

No.: 41/2025/BC-HDQT

Duc Xuan Ward, date 21 month 7 year 2025

**REPORT
ON CORPORATE GOVERNANCE
(First 6 months of 2025)**

To:

- State Securities Commission of Vietnam,
- Hanoi Stock Exchange.

- Company name: **BAC KAN MINERAL JOINT STOCK CORPORATION**
- Head office address: Group 4, Duc Xuan Ward, Thai Nguyen Province.¹
- Telephone: (0209)3 812 399 Email: bkc@backanco.com
- Charter capital: 117.377.280.000 đồng
- Stock code: **BKC**
- Corporate governance model: General Meeting of Shareholders, Board of Directors, Supervisory Board, and General Director (according to Point a, Clause 1, Article 137 of the Law on Enterprises).
- Implementation of internal audit function: Not yet implemented.

I. Activities of the General Meeting of Shareholders

Information on meetings and Resolutions/Decisions of the General Meeting of Shareholders *(including Resolutions passed by written ballot)*:

No.	Resolution/Decision No.	Date	Content
01	01/2025/NQ-ĐHĐCĐ	18/6/2025	Resolution of the 2025 Annual General Meeting of Shareholders

II. Board of Directors (Report for the first 6 months)

1. Information on members of the Board of Directors:

¹ Resolution No. 1683/NQ-UBTVQH15 of the Standing Committee of the National Assembly on the rearrangement of commune-level administrative units in Thai Nguyen province in 2025

No.	Member of the Board of Directors	Position	Date of Commencement/End of Membership/Independent BOD member	
			Date of Appointment	Date of Dismissal
01	Mr. Vu Phi Ho	Chairman of the BOD	26/04/2021	-
02	Mr. Dinh Van Hien	Member of the BOD	26/04/2021	-
03	Mr. Nguyen Tran Nhat	Member of the BOD	26/04/2021	-
04	Mr. Nguyen Huy Hoan	Member of the BOD	26/04/2021	-
05	Mr. Mai Thanh Son	Member of the BOD	26/04/2021	18/06/2025
06	Mr. Le Minh Khue	Member of the BOD	18/06/2025	-

2. Meetings of the Board of Directors

No.	Member of the Board of Directors	Number of Meetings Attended	Attendance Rate	Reason for Absence
01	Mr. Vu Phi Ho	02/02	100%	-
02	Mr. Dinh Van Hien	02/02	100%	-
03	Mr. Nguyen Tran Nhat	02/02	100%	-
04	Mr. Nguyen Huy Hoan	02/02	100%	-
05	Mr. Mai Thanh Son	02/02	100%	Dismissed on 18/06/2025
06	Mr. Le Minh Khue	00/02	100%	Appointed on 18/06/2025

3. Supervisory activities of the Board of Directors over the Executive Board

The Board of Directors regularly monitors the Company's operations and the management activities of the General Director and the Executive Board. Through direct

meetings and consultations via email, the Board of Directors has discussed and provided opinions on various important matters related to the Executive Board's management activities. In addition, through direct and written reports from the General Director, the Board of Directors promptly grasped the Company's business and production situation, and supervised the Executive Board in implementing the Resolutions of the General Meeting of Shareholders and the Resolutions of the Board of Directors.

4. Activities of subcommittees under the Board of Directors (if any)

The Board of Directors has not established any subcommittees.

5. Resolutions/Decisions of the Board of Directors (first 6 months)

No.	Resolution/Decision No.	Date	Content	Approval Rate
01	04/2025/NQ-HĐQT	21/4/2025	Resolution on adjusting the implementation schedule of Thanh Tinh Industrial Cluster Project	05/05
02	08/2025/NQ-HĐQT	28/4/2025	Resolution on postponing the date of the General Meeting of Shareholders and the record date for attending the 2025 AGM	05/05
03	09/2025/NQ-HĐQT	28/4/2025	Resolution on participating in the General Meeting of Shareholders of Nghe An Bus Station Joint Stock Company	05/05
04	12/2025/NQ-HĐQT	09/5/2025	Resolution on organizing the 2025 General Meeting of Shareholders of Bac Kan Mineral Joint Stock Corporation	05/05
05	13/2025/NQ-HĐQT	09/5/2025	Resolution on approving the resignation request of Mr. Mai Thanh Son – BOD member	05/05
06	14/2025/NQ-HĐQT	09/5/2025	Resolution on establishing Bac Kan Mineral Joint Stock Corporation Branch – Cho Don Mining Enterprise	05/05
07	27/2025/NQ-HĐQT	29/5/2025	Resolution on terminating the operation of a branch or dependent unit	05/05

