND.                                 |      |
| 2   |   |  |  |         | 18/01/2024<br>15/01/2024<br>25/07/2024<br>28/10/2024 | 25/NQ/HDQT-NSBN dated May 21, 2020  | Payment of depreciation capital from joint venture activities<br>Total value: 1.532.748.040 VND |      |
| 3   |   |  |  |         | 15/04/2024<br>25/07/2024<br>28/10/2024               | 25/NQ/HDQT-NSBN dated May 21, 2020  | Profit distribution from joint venture activities<br>Total value: 10.490.171.516 VND            |      |
| 4   |   |  |  |         | Năm 2024   | 01/2023/NQ-ĐHĐCĐ  | Receive capital contribution for business cooperation<br>Total value: 4.619.307.238 VND         |      |
| 5   | People's Committee of Bac Ninh province | Major shareholder, the Company's Affiliated Person |  |         | 31/12/2024   | 01/2022/NQ-ĐHĐCĐ  | Fee for operating the surface water treatment plant<br>Total value: 16.118.205.030 VND.         |      |
| 6   |   |  |  |         | 15/07/2024   | 01/2024/NQ-ĐHĐCĐ  | Payment of profit from the surface water treatment plant<br>Total value: 4.834.692.572 VND      |      |



## APPENDIX 03: THE LIST OF INTERNAL PERSONS AND THEIR AFFILIATED PERSONS

[illegible]

| No.  | Name of organization/<br>individual            | Securities trading account<br>(if any) | Position at the company (if any)       | ID card / Business registration |               |                | Address | Number of shares owned at the end of the period | Percentage of share ownership at the end of the period (%) | Note   |
|------|--|--|--|---------------------------------|---------------|----------------|---------|---|--|--|
|      |  |  |  | No.                             | Date of issue | Place of issue |         |   |  |  |
| 1.15 | Phan Thi Lang                                  |  |  |                                 |               |                |         |   |  | brother  |
| 1.16 | Nguyen Tien Doan                               |  |  |                                 |               |                |         |   |  | Sister in law  |
|      |  |  |  |                                 |               |                |         |   |  | Father   |
| 2    | Luu Xuan Tam                                   |  | Vice Chairman of BOD, General Director |                                 |               |                |         | 56.900  | 0,15%  |  |
|      | <i>Affiliated organizations</i>                |  |  |                                 |               |                |         |   |  |  |
| 2.1  | Bac Ninh Water Supply No.2 Joint Stock Company |  | Không                                  |                                 |               |                |         | 0   | 0%   |  |
| 2.2  | People's Committee of Bac Ninh province        |  |  |                                 |               |                |         |   |  | Mr. Luu Xuan Tam is the representative of State capital. |
|      | <i>Affiliated individuals</i>                  |  |  |                                 |               |                |         |   |  |  |
| 2.3  | Luu Van Quyet                                  |  | None                                   |                                 |               |                |         | 0   | 0%   | Father   |
| 2.4  | Ta Thi Phan                                    |  | None                                   |                                 |               |                |         | 0   | 0%   | Mother   |
| 2.5  | Le Cong Nhiem                                  |  | None                                   |                                 |               |                |         | 0   | 0%   | Father in law  |
| 2.6  | Cat Thi Soan                                   |  | None                                   |                                 |               |                |         | 0   | 0%   | Mother in law  |
| 2.7  | Le Thi Hang                                    |  | None                                   |                                 |               |                |         | 0   | 0%   | Wife   |
| 2.8  | Luu Thi Tuyet Nhung                            |  | None                                   |                                 |               |                |         | 0   | 0%   | Daughter   |
| 2.9  | Luu Xuan Nam                                   |  | None                                   |                                 |               |                |         | 0   | 0%   | Child  |



