

Doan Xa Port Joint Stock Company

SOCIALIST REPUBLIC OF VIETNAM

Independence - Freedom - Happiness

No. 01/ 2025/BCQT-DXP

Hai Phong, January 23, 2025

**REPORT ON THE GOVERNANCE STATUS OF LISTED COMPANIES
IN 2024**

To: - The State Securities Commission;
- The Stock Exchange.

- Name of company: **Doan Xa Port Joint Stock Company**
- Address of head Office: No. 15 Ngo Quyen Street, Van My Ward, Ngo Quyen District, Hai Phong City, Vietnam
- Phone: 0225 3765029 Fax: 0225 3825364 Email: contact@doanxaport.com.vn
- Charter capital: 599,101,330,000 VND
- Stock symbol: DXP
- Governance model: General Meeting of Shareholders, Board of Directors, Board of Supervisors, General Director.
- The implementation of internal audit: Not yet implemented

I. Activities of the General Meeting of Shareholders

Information on meetings, resolutions and decisions of the General Meeting of Shareholders (including the resolutions of the General Meeting of Shareholders approved in the form of written comments):

No.	Resolution/Decision No.	Date	Content
01	01/NQ-DHĐCĐ	May 17, 2024	Resolution on the Approval of Certain Matters: <ul style="list-style-type: none">- Report on Business and Production Results for 2023; and Plan for 2024- The report on the activities of the Company's Board of Directors and the report on the activities of the Supervisory Board for 2023.- The 2023 profit distribution plan.- Amendments to the Company's Charter of Organization

			and Operation. - The capital usage plan and the status of capital utilization from the private placement. - The plan to issue additional shares to the public to increase charter capital. - The dismissal of Mrs. Hoang Thi Huong from the position of Board of Directors member for the 2023-2028 term. - Major transactions and related-party transactions.
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II. Board of Directors

1. Information about Board of Directors (BOD) members:

No.	Board of Directors members	Position (<i>independent member of the Board of Directors, non-executive member of the Board of Directors</i>)	The date becoming/ceasing to be a member of the Board of Directors	
			Date of appointment	Date of dismissal
1	Mr. Hoang Van Quang	Chairman of the Board of Directors	June 7, 2015	-
2	Mr. Tran Viet Hung	Vice Chairman of the Board of Directors	July 21, 2015	-
3	Mr. Nguyen Ngoc Dao	Board Member	March 12, 2016	-
4	Mr. Le Manh Hoan	Board Member	June 23, 2023	-
5	Mrs. Hoang Thi Huong	Board Member	June 23, 2023	Dismissed on May 17, 2024

2. Board of Directors meetings

No.	Board Member	Number of Board of Directors meetings attended	Meeting attendance rate	Reason for not attending the meeting
1	Mr. Hoang Van Quang	12/12	100%	
2	Mr. Tran Viet Hung	12/12	100%	
3	Mr. Nguyen Ngoc Dao	12/12	100%	
4	Mr. Le Manh Hoan	9/12	75%	
5	Mrs. Hoang Thi Huong	0/12	0%	Submitted resignation on October 31, 2023 Dismissed on May 17, 2024

3. Supervising the Board of Management by the Board of Directors:

- Supervising the implementation of reports, the Board of Directors sets out plans for quarterly and annual production about business activities as specified in the Board of Directors' resolutions.

- The Board of Directors decides on issues related to investment and key personnel of the Company.

- The Board of Directors manages production and business activities according to the plans and instructions set forth by the Board of Directors. It regularly reports to the Board of Directors, The Board of Directors also supervises the preparation and organization of the 2024 Annual General Meeting of Shareholders.

