

No: 329/2025/LICOGI13-BCQT

Ha Noi, July 25, 2025

REPORT ON CORPORATE GOVERNANCE OF THE LISTED COMPANY
(First Half of 2025)

To: - State Securities Commission of Vietnam
- Hanoi Stock Exchange

- Name of the listed company: **LICOGI 13 Joint Stock Company**
- Head Office Address: 4th Floor, LICOGI 13 TOWER, Khuat Duy Tien Street, Nhan Chinh Ward, Thanh Xuan District, Hanoi City
- Telephone: 0243 854 4623
- Email: Tonghop@licogi13.com.vn
- Charter Capital: VND 950,845,690,000 (In words: Nine hundred fifty billion, eight hundred forty-five million, six hundred ninety thousand dong)
- Ticker Symbol: **LIG**
- Corporate Governance Model: General Meeting of Shareholders, Board of Directors, Supervisory Board, and Chief Executive Officer
- Internal Audit Function: Implemented

I. Activities of the General Meeting of Shareholders (GMS).

Information on meetings and Resolutions/Decisions of the GMS (including resolutions adopted via written ballot):

No.	Resolution No.	Date	Main Content
1	27/NQ-LICOGI13-ĐHĐCĐ	30/6/2025	1. Approved the following annual matters: + Approval of 2024 business performance report, audited consolidated financial statements for 2024, profit distribution plan of the parent company, and 2025 business plan + Approval of contracts/transactions between LICOGI13 and subsidiaries, associates/related parties conducted in 2024

No.	Resolution No.	Date	Main Content
			<ul style="list-style-type: none"> + Remuneration for the Board of Directors (BOD), Supervisory Board (SB), Corporate Secretary, and BOD supporting team for 2025 + Authorization to BOD to select an approved audit firm for 2025 + Approval of share transfer of LICOGI13's ownership in: <ul style="list-style-type: none"> • LICOGI 13 – CMC (574,949 shares – 16.65% charter capital) • LICOGI 13 – ICI (4,090,000 shares – 45.43% charter capital) • LICOGI 13 – IMC (100% ownership, equivalent to 2,084,000 shares) + Capital contribution to establish LICOGI 13 Hoa Lu JSC (98% capital) to implement real estate projects in Ninh Binh and nearby areas + Capital contribution to establish Quán Ngang 3 Investment JSC (52% capital) for the Quan Ngang 3 Industrial Park Project + Approval of 100% divestment in Construction Vocational School 1 Co., Ltd. + Capital contribution to maintain 51% ownership in LICOGI13FC during 2025 capital increase + Approval to purchase additional shares in LICOGI 13 – ITC, raising ownership to 10% + Amendment and supplementation of company charter and business lines + Approval of SB's 2024 activity and supervision report + Authorization to the BOD for certain GMS-delegated matters + Approval of the list of BOD members for the 2025–2029 term + Approval of the list of SB members for the 2025–2029 term

No.	Resolution No.	Date	Main Content
			+ Assignment of implementation responsibility to BOD as per approved resolutions

II. Board of Directors (BOD).

1. Information on BOD Members.

No.	Member	Position	Appointment Date	Dismissal Date
1	Pham Van Thang	Chairman	26/06/2020	
2	Bui Dinh Son	Member	Before 30/03/2010	
3	Nguyen Van Hiep	Member	Before 30/03/2010	
4	Nguyen Quoc Hung	Member	Before 30/03/2010	
5	Vu Tuan Duong	Member	Before 30/03/2010	
6	Nguyen Thanh Tu	Member	22/04/2010	
7	Do Thanh Ha	Member	26/06/2020	

Note: March 30, 2010 is the date LICOGI 13 was listed on Hanoi Stock Exchange

2. BOD Meetings.

No.	Member	Position	Number of Board Meetings Attended	Attendance Rate	Reason for Non-Attendance
1	Pham Van Thang	Chairman	13	100%	
2	Bui Dinh Son	Member	13	100%	
3	Nguyen Van Hiep	Member	13	100%	
4	Nguyen Quoc Hung	Member	13	100%	
5	Vu Tuan Duong	Member	13	100%	
6	Nguyen Thanh Tu	Member	13	100%	
7	Do Thanh Ha	Member	13	100%	