No.	Resolution/Decision No.	Date	Content	Approval Rate
08	31/2025/QĐ-HĐQT	02/6/2025	Decision on adjusting the schedule of the additional construction project for tailings pond at Lung Vang lead-zinc sulfide processing plant	04/05 ²
09	32/2025/NQ-HĐQT	05/6/2025	Resolution on capital contribution to establish a new enterprise and appointment of the authorized representative	04/05 ³
10	36/2025/NQ-HĐQT	23/6/2025	Resolution on implementing the plan for share issuance to increase charter capital from owners' equity	05/05

III. Supervisory Board (6-month report)

1. Information on members of the Supervisory Board

No.	Member of the Supervisory Board	Position	Date of Commencement/End of Membership	Educational Qualification
01	Mr. Nguyen The Phong	Head	Appointed on 26/04/2021 / Still serving	University degree
02	Mr. Bui Duc Hung	Member	Appointed on 26/04/2021 / Still serving	University degree
03	Mr. Dang Thanh Van	Member	Appointed on 26/04/2021 / Still serving	Master's degree

2. Meetings of the Supervisory Board

² 01 BOD member did not vote

³ 01 BOD member did not vote

No.	Member of the Supervisory Board	Number of Meetings Attended	Attendance Rate	Voting Rate	Reason for Absence
01	Mr. Nguyen The Phong	01/01	100%	100%	-
02	Mr. Bui Duc Hung	01/01	100%	100%	-
03	Mr. Dang Thanh Van	01/01	100%	100%	-

3. Supervisory activities of the Supervisory Board over the Board of Directors, the Executive Board, and shareholders

3.1. *For the Board of Directors:* Through supervision, the Supervisory Board assessed that the Board of Directors has properly implemented the Resolutions of the General Meeting of Shareholders (GMS) and its own Resolutions. The issues and contents of meetings were fully prepared, openly discussed, and approved in accordance with the functions, duties, and powers of the Board of Directors. The meetings had sufficient members in attendance as required by law and the Company's Charter. The Board of Directors regularly supervised and directed the General Director and managerial staff in implementing the business and production plans and the Resolutions and Decisions of the GMS and the Board of Directors.

3.2. *For the Company's General Director:* The General Director has implemented the Resolutions of the GMS and the Resolutions and Decisions of the Board of Directors and managed business and production activities in accordance with the functions, duties, and powers prescribed by law and the Company's Charter. The management and operational directives of the General Director complied with the Resolutions of the GMS and the Resolutions and Decisions of the Board of Directors.

3.3. *For shareholders:* Through its supervision, the Supervisory Board did not detect any violations of the Securities Law or disclosure regulations by the Company's shareholders. The Supervisory Board also did not receive any petitions from shareholders regarding any violations by the Board of Directors, the Supervisory Board, or the Company's management staff in the performance of their duties.

4. *Coordination between the Supervisory Board and the Board of Directors, the Executive Board, and other managerial staff:* During the performance of its supervisory functions, the Supervisory Board always received support and favorable cooperation from the members of the Board of Directors, the General Director, and other departments and managerial staff of the Company.

5. Other activities of the Supervisory Board (if any): None

IV. Executive Board

No.	Member of the Executive Board	Date of Birth	Educational Qualification	Date of Appointment/Dismissal
01	Mr. Dinh Van Hien	1967	University degree	03/11/2018
02	Mr. Nguyen Tran Nhat	1976	-	28/05/2015
03	Mr. Vu Gia Hanh	1978	University degree	11/05/2017
04	Mr. Tran Van Quyen	1982	-	11/04/2018
05	Mr. Do Dinh Thang	1982	University degree	19/12/2019

V. Chief Accountant:

Full Name	Date of Birth	Educational Qualification	Date of Appointment/Dismissal
Ms. Tran Thi Yen	1971	University degree	22/06/2017

VI. Corporate Governance Training

Training courses on corporate governance attended by members of the Board of Directors, members of the Supervisory Board, the General Director (or Director), other managerial staff, and the Company Secretary in accordance with corporate governance regulations.