4. Activities of subcommittees under the Board of Directors: None.

5. Resolutions/Decisions of the Board of Directors :

No.	Resolution/Decision Number	Date	Content
01	01/2024/QĐ - HĐQT	January 15, 2024	Appoint Ms. Nguyen Thi Thao as Accountant of Doan Xa Port Joint Stock Company.
02	05/2024/QĐ - HĐQT	February 5, 2024	Approve payment of remuneration funds for the Board of Directors, remuneration of the Board of Supervisors, and the person in charge of administration of Doan Xa Port Joint Stock Company.
03	06/2024/QĐ - HĐQT	February 5, 2024	Decision on approving the 2023 Executive Board bonus fund.
04	08/2024/NQ - HĐQT	March 28, 2024	Through the purpose of using capital raised from the private stock offering.
05	10/2024/NQ - HĐQT	March 29, 2024	Approval of the extension of the time to hold the 2024 Annual General Meeting of Shareholders of Doan Xa Port Joint Stock Company in May 2024
06	11/2024/NQ - HĐQT	April 25, 2024	Approval of draft documents to be presented at the 2024 Annual General Meeting of Shareholders
07	12/2024/QĐ - HĐQT	May 15, 2024	Approval of investment in loading and unloading tools: 25-ton spreader, 2-ton mast 3 meters high.
08	15/2024/QĐ - HĐBT	May 23, 2024	Approval of liquidation of some assets to recover capital: Automatic crane frame, Reach Stacker 45-ton forklift, Semi-trailer.
09	16/2024/QĐ -	May 31,	Receive and appoint Mr. Nguyen Van Thuc to work in the position of Deputy General Director in charge of asphalt sales

	HĐQT	2024	from May 31, 2024.
10	17/2024/NQ-HĐQT	June 4, 2024	Approve the total credit limit for customers buying asphalt from Doan Xa Port Joint Stock Company.
11	19/2024/NQ-HĐQT	June 6, 2024	Expected that the list of shareholders will be finalized to pay cash dividends in 2023
12	21/2024/QĐ - HĐQT	June 7, 2024	Approval for investment in 02 7-seat cars.
13	23/2024/NQ - HĐQT	June 13, 2024	Approved the closing of the list of shareholders to pay 2023 dividends in cash.
14	25/2024/QĐ - HĐQT	June 13, 2024	Approving UHY Auditing and Consulting Company Limited as the Auditing Company to review the financial statements for the first 6 months of the year and audit for the fiscal year ending December 31, 2024.
15	27/2024/NQ-HĐQT	June 20, 2024	Approval of the plan to issue shares to increase share capital from owner's equity was approved by the 2024 Annual General Meeting of Shareholders.
16	29/2024/NQ - HĐQT	June 25, 2024	Through the Company signing the Credit Contract, Guarantee Contract, and other documents related to being granted credit at Orient Commercial Joint Stock Bank.
17	30/2024/NQ-HĐQT	June 20, 2024	Through the Company's signing of Credit Contracts, Guarantee Contracts, and other documents related to credit granting at the Joint Stock Commercial Bank for Investment and Development of Vietnam - Hai Phong branch.
18	38/2024/QĐ - HĐQT	June 19, 2024	Approve the dredging and maintenance of the water area in front of Transvina Port.
19	34/2024/NQ - HĐQT	July 30, 2024	Through the policy of signing transaction contracts with related parties.
20	40/2024/QĐ - HĐQT	August 10, 2024	Approval of repair and demolition of office partitions.
21	40/2024/QĐ - HĐQT	September 18, 2024	Approval to overcome consequences after storm No. 3 (YAGI).
22	47/2024/NQ - HĐQT	October 8, 2024	Regarding the dismissal and appointment of the Company's General Director: Mr. Le Manh Hoan was relieved of his position as General

			<p>Director.</p> <p>Appointed Mr. Hoang Van Minh, Head of the Engineering and Technology Department to the position of General Director.</p> <p>Appointed Mr. Tran Thanh Tuan, Deputy Head of the Engineering and Technology Department to the position of Deputy General Director.</p>
23	52/2024/NQ - HĐQT	October 10, 2024	Assigning work to the Board of Directors.
24	54/2024/NQ - HĐQT	October 24, 2024	Through credit limit/credit level, transactions at Vietnam Technological and Commercial Joint Stock Bank
25	57/2024/QĐ - HĐQT	October 31, 2024	<p>Appoint Mr. Tran Viet Hung, Vice Chairman of the Board of Directors, to represent 19% of the capital contribution at High-Tech Transport Company Limited.</p> <p>Appoint Mr. Hoang Van Minh, General Director to represent 20% of the capital contribution at Hi-Tech Transport Company Limited.</p>