| No.  | Name of organization/<br>individual     | Securities trading account<br>(if any) | Position at the company<br>(if any)   | ID card / Business registration |               |                | Address | Number of shares owned at the end of the period | Percentage of share ownership at the end of the period (%) | Note  |
|------|---|--|---------------------------------------|---------------------------------|---------------|----------------|---------|---|--|---|
|      |   |  |                                       | No.                             | Date of issue | Place of issue |         |   |  |   |
| 2.10 | Nguyen Thi Le Thu                       |  |                                       |                                 |               |                |         |   |  | Daughter in law   |
| 2.11 | Nguyen Vinh Phat                        |  | None                                  |                                 |               |                |         | 0   | 0%   | Son in law  |
| 2.12 | Luu Tuan Cuong                          |  | None                                  |                                 |               |                |         | 0   | 0%   | Younger brother   |
| 2.13 | Luu Thi Thuan                           |  | None                                  |                                 |               |                |         | 0   | 0%   | Younger sister  |
| 2.14 | Luu Tuan Phong                          |  | None                                  |                                 |               |                |         | 0   | 0%   | Younger brother   |
| 2.15 | Nhu Thi Thu Huong                       |  | Accounting staff of the company       |                                 |               |                |         | 5.900   | 0,02%  | Sister in law   |
| 2.16 | Nguyen Kim Huan                         |  | None                                  |                                 |               |                |         | 0   | 0%   | Brother in law  |
| 2.17 | Nguyen Thi Minh Chau                    |  | Customer service staff.               |                                 |               |                |         | 5.300   | 0,01%  | Sister in law   |
| 3    | Nguyen Dinh Ton                         |  | Member of BOD/Deputy General Director |                                 |               |                |         | 5.900   | 0,02%  |   |
|      | <i>Affiliated organizations</i>         |  |                                       |                                 |               |                |         |   |  |   |
| 3.1  | People's Committee of Bac Ninh province |  |                                       |                                 |               |                |         |   |  | Mr. Nguyen Dinh Ton is the representative of State capital. |

| No.  | Name of organization/<br>individual | Securities trading account<br>(if any) | Position at the company (if any) | ID card / Business registration |               |                | Address | Number of shares owned at the end of the period | Percentage of share ownership at the end of the period (%) | Note           |
|------|-------------------------------------|--|----------------------------------|---------------------------------|---------------|----------------|---------|---|--|----------------|
|      |                                     |  |                                  | No.                             | Date of issue | Place of issue |         |   |  |                |
|      | <i>Affiliated individuals</i>       |  |                                  |                                 |               |                |         |   |  |                |
| 3.2  | Nguyen Dinh Mau                     |  | None                             |                                 |               |                |         | 0   | 0%   | Father         |
| 3.3  | Nguyen Thi Tiep                     |  | None                             |                                 |               |                |         | 0   | 0%   | Mother         |
| 3.4  | Nguyen Dinh Mao                     |  | None                             |                                 |               |                |         | 0   | 0%   | Brother        |
| 3.5  | Ngo Thi Nga                         |  | None                             |                                 |               |                |         | 0   | 0%   | Sister in law  |
| 3.6  | Nguyen Dinh Mao                     |  | None                             |                                 |               |                |         | 0   | 0%   | Brother        |
| 3.7  | Nguyen Thi Hong                     |  | None                             |                                 |               |                |         | 0   | 0%   | Sister in law  |
| 3.8  | Nguyen Tien Loc                     |  | None                             |                                 |               |                |         | 0   | 0%   | Brother in law |
| 3.9  | Nguyen Thi Lieu                     |  | None                             |                                 |               |                |         | 0   | 0%   | Sister         |
| 3.10 | Nguyen Huu Huan                     |  | None                             |                                 |               |                |         | 0   | 0%   | Brother in law |
| 3.11 | Nguyen Thi Ly                       |  | None                             |                                 |               |                |         | 0   | 0%   | Sister         |
| 3.12 | Dang Van Ha                         |  | None                             |                                 |               |                |         | 0   | 0%   | Father in law  |
| 3.13 | Nguyen Thi Sach                     |  | None                             |                                 |               |                |         | 0   | 0%   | Mother in law  |
| 3.14 | Dang Thi Hang                       |  | None                             |                                 |               |                |         | 0   | 0%   | Wife           |
| 3.15 | Nguyen Dang Quang Minh              |  | None                             |                                 |               |                |         | 0   | 0%   | Child          |
| 3.16 | Nguyen Dang Minh Phuong             |  | None                             |                                 |               |                |         | 0   | 0%   | Child          |
| 4    | Vu Thi Chuyen                       |  | Member of BOD                    |                                 |               |                |         | 1.901.661                                       | 5,06%  |                |
|      | <i>Affiliated organizations</i>     |  |                                  |                                 |               |                |         |   |  |                |
| 4.1  | Duc Thuy Company Limited            |  |                                  |                                 |               |                |         | 0   | 0%   |                |
|      | <i>Affiliated individuals</i>       |  |                                  |                                 |               |                |         |   |  |                |
| 4.2  | Ngo Trung Dung                      |  | Không                            |                                 |               |                |         | 0   | 0%   | Husband        |