VII. List of Related Persons of the Public Company (6-month report) and Transactions of Related Persons with the Company

1. List of related persons of the Company:

No.	Name of Organization/Individual	Securities Trading Account (if any)	Position at the Company (if any)	ID/Passport No., Date of Issue, Place of Issue	Registered Office/Contact Address	Start Date of Related Person Status	End Date of Related Person Status	Reason	Nature of Relationship with the Company
01	Vu Phi Ho	-	Chairman of the BOD	-	-	30/07/2013	-	-	Chairman of the BOD
02	Dinh Van Hien	-	Member of the BOD, General Director	-	-	18/04/2008	-	-	General Director, legal representative
03	Nguyen Huy Hoan	-	Member of the BOD	-	-	01/06/2014	-	-	Member of the BOD
04	Mai Thanh Son	-	Member of the BOD	-	-	30/07/2013	18/06/2025	Dismissed at the 2025 AGM	Member of the BOD
05	Nguyen Tran Nhat	-	Member of the BOD, Deputy General Director	-	-	28/05/2015	-	-	Member of the BOD, Deputy General Director
06	Le Minh Khue	-	Member of the BOD	-	-	18/06/2025	-	Elected as	Member of the BOD

No.	Name of Organization/Individual	Securities Trading Account (if any)	Position at the Company (if any)	ID/Passport No., Date of Issue, Place of Issue	Registered Office/Contact Address	Start Date of Related Person Status	End Date of Related Person Status	Reason	Nature of Relationship with the Company
								additional BOD member at the 2025 AGM	
07	Vu Gia Hanh	-	Deputy General Director	-	-	11/05/2017	-	-	Deputy General Director
08	Tran Van Quyen	-	Deputy General Director	-	-	11/04/2018	-	-	Deputy General Director
09	Do Dinh Thang	-	Deputy General Director	-	-	19/12/2019	-	-	Deputy General Director
10	Tran Thi Yen	-	Chief Accountant	-	-	22/06/2017	-	-	Chief Accountant
11	Nguyen The Phong	-	Head of the Supervisory	-	-	28/05/2015	-	-	Head of the Supervisory

No.	Name of Organization/Individual	Securities Trading Account (if any)	Position at the Company (if any)	ID/Passport No., Date of Issue, Place of Issue	Registered Office/Contact Address	Start Date of Related Person Status	End Date of Related Person Status	Reason	Nature of Relationship with the Company
			Board						Board
12	Dang Thanh Van	-	Member of the Supervisory Board	-	-	28/05/2015	-	-	Member of the Supervisory Board
13	Bui Duc Hung	-	Member of the Supervisory Board	-	-	29/04/2017	-	-	Member of the Supervisory Board
14	Tran Thi Tuyet	-	Head of Finance & Accounting Department	-	-	22/06/2017	-	-	Head of Accounting Department
15	Nguyen Van Vu	-	Information Disclosure Officer	-	-	01/09/2020	-	-	Information Disclosure Officer

2. Transactions between the Company and its related persons; or between the Company and major shareholders, internal persons, and related persons of internal persons

No.	Name of Organization/Individual	Nature of Relationship with the Company	ID/Business Registration No.*, Date of Issue, Place of Issue	Registered Office/Contact Address	Time of Transaction with the Company	Resolution/Decision No. of GMS/BOD (if any, specify date)	Content, Quantity, Total Transaction Value	Notes
01	Nghe An Bus Station Joint Stock Company	Related person of an internal person	No. 2900599622, 8th amendment on 23/08/2024, Department of Planning and Investment of Nghe An Province	No. 77 Le Loi Street, Le Loi Ward, Vinh City, Nghe An Province	2025	No. 09/2025/NQ-BOD dated 28/04/2025	Bac Kan Mineral Joint Stock Corporation holds 1,021,680 shares, accounting for 5.4% of Nghe An Bus Station JSC's charter capital	Participation in the 2025 AGM of Nghe An Bus Station JSC

No.	Name of Organization/Individual	Nature of Relationship with the Company	ID/Business Registration No. *, Date of Issue, Place of Issue	Registered Office/Contact Address	Time of Transaction with the Company	Resolution/Decision No. of GMS/BOD (if any, specify date)	Content, Quantity, Total Transaction Value	Notes
02	Thien Ma Group Co., Ltd.	Major shareholder, related person of an internal person	No. 4700247955, issued on 13/03/2017 by Department of Planning and Investment of Bac Kan Province	Group 17, Bang Lung Town, Cho Don District, Bac Kan Province	2025	No. 12/2025/NQ-BOD dated 09/05/2025	Thien Ma Group holds 3,000,000 shares, accounting for 25.56% of BKC's charter capital	Regarding the organization of the 2025 AGM

3. Transactions between the Company's internal persons, related persons of internal persons, and subsidiaries or entities controlled by the Company: None.