III. Board of Supervisors

1. Information about members of the Board of Supervisors (BOS)/Audit Committee

No.	Member of the Board of Supervisors/Audit Committee	Position	Date of starting/cease being a member of the Supervisory Board/Audit Committee	Professional qualifications
01	Hoang Thi Phuong Dung	Head of Control Board	Appointed on June 23, 2023 Resignation letter dated October 31, 2024	University
02	Tran Thi Hang	Member Board of Control	Appointed on April 26, 2019	University
03	Hoang Thanh Mai	Member Board of Control	Appointed on June 23, 2023	University

2. Supervisory Board/Audit Committee meeting

No.	Member of the Board of Supervisors/Audit Committee	Number of meetings attended	Meeting attendance rate	Voting ratio	Reason for not attending the meeting
01	Hoang Thi Phuong Dung	05/07	71.4%	100%	Resignation letter dated October 31, 2024

02	Tran Thi Hang	07/07	100.0%	100%	
03	Hoang Thanh Mai	07/07	100.0%	100%	

3. Supervisory activities of the Board of Supervisors towards the Board of Directors, Executive Board, and shareholders: The Board of Supervisors participates in meetings with the Board of Directors and the Board of General Directors to grasp the Company's production and business activities and short, medium and long-term goals.

IV. Executive Board

No.	Board Member	Date of birth	Professional qualifications	Date of appointment/removal of members of the Executive Board
01	Mr. Le Manh Hoan	08/11/1962	University	Appointed on October 27, 2015 Resigned on October 8, 2024
02	Mr. Tran Van Son	08/11/1982	University	Appointed on 05/09/2022
03	Mr. Nguyen Van Thuc	05/05/1985	University	Appointed on 31/05/2024
04	Mr. Hoang Van Minh	05/10/1968	University	Appointed on 08/10/2024
05	Mr. Tran Thanh Tuan	07/05/1981	University	Appointed on 08/10/2024

V. Chief Accountant

Full name	Date of birth	Professional qualifications	Date of appointment/dismissal
Nguyen Thi Thanh Ha	02/03/1973	University	Appointed on December 3, 2024
Ngo Manh Tuan	26/06/1996	University	Appointed on October 18, 2024 Dismissal on December 2, 2024

VI. Training on corporate governance

Appoint company leaders, company administrators, and information publishers to fully participate in training classes and instructions on new circulars and decrees of the Ministry of Finance, the State Securities Commission the Stock Exchange Hanoi Securities, and Vietnam Securities Depository and Clearing Corporation.

VII. List of related persons of public companies and related persons of the company with the company itself

1. List of related persons of the company

No.	Name of organization/individual	Securities trading account	Position in the company	NSH certificate number, date of issue, place of issue			Address, head office, contact address	The starting point is the person concerned.	Time is no longer relevant	Reason	Relation ship with the company
				NSH certificate number	Date of issue	Place of issue					
1	Hoang Van Quang		Chairman of the Board					07/06/2015	Are not	Chairman of the Board	Insider
2	Tran Viet Hung		Board Member					21/07/2015	Are not	Board Member	Insider
3	Nguyen Ngoc Dao		Board Member					12/03/2016	Are not	Board Member	Insider
4	Le Manh Hoan		Board Member					27/10/2015	Are not	Board Member	Insider
5	Hoang Van Minh		General Director					8/10/2024	Are not	General Director	Insider
6	Tran Van Son		Deputy General Manager					05/09/2022	Are not	Deputy General Manager	Insider
7	Nguyen Van Thuc		Deputy General Manager					31/05/2024	Are not	Deputy General Manager	Insider
8	Tran Thanh Tuan		Deputy General Manager					8/10/2024	Are not	Deputy General Manager	Insider
9	Hoang Thi Phuong Dung		Head of Supervisory Board					23/06/2023	Are not	Head of Supervisory Board Resignation letter dated October 31, 2024	Insider
10	Tran Thi Hang		Board of Supervisors					26/04/2019	Are not	Board of Supervisors	Insider
11	Hoang Thanh Mai		Board of Supervisors					23/06/2023	Are not	Board of Supervisors	Insider
12	Nguyen Thi Thanh Ha		Chief Accountant					03/12/2024	Are not	Chief Accountant	Insider
13	Pham Quang Tuan		Corporate governance					30/10/2018	Are not	Corporate governance	Insider

