

Số/No: 546-2025/CV-SHS

Hà Nội, ngày 11 tháng 04 năm 2025  
Hanoi, April 11<sup>th</sup>, 2025

**THÔNG BÁO THAY ĐỔI NHÂN SỰ**  
**CHANGE IN PERSONNEL**

*(Mẫu dùng cho các trường hợp bổ nhiệm và/hoặc miễn nhiệm/từ nhiệm  
Applied to cases of appointment and/or dismissal/resignation)*

**Kính gửi: Sở Giao dịch Chứng khoán Việt Nam/ Sở Giao dịch Chứng khoán Hà Nội/ Sở Giao dịch  
Chứng khoán Thành phố Hồ Chí Minh**

**To: Vietnam Stock Exchange/ Hanoi Stock Exchange/ Ho Chi Minh City Stock Exchange**

Căn cứ theo Nghị quyết của Đại hội đồng cổ đông số 01-2025/NQ-ĐHĐCĐ phiên họp thường niên năm 2025 ngày 10/04/2025, chúng tôi trân trọng thông báo việc thay đổi nhân sự của Công ty như sau:

*Based on Resolution of the 2025 Annual General Meeting of Shareholders No. 01-2025/NQ-ĐHĐCĐ dated April 10th, 2025 of Saigon – Hanoi Securities JSC., we would like to announce the change in personnel of our Company as follows:*

**I. Trường hợp bổ nhiệm/In case of appointment (\*):**

1. Ông (bà)/Mr./Mrs.: Nguyễn Chí Thành/Mr. Thanh, Nguyen Chi.

- Chức vụ trước khi bổ nhiệm/Former position in the organization: Tổng giám đốc/ Chief Executive Officer.

- Chức vụ được bổ nhiệm/Newly appointed position: Thành viên HĐQT/Member of Board of Directors.

- Thời hạn bổ nhiệm/Term: Nhiệm kỳ 2022 – 2027 kể từ ngày 10/04/2025/ Term 2022 – 2027 from April 10<sup>th</sup>, 2025.

- Ngày bắt đầu có hiệu lực/Effective date: 10/04/2025/April 10<sup>th</sup>, 2025.

2. Ông (bà)/Mr./Mrs.: Đào Ngọc Dũng/Mr. Dung, Dao Ngoc.

- Chức vụ trước khi bổ nhiệm/Former position in the organization:

- Chức vụ được bổ nhiệm/Newly appointed position: Thành viên HĐQT/Member of Board of Directors.

- Thời hạn bổ nhiệm/Term: Nhiệm kỳ 2022 – 2027 kể từ ngày 10/04/2025/ Term 2022 – 2027 from April 10<sup>th</sup>, 2025.



- Ngày bắt đầu có hiệu lực/*Effective date*: 10/04/2025/*April 10<sup>th</sup>, 2025*.

## **II. Trường hợp miễn nhiệm/từ nhiệm/*In case of dismissal/resignation*:**

1. Ông/ Mr.: Vũ Đức Tiến/*Mr. Tien, Vu Duc*

- Không còn đảm nhận chức vụ/*Dismissed/Resigned position*: Thành viên HĐQT/ *Member of Board of Directors*.

- Lý do miễn nhiệm (nếu có)/*Reason (if any)*:

- Ngày bắt đầu có hiệu lực/*Effective date*: 10/04/2025/*April 10<sup>th</sup>, 2025*

2. Ông: Lưu Danh Đức/*Mr. Duc, Luu Danh*

- Không còn đảm nhận chức vụ/*Dismissed/Resigned position*: Thành viên HĐQT/ *Member of Board of Directors*.

- Lý do miễn nhiệm (nếu có)/*Reason (if any)*:

- Ngày bắt đầu có hiệu lực/*Effective date*: 10/04/2025/*April 10<sup>th</sup>, 2025*

Thông tin này đã được công bố trên trang thông tin điện tử của công ty vào ngày 11/04/2025 tại đường dẫn/*This information was published on the company's website on April 11<sup>th</sup>, 2025, as in the link*:

<https://www.shs.com.vn/News/2025411/1012661/shs-cbtt-bai-nhiem-thanh-vien-hoi-dong-quan-tri.aspx>

<https://www.shs.com.vn/News/2025411/1012663/shs-cbtt-bo-nhiem-thanh-vien-hoi-dong-quan-tri.aspx>

### **Tài liệu đính kèm/*Attached documents*:**

- Nghị quyết số 01-2025/NQ-ĐHĐCĐ ngày 10/04/2025/*Resolution No. 01-2025/NQ-DHDCD dated April 10<sup>th</sup>, 2025*.

### **Nơi nhận/*Recipients*:**

-Như trên/*As above*;

-Lưu VT/*Filed for archived*.