4. Transactions between the Company and other parties:

4.1. Transactions between the Company and other companies in which members of the Board of Directors, members of the Supervisory Board, the General Director (Director), and other managerial personnel have been or are currently founding shareholders or have been or are currently members of the Board of Directors, General Director (Director) during the past three (03) years (as of the reporting date):

No.	Name of Organization/Individual	Nature of Relationship with the Company	ID/Business Registration No.*, Date of Issue, Place of Issue	Registered Office/Contact Address	Time of Transaction with the Company	Resolution/Decision No. of GMS/BOD (if any, specify date)	Number and percentage of shares held after transaction	Notes
01	Nghe An Bus Station Joint Stock Company	Mr. Vu Phi Ho is Chairman of the BOD; Mr. Nguyen Huy Hoan is BOD member; Mr. Dang Thanh Van is Supervisory Board member	No. 2900599622, 8th amendment on 23/08/2024, Department of Planning and Investment of Nghe An Province	No. 77 Le Loi Street, Le Loi Ward, Vinh City, Nghe An Province	2025	No. 09/2025/NQ-BOD dated 28/04/2025	Bac Kan Mineral Joint Stock Corporation holds 1,021,680 shares, accounting for 5.4% of Nghe An Bus Station JSC's charter capital	Participation in the 2025 AGM of Nghe An Bus Station JSC

4.2. Transactions between the Company and companies where related persons of members of the Board of Directors, members of the Supervisory Board, the General Director (Director), and other managerial personnel are members of the Board of Directors or serve as General Director (Director): None.

4.3. Other transactions of the Company (if any) that may bring material or non-material benefits to members of the Board of Directors, members of the Supervisory Board, the General Director (Director), and other managerial personnel: None.

VIII. Transactions in shares by internal persons and related persons of internal persons (6-month report)

1. List of internal persons and related persons of internal persons

No.	Full Name	Securities Trading Account (if any)	Position in the Company (if any)	ID/Passport No., Issue Date, Place of Issue	Contact Address	Number of Shares Held at End of Period	Ownership Percentage at End of Period	Notes
1	Vu Phi Ho		Chairman of the BOD			0	0%	
1.1	Vu Hoang Production and Trading International Co., Ltd.			No. 0101311107, amended on 07/07/2022 by Hanoi DPI	No. 68, Alley 116, Nhan Hoa Street, Nhan Chinh Ward, Thanh Xuan District, Hanoi	0	0%	Mr. Vu Phi Ho is the Chairman of the Members' Council
1.2	Nghe An Bus Station JSC			No. 2900599622, 8th amendment on 23/08/2024	No. 77, Le Loi Street, Le Loi Ward, Vinh City, Nghe An	0	0%	Mr. Vu Phi Ho is the Chairman of the BOD

No.	Full Name	Securities Trading Account (if any)	Position in the Company (if any)	ID/Passport No., Issue Date, Place of Issue	Contact Address	Number of Shares Held at End of Period	Ownership Percentage at End of Period	Notes
				by Nghe An DPI	Province			
1.3	Quang Ninh Bus Station JSC			No. 5700100640, amended on 20/12/2016 by Quang Ninh DPI	No. 354 Ha Long Road, Bai Chay Ward, Ha Long City, Quang Ninh	0	0%	Mr. Vu Phi Ho is the Chairman of the BOD
1.4	Tay Nguyen Durian JSC			No. 6001394460, 7th amendment on 10/10/2022	Lot CN4, Tan An Industrial Cluster, Tan An Ward, Buon Ma Thuot City, Dak Lak Province	0	0%	Mr. Vu Phi Ho is the Chairman of the BOD
2	Dinh Van Hien		Member of the BOD, General Director			2.576	0,02%	
2.1	Pham Huong Sen	No	No			0	0%	Wife