14	Hoang Thi Huong		Board Member						26/06/2023	May 17, 2024	Resignation, dismissal of board members	Insider
15	Tratimex P&L Joint Stock Company								2015	Are not	With board members and major shareholders	Related parties
16	Tratigroup Group Joint Stock Company								2022	Are not	Affiliated companies	Related parties
17	High-Tech Cargo Transport Company Limited								2019	Are not	Affiliated companies	Related parties
18	High-Tech Cargo Transport Company Limited. Haiphong branch								2019	Are not	Branch of Affiliated Company	Related parties
19	Trading And Transport Materials Import Export Joint Stock Company								2015	Are not	With Chairman of the Board of Directors	Related parties
20	VN Asphalt Joint Stock Company								2015	Are not	With Chairman of the Board of Directors	Related parties
21	Aigroup Group Limited Liability Company								2020	Are not	DXP Chairman is the General Director of Aigroup	Related parties
22	Blue Diamond Investment, Construction, And Business Trading Joint Stock Company								2021	Are not	DXP Chairman is General Director of Green Diamond	Related parties

23	Asiagroup. Group joint stock company								2020	Are not	DXP Chairman is CEO of Asiagroup	Related parties
24	Truong An Joint Stock Company								2020	Are not	With Chairman of the Board of Directors	Related parties

2. Transactions between a company and its related persons; or between a company and major shareholders, insiders, or related persons of insiders

No.	Name of organization/individual	Related links to the company	NSH certificate number, date of issue, place of issue	Address, head office, contact address	Time of transaction with the Company	Resolution number/ Decision passed by the General Meeting of Shareholders/Board of Directors... (if any, clearly state the date of issue)	Content, quantity and, total transaction value	Note
1	Trading And Transport Materials Import Export Joint Stock Company	Related parties	0200401834, July 28, 2020, Department of Planning and Investment of Hai Phong City	No. 2/201 Ngo Quyen Street, May Chai Ward, Ngo Quyen District, Hai Phong City, Vietnam	First 6 months of 2024	Resolution No. 05/2023/NQ- HDQT dated January 18, 2023	Sale: DXP provides port services: VND 2,476,339,930	Frequent transactions
2	VN Asphalt Joint Stock Company	Related parties	0201557859, May 19, 2014, Department of Planning and Investment of Hai Phong City	No. 2/201 Ngo Quyen Street, May Chai Ward, Ngo Quyen District, Hai Phong City, Vietnam	First 6 months of 2024		Sale: DXP provides port services: 1,161,903,156 VND	Frequent transactions
3	High-Tech Cargo Transport Company Limited	Related parties	0100113800, 06/26/1997	Ocean Building Park, No. 1 Dao Duy Anh Street, Phuong	First 6 months of 2024		Sale: DXP provides port services: 948,000 VND	Frequent transactions

					Mai Ward, Dong Da District, Hanoi City, Vietnam					
4	High-Tech Cargo Transport Company Limited. Haiphong branch	Related parties	0100113800-001, 11/24/2000	280 Ngo Quyen Street, Van My Ward, Ngo Quyen District, Hai Phong City, Vietnam	First 6 months of 2024			Purchase: DXP rents yard, warehouse, loading and unloading: 368,966,902 VND		
								Sale: DXP provides port services: 6,004,000 VND	Frequent transactions	
								Purchase: DXP rents yard, warehouse, loading and unloading: 655,729,171 VND		

3. Transactions between company insiders, related persons of insiders and subsidiaries, companies controlled by the company: None

4. Transactions between the company and other entities

- 4.1. Transactions between the company and companies in which members of the Board of Directors, members of the Board of Supervisors, Directors (General Directors), and other managers have been and are founding members or members of the Board of Directors, Directors (General Directors) operating within the past three (03) years (calculated at the time of reporting).