3. BOD Supervision of Executive Management

- BOD assigned specific monitoring duties to members
- Regular meetings to evaluate performance against annual goals approved by GMS
- Consultations and approvals for executive proposals as per legal and corporate charter regulations
- Site visits to major projects to assess progress and provide support to executive management

4. BOD Sub-Committees: None

5. BOD Resolutions & Decisions

a) Resolutions:

No.	Resolution No.	Date	Content	Approval Rate
1	01/2025/NQ-LICOGI13-HĐQT	04/01/2025	Re: Purchase of additional shares in Global Petroleum Energy JSC	100%
2	02/2025/NQ-LICOGI13-HĐQT	17/01/2025	Re: Pledging LICOGI13's equity interest in Song Nhiem 3 Joint Stock Company and providing a guarantee for the full repayment obligations of Song Nhiem 3 Joint Stock Company to OCB Bank – Cho Lon Branch.	100%
3	03/2025/NQ-LICOGI13-HĐQT	09/3/2025	Re: Transfer of 100% equity in LICOGI 13 – IMC	100%
4	04/2025/NQ-LICOGI13-HĐQT	21/3/2025	Re: Approval of the proposal by Saigon Thanh Dat Agricultural Investment Joint Stock Company to contribute capital to establish a new enterprise	100%
5	05/2025/NQ-LICOGI13-HĐQT	29/3/2025	Re: Extension of the deadline for organizing the 2025 Annual General Meeting of Shareholders of LIG	100%
6	06/2025/NQ-LICOGI13-HĐQT	31/03/2025	Re: Approval of a loan, provision of guarantee, and appointment of a representative to sign contracts with	100%

No.	Resolution No.	Date	Content	Approval Rate
			Southeast Asia Commercial Joint Stock Bank – Lang Ha Branch	
7	07/2025/NQ-LICOGI13-HĐQT	10/4/2025	Re: Pledging all LICOGI13's shares in Song Nhiem 3 Joint Stock Company and guaranteeing the full repayment obligations of Song Nhiem 3 Joint Stock Company to OCB Bank – Cho Lon Branch	100%
8	08/2025/NQ-LICOGI13-HĐQT	17/4/2025	Re: Signing an addendum to the mortgage agreement of LICOGI13 at BIDV – Thanh Xuan Branch	100%
9	09/2025/NQ-LICOGI13-HĐQT	28/4/2025	Re: Transfer of the office floor on the 15th floor of LICOGI13 Tower	100%
10	10/2025/NQ-LICOGI13-HĐQT	09/5/2025	Re: Capital contribution to establish project company for Quán Ngang Industrial Park Phase 3	100%
11	14/2025/NQ-LICOGI13-HĐQT	28/5/2025	Re: Acquisition of additional shares in LICOGI13FC in the 2025 charter capital increase	100%
12	16/2025/NQ-LICOGI13-HĐQT	09/6/2025	Re: Acquisition of additional shares in LICOGI13 Technology Investment Joint Stock Company in the 2025 charter capital increase	100%

b) Decisions:

No.	Decision No.	Date	Content	Approval Rate
1	01/2025/QĐ-LICOGI13-HĐQT	15/01/2025	Re: Guarantee loan for LICOGI13 Thuan Phuoc 2-Member Co., Ltd	100%
2	02/2025/QĐ-LICOGI13-HĐQT	17/3/2025	Re: Capital contribution to establish LICOGI 13 Hoa Lu Joint Stock Company and appointment of an authorized representative	100%

