

No: 1606/2025/TB-SBS

*Hochiminh City, June 16, 2025*

**NOTICE OF PERSONNEL CHANGES**

To: Ha Noi Stock Exchange

Pursuant to Resolution of the 2025 Annual General Meeting of Shareholders No. 01/2025/NQ-ĐHĐCĐ dated June 16, 2025 of SBS Securities Joint Stock Company, We respectfully announce the personnel change of SBS Securities Joint Stock Company as follows:

1. Appointment case:

- Mr. Phan Quoc Huynh

Position before appointment: Member of the Board of Directors ("BOD"), Chairman of the Board of Directors

Position appointed: Member of the Board of Directors

Term of appointment: 5 ("five") years

Effective date: 16/06/2025

- Mr. Duong Manh Hung

Position before appointment: Member of the Board of Directors, Permanent Vice Chairman of the Board of Directors

Position appointed: Member of the Board of Directors

Term of appointment: 5 ("five") years

Effective date: 16/06/2025

- Mr. Tran Ngoc Tuan

Position before appointment: Member of the Board of Directors, Vice Chairman of the Board of Directors

Position appointed: Member of the Board of Directors

Term of appointment: 5 ("five") years

Effective date: 16/06/2025

- Mr. Tran Van Dinh

Position before appointment: Independent member of the Board of Directors

Position appointed: Independent member of the Board of Directors established

Appointment term: 5 ("five") years

Effective date: 16/06/2025

- Mr. Dinh Hoai Nam

Position before appointment: Independent member of the Board of Directors

Appointed position: Independent member of the Board of Directors

Appointment term: 5 ("five") years

Effective date: 16/06/2025



- Ms. Nguyen Thi Hoai Thuong

Position before appointment: Member of the Board of Directors

Appointed position: Member of the Board of Directors

Appointment term: 5 (“five”) years

Effective date: 16/06/2025

- Ms. Le Huynh Hong

Position before appointment: Member of the Board of Supervisors (“BOS”), Head of the BOS

Appointed position: Member of the BOS

Appointment term: 5 (“five”) years

Effective date: 16/06/2025

- Mr. Luu Thanh Hung

Position before appointment: Member of the Supervisory Board

Appointed position: Member of the Supervisory Board

Term of appointment: 5 (“five”) years

Effective date: 16/06/2025

- Mr. Luu Anh Duc

Position before appointment: Member of the Supervisory Board

Appointed position: Member of the Supervisory Board

Term of appointment: 5 (“five”) years

Effective date: 16/06/2025



## 2. Cases of dismissal:

- Mr. Phan Quoc Huynh

No longer holds the position: Member of the Board of Directors, Chairman of the Board of Directors

Reason for dismissal (if any): General Meeting of Shareholders approved due to the end of the 2020-2024 term of the Board of Directors

Effective date: June 16, 2025

- Mr. Duong Manh Hung

No longer holds the position: Member of the Board of Directors, Permanent Vice Chairman of the Board of Directors

Reason for dismissal (if any): General Meeting of Shareholders approved due to the end of the 2020-2024 term of the Board of Directors

Effective date: June 16, 2025

- Mr. Tran Ngoc Tuan

No longer holds the position: Member of the Board of Directors, Vice Chairman of the Board of Directors

Reason for dismissal (if any): General Meeting of Shareholders approved due to the end of the 2020-2024 term of the Board of Directors

Effective date: June 16, 2025

- Mr. Tran Van Dinh

No longer holds the position: Independent Board Member

Reason for dismissal (if any): General Meeting of Shareholders approved due to the end of the 2020-2024 term of the Board of Directors

Effective date: June 16, 2025

- Mr. Dinh Hoai Nam

No longer holding the position: Independent Board Member

Reason for dismissal (if any): Approved by the General Meeting of Shareholders due to the end of the 2020-2024 term of the Board of Directors

Effective date: 16/06/2025

- Ms. Nguyen Thi Hoai Thuong

No longer holding the position: Board Member

Reason for dismissal (if any): Approved by the General Meeting of Shareholders due to the end of the 2020-2024 term of the Board of Directors

Effective date: 16/06/2025

- Ms. Le Huynh Hong

No longer holding the position: Member of the Supervisory Board ("BOS"), Head of the BOS

Reason for dismissal (if any): Approved by the General Meeting of Shareholders due to the end of the term 2020-2024 of the Supervisory Board

Effective date: 16/06/2025

- Mr. Luu Thanh Hung

No longer holds the position: Member of the Supervisory Board ("BOS")

Reason for dismissal (if any): Approved by the General Meeting of Shareholders due to the end of the 2020-2024 term of the Supervisory Board

Effective date: 16/06/2025

- Mr. Luu Anh Duc

No longer holds the position: Member of the Supervisory Board ("BOS")

Reason for dismissal (if any): Approved by the General Meeting of Shareholders due to the end of the 2020-2024 term of the Supervisory Board

Effective date: 16/06/2025

Receipt:

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DISCLOSE INFORMATION**



**Tran Thi Thu Nga**