No.	Full Name	Securities Trading Account (if any)	Position in the Company (if any)	ID/Passport No., Issue Date, Place of Issue	Contact Address	Number of Shares Held at End of Period	Ownership Percentage at End of Period	Notes
2.2	Dinh Thai Son	No	No			0	0%	Son
2.3	Dinh Thai Bao	No	No			0	0%	Son
2.4	Vu Thi Thom	No	No			0	0%	Daughter-in-law
2.5	Dinh Van Lung	No	No			0	0%	Father
2.6	Dinh Van Thuong	No	No			0	0%	Brother
2.7	Dinh Van Lap	No	No			0	0%	Brother
2.8	Tay Nguyen Durian JSC	-	-			0	0%	Mr. Dinh Van Hien is a member of the BOD
3	Nguyen Huy Hoan		Member of the BOD			292.200	2,49%	
3.1	Nguyen Van Bang	No	No			0	0%	Father
3.2	Vu Thi Thu Ha	No	No			0	0%	Wife
3.3	Nguyen Trong Hieu	No	No			0	0%	Brother
34	Nguyen Thi Hong Ha	No	No			0	0%	Sister
3.5	Nguyen Huy Trading and Services Co., Ltd.	-	-	No. 0101306731, first issued on	No. 2, Alley 124 Thuy Khue, Thuy	0	0%	Mr. Nguyen Huy Hoan is the Company

No.	Full Name	Securities Trading Account (if any)	Position in the Company (if any)	ID/Passport No., Issue Date, Place of Issue	Contact Address	Number of Shares Held at End of Period	Ownership Percentage at End of Period	Notes
				31/10/2002 by Hanoi DPI	Khue Ward, Tay Ho District, Hanoi			Chairman
3.6	Nghe An Bus Station JSC	-	-	No. 2900599622, 8th amendment on 06/12/2019 by Nghe An DPI	No. 77, Le Loi Street, Le Loi Ward, Vinh City, Nghe An Province	0	0%	Mr. Nguyen Huy Hoan is a member of the BOD
4	Le Minh Khue	-	Member of the BOD			0	0	
4.1	Le Xuan Khang	-	-			0	0	Father
4.2	Nguyen Thi Thoa	-	-			0	0	Mother
4.3	Le Minh Hai	-	-			0	0	Father in law
4.4	Tran Thi Tam	-	-			0	0	Mother in law
4.5	Le Thi Viet Hang	009C78198 2	-			0	0	Wife
4.6	Le Chi Kien	-	-			0	0	Son
4.7	Le Minh Ngoc	-	-	-		0	0	Daughter

No.	Full Name	Securities Trading Account (if any)	Position in the Company (if any)	ID/Passport No., Issue Date, Place of Issue	Contact Address	Number of Shares Held at End of Period	Ownership Percentage at End of Period	Notes
4.8	Le Thanh Khoa	-	-			0	0	Sister
4.9	Le Minh Khanh	-	-			0	0	Brother
4.10	Le Thi Hoa	-	-			0	0	Sister
4.11	Chu Van Tuan	-	-			0	0	Brother in law
4.12	Quach Thi Hang	-	-			0	0	Sister in law
4.13	Le Tuan Thanh	-	-			0	0	Brother in law
4.14	Dong Nai Construction Materials and Fuel JSC	-	-	No. 3600661303, dated 18/03/2022 by Dong Nai DPI	255B Pham Van Thuan, Bien Tan Mai, Bien Hoa, Dong Nai	0	0	Mr. Le Minh Khue is a BOD Member
4.15	Long Thanh Trading JSC	-	-	No. 3600445359, dated 17/07/2020 by Dong Nai DPI	286 Le Duan, Long Thanh, Dong Nai	0	0	Mr. Le Minh Khue is a BOD Member
5	Nguyen Tran Nhat	-	Member of the BOD, Deputy General Director			0	0%	