No.	Decision No.	Date	Content	Approval Rate
3	145/QĐ-LICOGI13-HĐQT	03/4/2025	Re: Dismissal of Mrs. Nguyen Thi Thom from the position of Chief Accountant of LICOGI13 Joint Stock Company	100%
4	146/2024/QĐ-LICOGI13-HĐQT	03/4/2025	Re: Appointment of Ms. Lai Thi Tho as Chief Accountant	100%
5	180/QĐ-LICOGI13-HĐQT	17/4/2025	Re: Reappointment of Mr. Pham Van Thang as CEO	100%
6	181/QĐ-LICOGI13-HĐQT	17/4/2025	Re: Reappointment of Mrs. Nguyen Thanh Tu as Deputy General Director of LICOGI 13 Joint Stock Company	100%
7	182/QĐ-LICOGI13-HĐQT	17/4/2025	Re: Reappointment of Mr. Do Thanh Ha as Deputy General Director of LICOGI 13 Joint Stock Company	100%
8	183/QĐ-LICOGI13-HĐQT	17/4/2025	Re: Reappointment of Mr. Tran Quang Huy as Deputy General Director of LICOGI 13 Joint Stock Company	100%
9	184/QĐ-LICOGI13-HĐQT	17/4/2025	Re: Reappointment of Mrs. Trần Thị Vân Anh as Head of Planning and General Department of LICOGI 13 Joint Stock Company	100%

III. Supervisory Board (SB)

1. SB Members

No.	Member	Position	Term Start	Qualification
1	Nguyen Thi Thom	Head (2025–2029)	30/06/2025	BA in Economics
2	Duong Thi Phuong	Head (2020–2024)	24/04/2018	BA in Economics
3	Dinh Thi Kim Anh	Member (2020–2024)	25/04/2015	LL.B.

4	Le Van Cuong	Member (2020–2029)	26/06/2020	BA in Economics
5	Hoang Thi Tuyen	Member (2025–2029)	30/06/2025	Construction Economics Engineer

Note: Ms. Phuong and Ms. Kim Anh ended their term on June 30, 2025.

2. SB Meetings

No.	Member of the Supervisory Board	Date Started as SB Member	Number of SB Meetings Attended	Attendance Rate	Reason for Absence from Meetings
1	Nguyen Thi Thom	Từ 30/6/2025	1	100%	-
2	Duong Thi Phuong	Từ 24/04/2018	1	100%	-
3	Dinh Thi Kim Anh	Từ 25/04/2015	1	100%	-
4	Le Van Cuong	Từ 26/6/2020	1	100%	-
5	Hoang Thi Tuyen	Từ 30/6/2025	1	100%	-

3. SB Oversight of BOD and Executive Board

- Monitored implementation of annual objectives from GMS resolutions
- Tracked compliance with laws and corporate charter by BOD and executives
- Assessed decision-making processes against legal standards

4. Coordination between the Supervisory Board and the Board of Directors, Executive Management, and Other Managerial Personnel:

- The Board of Directors (BOD) and the Executive Management (CEO and management team) proactively provide relevant information and documentation related to the implementation of the annual strategic programs in accordance with the resolutions of the Annual General Meeting of Shareholders (AGM).
- The BOD and Executive Management proactively provide information and documentation pertaining to the issuance of resolutions and decisions.
- Based on the materials and information provided, the Supervisory Board (SB) reviews and evaluates the level of implementation and compliance with the Company's Charter and applicable corporate laws.

5. Other Activities: None

IV. Executive Board

No.	Member	Date of Birth	Qualification	Appointment Date
1	Pham Van Thang	12/08/1977	Business Administration Engineer	22/6/2010
2	Do Thanh Ha	27/10/1973	Construction Engineer	22/4/2014
3	Nguyen Thanh Tu	10/02/1980	Master of Economics	22/4/2010
4	Le Xuan Thanh	27/5/1979	MSc. in Electronics & Telecom	30/3/2010
5	Nguyen Nam Son	30/8/1961	Bachelor of Law & Political Science	01/7/2021
6	Tran Quang Huy	17/8/1972	Hydraulic Engineering Engineer	30/3/2010

V. Reason for Absence from Meetings

No.	Full Name	Position	Professional Qualification	Appointment Date as Chief Accountant
1	Lai Thi Tho	Chief Accountant	Bachelor of Finance and Accounting	03/4/2025