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|------|-------------------------------------|--|----------------------------------|---------------------------------|---------------|----------------|---------|---|--|----------------|
|      |                                     |  |                                  | No.                             | Date of issue | Place of issue |         |   |  |                |
| 4.3  | Ngô Minh Châu                       |  | Không                            |                                 |               |                |         | 0   | 0%   | Child          |
| 4.4  | Ngô Trung Kiên                      |  | Không                            |                                 |               |                |         | 0   | 0%   | Child          |
| 4.5  | Vũ Công Chính                       |  | Không                            |                                 |               |                |         | 0   | 0%   | Father         |
| 4.6  | Lương Thị Mai                       |  | Không                            |                                 |               |                |         | 0   | 0%   | Mother         |
| 4.7  | Vũ Công Hải                         |  |                                  |                                 |               |                |         |   |  | Brother        |
| 4.8  | Đường Thị Ly                        |  |                                  |                                 |               |                |         |   |  | Sister in law  |
| 4.9  | Vũ Thị Ban                          |  |                                  |                                 |               |                |         |   |  | Mother in law  |
| 4.10 | Ngô Quốc Thanh                      |  |                                  |                                 |               |                |         |   |  | Father in law  |
| 5    | Nguyễn Xuân Quyet                   |  | Member of BOD                    |                                 |               |                |         | 0   | 0%   |                |
| -    | <i>Affiliated organizations</i>     |  |                                  |                                 |               |                |         |   |  |                |
| -    |                                     |  |                                  |                                 |               |                |         |   |  |                |
|      | <i>Affiliated individuals</i>       |  |                                  |                                 |               |                |         |   |  |                |
| 5.1  | Nguyễn Huyền My                     |  | Staff                            |                                 |               |                |         | 5.500   | 0,01%  | Wife           |
| 5.2  | Nguyễn Đức Hương                    |  | None                             |                                 |               |                |         | 0   | 0%   | Father         |
| 5.3  | Vũ Thị Bat                          |  |                                  |                                 |               |                |         | 0   | 0%   | Mother         |
| 5.4  | Nguyễn Khanh Linh                   |  | None                             |                                 |               |                |         | 0   | 0%   | Child          |
| 5.5  | Nguyễn Ngọc Anh                     |  | None                             |                                 |               |                |         | 0   | 0%   | Child          |
| 5.6  | Nguyễn Thanh Nam                    |  | None                             |                                 |               |                |         | 0   | 0%   | Child          |
| 5.7  | Nguyễn Thị Quyên                    |  | None                             |                                 |               |                |         | 0   | 0%   | Full sibling   |
| 5.8  | Nguyễn Thị Hồng                     |  | None                             |                                 |               |                |         | 0   | 0%   | Full sibling   |
| 5.9  | Nguyễn Văn Trọng                    |  | None                             |                                 |               |                |         | 0   | 0%   | Father in law  |
| 5.10 | Nguyễn Thị Ngọc Dung                |  | None                             |                                 |               |                |         | 0   | 0%   | Mother in law  |
| 5.11 | Lưu Xuân Phong                      |  | None                             |                                 |               |                |         | 0   | 0%   | Brother in law |
| 5.12 | Nguyễn Trọng Dung                   |  | None                             |                                 |               |                |         | 0   | 0%   | Brother in     |