Unit name	Related Relationships with the Company	Transaction content from 2021 to present
Tratigroup Corporation	Chairman of the Board of Directors of DXP is Chairman of the Board of	DXP invests in Tratigroup

	Directors and General Director of Tratigroup	
Transport Materials Trading and Import-Export Joint Stock Company	Chairman of DXP's Board of Directors is the Chairman of Tratimex's Board of Directors.	DXP provides port services, space rental, and vehicle rental for Transport Materials Import-Export and Trading Joint Stock Company.
Asphalt Joint Stock Company	DXP's Chairman of the Board of Directors is the Chairman of VN Asphalt 's Board of Directors.	DXP provides port services, space rental, and vehicle rental for VN Asphalt Joint Stock Company.
Tratimex P&L Joint Stock Company	Chairman of DXP's Board of Directors is the Chairman of Tratimex P&L Joint Stock Company.	Tratimex P&L Joint Stock Company buys and sells shares of DXP.
High-tech Cargo Transport Company Limited and its branch in Hai Phong	DXP's Board of Directors is a member of the Board of Directors of High-Tech Cargo Transportation Company Limited.	DXP provides port services. High-Tech Cargo Transport Company Limited and its branch in Hai Phong lease warehouses, loading and unloading services to DXP ...

4.2. Transactions between the company and companies in which related persons of members of the Board of Directors, members of the Board of Supervisors, Directors (General Directors), and other managers are members of the Board of Directors, Directors (General Directors) and executives: None

4.3. Other transactions of the company (if any) that may bring material and immaterial benefits to members of the Board of Directors, members of the Board of Supervisors, Director (General Director), and other managers: None.

V III. Stock transactions of insiders and related parties of insiders

1. List of insiders and related persons of insiders.

No.	Full name	Securities trading account (if any)	Position in the company (if any)	ID card/Passport number, date of issue, place of issue	Date of issue	Place of issue	Contact address	Number of shares owned at the end of the period	End of period share ownership ratio	Note
1	Hoang Van Quang	003C406188	Chairman of the Board					7,805,160	13.03	
1.1	Tran Thi Lien							0	0	Mother
1.2	Nguyen Xuan Truong							0	0	Father-in-law
1.3	Giang Thi Ha							0	0	Mother-in-law
1.4	Nguyen Thi Thu Trang							0	0	Wife
1.5	Hoang Van Minh							15,485	0.03%	Older brother
1.6	Do Thi Huong							0	0	Sister-in-law
1.7	Hoang Quang Anh							0	0	Biological child
1.8	Hoang Quang Hung							0	0	Biological child
1.9	Tratigroup Corporation							0	0	Chairman of the Board of Directors and General Director
1.10	AIGROUP Group Company Limited							0	0	General Director
1.11	Transport Materials Trading and Import-Export Joint Stock Company							1,120,331	1.87%	Chairman of the Board
1.12	Asphalt Joint Stock							0	0	Chairman

	Company											of the Board
1.13	Blue Diamond Investment, Construction, and Trading Joint Stock Company								0	0		General Director
1.14	ASIAGROUP Corporation								0	0		General Director
1.15	Truong An Joint Stock Company								0	0		Chairman of the Board
1.16	Tratimex P&L Joint Stock Company								3,248,760	5.42%		Shareholders owning more than 10% of capital
2	Tran Viet Hung	003C400928							14,080	0.02		
2.1	Nguyen Thi Thanh Ha								0	0		Wife
2.2	Tran Huu Tri								0	0		Biological child
2.3	Tran Diep Khanh Linh								0	0		Biological child
2.4	Tran Thi Thanh Huong								0	0		Older Sister
2.5	Mai Van Dan								0	0		Brother-in-law
2.6	Tran Thi Hai Ha								0	0		Younger Sister
2.7	Nguyen Anh Tuan								0	0		Brother-in-law
2.8	Tratimex P&L Joint Stock Company								3,248,760	5.42%		Mr. Tran Viet Hung the is General Director