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No.	Full Name	Securities Trading Account (if any)	Position in the Company (if any)	ID/Passport No., Issue Date, Place of Issue	Contact Address	Number of Shares Held at End of Period	Ownership Percentage at End of Period	Notes
5.1	Thien Ma Group Co., Ltd.	-	-	No. 4700247955, issued on 13/03/2017 by Bac Kan DPI	Group 17, Bang Lung Town, Cho Don District, Bac Kan Province	3.000.000	25,26%	Mr. Nguyen Tran Nhat is the Chairman of the Members' Council
5.2	Quang Ninh Bus Station JSC	-	-	No. 5700100640, amended on 20/12/2016 by Quang Ninh DPI	No. 354 Ha Long Road, Bai Chay Ward, Ha Long City, Quang Ninh	0	0%	Mr. Nguyen Tran Nhat is a member of the BOD
6	Vu Gia Hanh		Deputy General Director			300	0,003%	
6.1	Vu Gia Viet	No	No			0	0%	Father
6.2	Nong Thi My	No	No			0	0%	Mother
6.3	Hoang Thi Lay	No	No			0	0%	Wife
6.4	Vu Gia Phuc	No	No			0	0%	Brother
6.5	Vu Thi Anh Thu	No	No			0	0%	Daughter

No.	Full Name	Securities Trading Account (if any)	Position in the Company (if any)	ID/Passport No., Issue Date, Place of Issue	Contact Address	Number of Shares Held at End of Period	Ownership Percentage at End of Period	Notes
	Vu Gia An Phu	No	No			0	0%	Son
7	Tran Van Quyen		Deputy General Director			8.500	0,07%	
7.1	Le Thi Hien	No	No			0	0%	Mother
7.2	Tran Quang Hiep	No	No			0	0%	Brother
7.3	Tran Thi Hang	No	No			0	0%	Sister
7.4	Tran Thi Hanh	No	No			0	0%	Sister
7.5	Tran Thi Huong	No	No			0	0%	Sister
7.6	Tran Thi Tra	No	No			0	0%	Wife
7.7	Tran Thi Anh	No	No			0	0%	Daughter
7.8	Tran Van Quang	No	No			0	0%	Son
7.9	Tran Thi Ha My	No	No			0	0%	Daughter
8	Do Dinh Thang		Deputy General Director			0	0%	
8.1	Do Dinh Long	No	No			0	0%	Father
8.2	Nguyen Thi Nham	No	No			0	0%	Mother
8.3	Do Dinh Thuyen	No	No			0	0%	Brother

No.	Full Name	Securities Trading Account (if any)	Position in the Company (if any)	ID/Passport No., Issue Date, Place of Issue	Contact Address	Number of Shares Held at End of Period	Ownership Percentage at End of Period	Notes
8.4	Do Thi Thao	No	No			0	0%	Sister
8.5	Do Thi Hien	No	No			0	0%	Sister
8.6	Do Dinh Ha	No	No			0	0%	Brother
8.7	Duong Thi Ly	No	No			0	0%	Wife
8.8	Do Duong Gia Bao	No	No			0	0%	Son
8.9	Do Duong Gia Khanh	No	No			0	0%	Son
9	Tran Thi Yen		Chief Accountant			866	0.01%	
9.1	Tran Van Tuyen	No	No			0	0%	Father
9.2	Tran Van Mien	No	No			0	0%	Brother
9.3	Tran Van Viet	No	No			0	0%	Brother
9.4	Tran Van Cuong	No	No			0	0%	Brother
9.5	Nguyen Tran Hung	No	No			0	0%	Husband
10	Tran Thi Tuyet	No	Head of Finance – Accounting Department			0	0%	
10.1	Tran Van Hung	No	No			0	0%	Father

No.	Full Name	Securities Trading Account (if any)	Position in the Company (if any)	ID/Passport No., Issue Date, Place of Issue	Contact Address	Number of Shares Held at End of Period	Ownership Percentage at End of Period	Notes
10.2	Pham Thi Thin	No	No			0	0%	Mother
10.3	Tran Thi Tuyet Huong	No	No			0	0%	Sister
10.4	Tran Thi Tuyet Lan	No	No			0	0%	Sister
10.5	Vu Duc Toan	No	No			0	0%	Husband
10.6	Vu Tu Anh	No	No			0	0%	Daughter
10.7	Vu Thu Dung	No	No			0	0%	Daughter
11	Nguyen The Phong		Chief of Supervisory Board			1.288	0,01%	
11.1	Nguyen Thi Sich	No	No			0	0%	Mother
11.2	Thai Bich Hue	No	No			0	0%	Wife
11.3	Nguyen Thi Thinh	No	No			0	0%	Sister
11.4	Nguyen The Hoi	No	No			0	0%	Brother
11.5	Nguyen Thi Thanh Thuy	No	No			0	0%	Sister
11.6	Nguyen Thai Anh Hong	No	No			0	0%	Daughter
11.7	Nguyen Thai Ban Mai	No	No			0	0%	Daughter
12	Dang Thanh Van		Member of			633.283	5,395%	