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| No.  | Name of organization/<br>individual | Securities trading account<br>(if any) | Position at the company (if any) | ID card / Business registration |               |                | Address | Number of shares owned at the end of the period | Percentage of share ownership at the end of the period (%) | Note           |
|------|-------------------------------------|--|----------------------------------|---------------------------------|---------------|----------------|---------|---|--|----------------|
|      |                                     |  |                                  | No.                             | Date of issue | Place of issue |         |   |  |                |
|      | None                                |  |                                  |                                 |               |                |         |   |  |                |
|      | <i>Affiliated individuals</i>       |  |                                  |                                 |               |                |         |   |  |                |
| 7.1  | Nguyen Sy De                        |  | None                             |                                 |               |                |         | 0   | 0%   | Father         |
| 7.2  | Vu Thi Han                          |  | None                             |                                 |               |                |         | 0   | 0%   | Mother         |
| 7.3  | Nguyen Thi Hanh                     |  | None                             |                                 |               |                |         | 0   | 0%   | Older sister   |
| 7.4  | Le Xuan Dat                         |  | None                             |                                 |               |                |         | 0   | 0%   | Brother in law |
| 7.5  | Nguyen Thi Mai Huong                |  | None                             |                                 |               |                |         | 0   | 0%   | Older sister   |
| 7.6  | Nguyen Ngoc Trung                   |  | None                             |                                 |               |                |         | 0   | 0%   | Brother in law |
| 7.7  | Nguyen Thi Ly                       |  | None                             |                                 |               |                |         | 0   | 0%   | Younger sister |
| 7.8  | Nguyen Quang Tai                    |  | None                             |                                 |               |                |         | 0   | 0%   | Brother in law |
| 7.9  | Le Van Ton                          |  | None                             |                                 |               |                |         | 0   | 0%   | Husband        |
| 7.10 | Le Minh Trong                       |  | None                             |                                 |               |                |         | 0   | 0%   | Child          |
| 7.11 | Le Ngan Khanh                       |  | None                             |                                 |               |                |         | 0   | 0%   | Child          |
| 8    | Nguyen Hong Thien                   |  | Member of BOS                    |                                 |               |                |         | 0   | 0%   |                |
| -    | <i>Affiliated organizations</i>     |  |                                  |                                 |               |                |         |   |  |                |
|      | None                                |  |                                  |                                 |               |                |         |   |  |                |
|      | <i>Affiliated individuals</i>       |  |                                  |                                 |               |                |         |   |  |                |
| 8.1  | Nguyen Thi Xuyen                    |  | None                             |                                 |               |                |         | 0   | 0%   | Mother         |
| 8.2  | Le Van                              |  | None                             |                                 |               |                |         | 0   | 0%   | Father in law  |
| 8.3  | Tran Thi Mai                        |  | None                             |                                 |               |                |         | 0   | 0%   | Mother in law  |