2.9	High-Tech Cargo Transport Company Limited									0	0	Mr. Tran Viet Hung is a member of the Board of Directors.
3	Nguyen Ngoc Dao									195	0.0003	
3.1	Nguyen Thi Hue									0	0	Wife
3.2	Nguyen Ngoc Quan									0	0	Biological child
3.3	Nguyen Ngoc Hieu									0	0	Biological child
4	Le Manh Hoan									0	0	
4.1	Quach Thanh Yen									0	0	Wife
4.2	Le Ngoc Son									0	0	Biological child
4.3	Le Ngoc Ha									0	0	Biological child
4.4	Le Tien Trung									0	0	Brother
4.5	Vu Thi Bich									0	0	Sister-in-law
4.6	Le Thi Hien									0	0	Sister
4.7	Pham Huu Van									0	0	Brother-in-law
4.8	Le Duc Hau									0	0	Brother
4.9	Ngo Thi Tuat									0	0	Sister-in-law
4.10	Le Thai Hang									0	0	Sister
4.11	Do The Viet									0	0	Brother-in-law
4.12	Le Thi Anh Hong									0	0	Sister
4.13	Le Ngoc Toan									0	0	Younger Brother
4.14	Nguyen Thi Hong Tuoi									0	0	Sister-in-law
5.1	Hoang Van Minh									15,485	0.03	General

7.7	Luong Duc Khoi									0	0	Father-in-law
8	Tran Thanh Tuan					Deputy General Manager				50	0.00008	
8.1	Hoang Ngoc Ha									0	0	Wife
8.2	Tran Duc Huy									0	0	Biological child
8.3	Tran Hoang Anh Thu									0	0	Biological child
8.4	Tran Van Thanh									0	0	Father
8.5	Ngo Thi Kim Thuy									0	0	Mother
8.6	Tran Van Trung									0	0	Younger Brother
8.7	Tran Dieu Trang									0	0	Younger Brother
8.8	Hoang Dinh Chien									0	0	Father-in-law
8.9	Pham Thi Hanh									0	0	Mother-in-law
9	Hoang Thi Phuong Dung					Head of the Board of Supervisors (resignation letter dated October 31, 2024)				0	0	
9.1	Nguyen Thi Duc									0	0	Mother
9.2	Hoang Phu Nghia									0	0	Older Brother
9.3	Hoang Thinh Nhan									0	0	Younger Brother
9.4	Hoang Thanh Thien									0	0	Younger Brother
9.5	Dang Huy Truong									0	0	Husband
9.6	Dang Hoang Ky									0	0	Biological

13.10	Nguyen Van Binh						0	0	Younger Brother
13.11	Nguyen Van An						0	0	Younger Brother
13.12	Vu Thi Quynh Nga						0	0	Sister-in-law
13.13	Vu Thi Nguyet Minh						0	0	Sister-in-law
13.14	Tran Thi Huyen						0	0	Sister-in-law
13.15	Ho Linh Nham						0	0	Brother-in-law
13.16	Ho Thi Huyen						0	0	Brother-in-law
13.17	Ho Thi Hung						0	0	Brother-in-law

2. Transactions of insiders and related persons on shares of listed companies

NO.	The person who makes the transaction	Insider Relations	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reason for increase, decrease (buy, sell, convert, reward...)	
			Number of shares	Proportion	Number of shares	Proportion		
1	Le Manh Hoan	Board Member	41,580	0,07	0	0%	Sell	
2	Tratimex P&L Joint Stock Company	Related people of Mr. Hoang Van Quang, Mr. Tran Viet Hung	3.287.100	5,42	60	0,00001%	Sell	

