

## APPENDIX NO. 05

*(Enclosed with the Circular No. 10/2024/TT-NHNN dated June 28, 2024 of the Governor of the State Bank of Vietnam providing amendments to the Circular No. 22/2018/TT-NHNN dated September 05, 2018 of the Governor of the State Bank of Vietnam on procedures and application for approval for recommended personnel lists of commercial banks, non-bank credit institutions and foreign bank branches)*

**[NAME OF FOREIGN CREDIT  
INSTITUTION]**

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.....[place & date]

### **STATEMENT OF COMPLIANCE WITH CODE OF PROFESSIONAL ETHICS BY PERSONNEL TO BE ELECTED OR APPOINTED AT CREDIT INSTITUTION/FOREIGN BANK BRANCH**

To: .....

- Pursuant to the Law on Credit Institutions No. 32/2024/QH15 dated January 18, 2024;

- Pursuant to the Circular No. 22/2018/TT-NHNN dated September 05, 2018 of the Governor of the State Bank of Vietnam providing guidance on procedures and application for approval for recommended personnel lists of commercial banks, non-bank credit institutions and foreign bank branches (as amended);

.....[name of the foreign credit institution] hereby provides information on the compliance with the code of professional ethics by the recommended personnel during their employment with us as follows:

#### **I. Particulars of personnel**

1. Name of the first person

1.1. Working period: (From month/year..... to month/year.....).

1.2. Job position or assigned task: from month/year..... to month/year..... (in case of a manager or executive of the credit institution, this information must be clearly specified)

2. Name of the second person:

...

#### **II. Information on compliance with code of professional ethics**

Based on standards and eligibility requirements for holding the position of manager or executive of credit institutions of the home country, the foreign credit institution assesses and provides statement on the compliance by the abovementioned personnel with the code of professional ethics as prescribed in Clause 1 Article 5a of the Circular No. 22/2018/TT-NHNN (as amended) during their employment as stated in Part I hereof.

**III. .... [name of the foreign credit institution] hereby covenants to assume legal responsibility for the accuracy and truthfulness of the information provided herein./.**

**LAWFUL REPRESENTATIVE OF THE  
CREDIT INSTITUTION**  
*(signature and seal)*