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| No.      | Name of organization/<br>individual | Securities trading account<br>(if any) | Position at the company<br>(if any) | ID card / Business registration |               |                | Address | Number of shares owned at the end of the period | Percentage of share ownership at the end of the period (%) | Note           |
|----------|-------------------------------------|--|-------------------------------------|---------------------------------|---------------|----------------|---------|---|--|----------------|
|          |                                     |  |                                     | No.                             | Date of issue | Place of issue |         |   |  |                |
|          | <i>individuals</i>                  |  |                                     |                                 |               |                |         |   |  |                |
| 12.1     | Nguyen Tien Dat                     |  | None                                |                                 |               |                |         | 0   | 0%   | Father         |
| 12.2     | Nguyen Thi Dieu                     |  | None                                |                                 |               |                |         | 0   | 0%   | Mother         |
| 12.3     | Nguyen Huu Phuc                     |  | None                                |                                 |               |                |         | 0   | 0%   | Father in law  |
| 12.4     | Le Thi Gam                          |  | None                                |                                 |               |                |         | 0   | 0%   | Mother in law  |
| 12.5     | Nguyen Huu Giang                    |  | None                                |                                 |               |                |         | 0   | 0%   | Husband        |
| 12.6     | Nguyen Huu Viet Phong               |  | None                                |                                 |               |                |         | 0   | 0%   | Child          |
| 12.7     | Nguyen Thi Ha My                    |  | None                                |                                 |               |                |         | 0   | 0%   | Child          |
| 12.8     | Nguyen Thi Dao                      |  | None                                |                                 |               |                |         | 0   | 0%   | Older sister   |
| 12.9     | Nguyen Van Sy                       |  | None                                |                                 |               |                |         | 0   | 0%   | Brother in law |
| 12.10    | Nguyen Van Minh                     |  | None                                |                                 |               |                |         | 0   | 0%   | Brother in law |
| 12.11    | Nguyen Thi Nu                       |  | None                                |                                 |               |                |         | 0   | 0%   | Older sister   |
| 12.12    | Nguyen Dinh Hung                    |  | None                                |                                 |               |                |         | 0   | 0%   | Brother in law |
| 12.13    | Nguyen Thi Dong                     |  | None                                |                                 |               |                |         | 0   | 0%   | Older sister   |
| 12.14    | Nguyen Thi Huong                    |  | None                                |                                 |               |                |         | 0   | 0%   | Younger sister |
| 12.15    | Nguyen Cong Thanh                   |  | None                                |                                 |               |                |         | 0   | 0%   | Brother in law |
| <b>V</b> | <b>Corporate Governance Officer</b> |  |                                     |                                 |               |                |         |   |  |                |
| 13       | Ngo Viet Thang                      |  | Corporate Governance Officer/       |                                 |               |                |         | 32.200  | 0,09   |                |





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|-------|-------------------------------------|--|---|---------------------------------|---------------|----------------|---------|---|--|----------------|
|       |                                     |  |   | No.                             | Date of issue | Place of issue |         |   |  |                |
|       |                                     |  | Authorized Information Disclosure Officer |                                 |               |                |         |   |  |                |
| -     | <i>Affiliated organizations</i>     |  |   |                                 |               |                |         |   |  |                |
|       | None                                |  |   |                                 |               |                |         |   |  |                |
|       | <i>Affiliated individuals</i>       |  |   |                                 |               |                |         |   |  |                |
| 13.1  | Nguyen Thi Huong                    |  | None                                      |                                 |               |                |         | 0   | 0%   | Wife           |
| 13.2  | Ngo Quynh Huong                     |  | None                                      |                                 |               |                |         | 0   | 0%   | Child          |
| 13.3  | Ngo Viet Tien                       |  | None                                      |                                 |               |                |         | 0   | 0%   | Child          |
| 13.4  | Ngo Viet Anh Khoi                   |  | None                                      |                                 |               |                |         | 0   | 0%   | Child          |
| 13.5  | Ngo Tuan Ngoc                       |  | None                                      |                                 |               |                |         | 0   | 0%   | Father         |
| 13.6  | Ngo Thi Hao                         |  | None                                      |                                 |               |                |         | 0   | 0%   | Mother         |
| 13.7  | Nguyen Van Manh                     |  | None                                      |                                 |               |                |         | 0   | 0%   | Father in law  |
| 13.8  | Nguyen Thi Soan                     |  | None                                      |                                 |               |                |         | 0   | 0%   | Mother in law  |
| 13.9  | Ngo Thi Hong Hanh                   |  | None                                      |                                 |               |                |         | 0   | 0%   | Full sibling   |
| 13.10 | Dang Thai Son                       |  | None                                      |                                 |               |                |         | 0   | 0%   | Brother in law |
| 13.11 | Ngo Thi Thuy Huong                  |  | None                                      |                                 |               |                |         | 0   | 0%   | Full sibling   |
| 13.12 | Nguyen Huu Truong Giang             |  | None                                      |                                 |               |                |         | 0   | 0%   | Brother in law |