No.	Full Name	Securities Trading Account (if any)	Position in the Company (if any)	ID/Passport No., Issue Date, Place of Issue	Contact Address	Number of Shares Held at End of Period	Ownership Percentage at End of Period	Notes
			Supervisory Board					
12.1	Nguyen Thi Bay	No	No			0	0%	Mother
12.2	Do Thuy Dung	No	No			0	0%	Wife
12.3	Dang Thanh Luong	No	No			0	0%	Son
12.4	Dang Anh Dinh	No	No			0	0%	Son
12.5	Dang Ngoc Long	No	No			0	0%	Brother
12.6	Dang Ngoc Son	No	No			0	0%	Brother
12.7	Dang Thi Thuy	No	No			0	0%	Sister
12.8	Dang Minh Tam	No	No			0	0%	Brother
12.9	Dang Van Su	No	No			0	0%	Brother
12.10	Dang Van Lieu	No	No			0	0%	Brother
12.11	Nghe An Bus Station JSC			No. 2900599622, 8th amendment on 06/12/2019 by Nghe An DPI	No. 77, Le Loi Street, Le Loi Ward, Vinh City, Nghe An Province	0	0%	Mr. Dang Thanh Van is a member of the Supervisory Board

No.	Full Name	Securities Trading Account (if any)	Position in the Company (if any)	ID/Passport No., Issue Date, Place of Issue	Contact Address	Number of Shares Held at End of Period	Ownership Percentage at End of Period	Notes
13	Bui Duc Hung		Member of Supervisory Board			66	0.001%	
13.1	Nguyen Thi Luan	No	No			0	0%	Mother
13.2	Me Thi Xuyen	No	No			0	0%	Wife
13.3	Bui Duc Manh	No	No			0	0%	Son
13.4	Bui Ngoc An	No	No			0	0%	Son
14	Nguyen Van Vu		Information Disclosure Officer, Corporate Secretary			0	0%	
14.1	Nguyen Van Bien	No	No			0	0%	Father
14.2	Be Thi Viet	No	No			0	0%	Mother
14.3	Nguyen Van Thiep	No	No			0	0%	Brother
14.4	Ma Thi Man	No	No			0	0%	Wife
14.5	Nguyen Nhat Nam	No	No			0	0%	Son

2. Transactions of major shareholders and related persons in the company's shares:

No.	Name of Transacting Person	Relationship to Insider	Shares Held at Beginning of Period		Shares Held at End of Period		Reason for Increase/Decrease (Purchase, Sale, Conversion, Bonus, etc.)
			Number of shares	Ownership (%)	Number of shares	Ownership (%)	
01	Vu Gia Hanh	Insider (Deputy CEO)	1.100	0,009	4.000	0,034	Increase: Purchased 2,900 shares
02	Vu Gia Hanh	Insider (Deputy CEO)	4.000	0,034	300	0,003	Decrease: Sold 3,700 shares
03	Pham Van Dien	Related Person (Father-in-law of Mr. Dinh Van Hien – CEO)	11.486	0,099	0	0	Decrease: Sold 11,486 shares
04	Dang Thanh Van	Insider (Member of Supervisory Board)	639.283	5,45	633.283	5,395	Decrease: Sold 6,000 shares
05	Dinh Van Hien	Insider (CEO)	2.576	0,022	2.576	0,022	No transaction executed as expected
06	Mai Van Ban	Related Person (Father of Mr. Mai Thanh Son, BOD Member)	863.828	7,36	600.000	5,112	Decrease: Sold 263,828 shares

IX. Other Matters to Note: None

Recipients:

- As addressed;
- Board of Directors;
- Supervisory Board;
- Executive Board;
- Company Secretary;
- Filing: Office, Chief of Office.

**CHAIRMAN OF
THE BOARD OF DIRECTORS**



Vu Phi Ho