**CTCP CHỨNG KHOÁN SÀI GÒN – HÀ NỘI  
SAIGON – HANOI SECURITIES JSC.**

**Đại diện tổ chức/ *Organization representative*  
Tổng giám đốc/*Chief Executive Officer***



**Nguyễn Chí Thành**

Hanoi, April 10<sup>th</sup>, 2025

**RESOLUTION**  
**ANNUAL GENERAL MEETING OF SHAREHOLDERS 2025**  
**(18<sup>th</sup> Annual General Meeting)**

**GENERAL MEETING OF SHAREHOLDERS**  
**SAIGON – HANOI SECURITIES JOINT STOCK COMPANY**

Pursuant to:

- *The Law on Enterprises No. 59/2020/QH14, Law No. 03/2022/QH15 dated January 11<sup>th</sup>, 2022, and its amendments, supplements, and guiding documents;*
- *The Law on Securities No. 54/2019/QH14 dated November 26<sup>th</sup>, 2019, and its amendments, supplements, and guiding documents;*
- *The current Charter of Saigon – Hanoi Securities Joint Stock Company ("The Company"/"SHS");*
- *The Minutes of SHS 2025 Annual General Meeting of Shareholders ("GMS" Annual Meeting) No. 01-2025/BBII-DHĐCĐ, dated April 10<sup>th</sup>, 2025.*

**RESOLVES:**

- Article 1:** Approving the report on the activities of the Board of Directors (BOD) of the Company for 2024 and the direction for 2025, including the performance results of the BOD and each individual member in 2024, the operational orientation for 2025, and other matters as stipulated in the Enterprise Law, Securities Law, and Decree No. 155/2020/ND-CP.
- Article 2:** Approving the report on the activities of the independent BOD member in the Company's Audit Committee for 2024.
- Article 3:** Approving the audited financial statements for 2024 (as per the contents of Proposal No. 01-2025/TTr-DHĐCĐ) with The Option 2 – Cancelling the implementation of the plan to offer additional shares to the public for existing shareholders.
- Article 4:** Approving the provision of funds and distribution of profits for 2024 (as per the contents of Proposal No. 02-2025/TTr-DHĐCĐ).
- Article 5:** Approval of cancelling the implementation of the plan to offer additional shares to the public for existing shareholders (previously approved by the GMS under Resolution No. 01-2024/NQ-ĐHĐCĐ dated May 15<sup>th</sup>, 2024) (as per the contents of Proposal No. 14-2025/TTr-DHĐCĐ).
- Article 6:** Approving the business operation plan for 2025 (as per the contents of Proposal No. 03-2025/TTr-DHĐCĐ).



- Article 7:** Approving the plan to increase charter capital through the issuance of shares under the Employee Stock Option Program - ESOP (as per the contents of Proposal No. 04-2025/TTr-DHDCD).
- Article 8:** Approving the selection of an independent audit firm for the fiscal year 2025 for Saigon – Hanoi Securities JSC (as per the contents of Proposal No. 05-2025/TTr-DHDCD).
- Article 9:** Approving the principle of signing, executing contracts, agreements, and transactions with related parties (as per the contents of Proposal No. 06-2025/TTr-DHDCD).
- Article 10:** Approving the budget, total remuneration, bonuses, allowances, and other benefits for the BOD in the fiscal year 2025 (as per the contents of Proposal No. 07-2025/TTr-DHDCD).
- Article 11:** Approving the amendment of the Charter and internal regulations under the authority of the General Shareholders' Meeting (as per the contents of Proposal No. 08-2025/TTr-DHDCD), including:  
Approval of the full text of the following documents to replace the respective existing versions:
- (1) The Company's Charter and a summary table of key amendments, supplements, and proposed revisions to the Charter;
  - (2) The Regulations on the Organization and Operations of the Company's BOD;
  - (3) The Internal Corporate Governance Regulations;
  - (4) The Regulations on organizing online GMS and electronic voting.
- Article 12:** Approving the implementation of the offering/issuance and listing of guaranteed warrants (as per the contents of Proposal No. 09-2025/TTr-DHDCD).
- Article 13:** Approving the plan to provide securities settlement and clearing services through a central clearing partner and offer financial products (as per the contents of Proposal No. 10-2025/TTr-DHDCD).
- Article 14:** Approving the change of the Company's headquarters location (as per the contents of Proposal No. 11-2025/TTr-DHDCD).
- Article 15:** Approving the issuance and listing of SHS bonds issued to the public (as outlined in Proposal No. 15-2025/TTr-DHDCD).
- Article 16:** Approval of the dismissal of Mr. Luu Danh Duc from the position of BOD's member and the election of an additional BOD's member for the 2022–2027 term (as per the contents of Proposal No. 12-2025/TTr-DHDCD).
- Article 17:** Approval of the dismissal of Mr. Vu Duc Tien from the position of BOD's member and the election of an additional BOD's member for the 2022–2027 term (as outlined in Proposal No. 12-2025/TTr-DHDCD).
- Article 18:** Approval of the appointment to the position of SHS BOD's member for the term 2022–2027, effective from April 10<sup>th</sup>, 2025, for the following two individuals:
1. Mr. Nguyen Chi Thanh
  2. Mr. Dao Ngoc Dung

**Article 19:** This Resolution shall take effect from April 10<sup>th</sup>, 2025.

The Minutes of the Meeting, Reports, and Proposals presented at the 2025 Annual General Meeting of Shareholders of Saigon – Hanoi Securities Joint Stock Company constitute an integral part of this Resolution.

The Board of Directors, the Board of Management, all Shareholders, and relevant departments and individuals of Saigon – Hanoi Securities Joint Stock Company shall be responsible for implementing this Resolution.

**Recipients:**

- As Article 19 referred;
- For disclosure: Stock Exchanges, State Securities Commission, VDSC;
- For filing: Archives, BOD's Office.

**FOR AND ON BEHALF OF SHS GMS**

**FOR AND ON BEHALF OF SHS BOD**

**CHAIRMAN**



**DO QUANG VINH**