VI. Corporate Governance Training

Corporate governance training courses attended by members of the Board of Directors, Supervisory Board, Executive Management (General Director), other senior managers, and the Company Secretary as required by corporate governance regulations: **None.**

VII. List of Related Persons of the Public Company and Transactions between Related Persons and the Company

1. List of related persons of the company: **(Appendix 1 – attached to this report)**
2. Transactions between the company and its related persons; or between the company and major shareholders, insiders, or related persons of insiders: **None**
3. Transactions between insiders or related persons of insiders of the listed company and subsidiaries or companies controlled by the listed company: **None**
4. Transactions between the company and other related parties:

4.1 Transactions between the company and other companies where members of the Board of Directors, the Supervisory Board, or the Executive Management have been or are founders or members of the Board of Directors or Executive Management within the past three (03) years (as of the reporting date): **None**

4.2 Transactions between the company and other companies where related persons of members of the Board of Directors, the Supervisory Board, or Executive Management are members of the Board of Directors or Executive Management: **None**

4.3 Other transactions (if any) that may bring material or immaterial benefits to members of the Board of Directors, Supervisory Board, or Executive Management: **None**

VIII. Share Transactions by Insiders and Related Persons (Annual Report)

1. List of insiders and related persons of insiders: **(Appendix 2 – attached to this report)**
2. Share transactions conducted by insiders and their related persons involving the listed company's shares: **None**

IX. Other Noteworthy Issues: None.

CHAIRMAN OF THE BOARD OF DIRECTORS



CHỦ TỊCH HĐQT
Phạm Văn Lăng

APPENDIX 1: List of related persons of LICOGI 13 Joint Stock Company - Stock code LIG
(Attached to the first 6-month management report of 2025 No. 329/BCQT-LICOGI 13 dated July 25, 2025)

No.	Name of organization/individual	Securities trading account	Position at the company	Time of starting to be affiliated Person	Time of ending to be affiliated person	Reason	Relationships with companies/ internal people
1	Pham Van Thang	011C126236	Chairman of the Board of Directors- General Director	22/6/2010			
<i>Affiliated person of Mr. Pham Van Thang</i>							
1.1	Pham Van Thang						Father
1.2	Nguyen Thi Lanh						Mother
1.3	Lam Dinh Vy						Father-in-law
1.4	Hoang Thi Gai						Mother-in-law
1.5	Lam Thi Thu Phuong	074C789888					Wife
1.6	Pham Lam Duc Hung						son
1.7	Pham Gia Bach						son
1.8	Pham Thi Kim Thoa						Younger sister
1.9	Hoang Van Bao						Brother-in-law
2	Bui Dinh Son	036C004040	Member of the Board of Directors	Before March 30, 2010			
<i>Affiliated person of Mr. Bui Dinh Son</i>							
2.1	Bui Dinh Can						Father
2.2	Hoang Thi Hang						Wife
2.3	Bui Minh Thu						Daughter
2.4	Bui Dinh Phuc Hung						son
2.5	Bui Duong Hung	011C08499 058C880688					Older brother
2.6	Nguyen Thi Nga						Sister-in-law
2.7	Bui Thị Hương	002C052648					Younger sister
2.8	Bui Thi Tuyet	006C097321					Younger sister
2.9	Pham Ngoc Luan						Brother-in-law
2.10	Nguyen Van Sang						Brother-in-law
2.11	Bui Van Sinh	058C235566					Younger brother
2.12	Nguyen Thi Hong						Sister-in-law
2.13	Nguyen Quang Nam						Brother-in-law
2.14	Bui Tuyet Mai	006C097925					Younger sister

No.	Name of organization/individual	Securities trading account	Position at the company	Time of starting to be affiliated Person	Time of ending to be affiliated person	Reason	Relationships with companies/ internal people
3	Nguyen Van Hiep	036C004075	Member of the Board of Directors	Before March 30, 2010			
<i>Affiliated person of Mr. Nguyen Van Hiep</i>							
3.1	Pham Thi Cuc						Mother
3.2	Hoang Thi Khanh						Wife
3.3	Nguyen Khanh Huyen						Daughter
3.4	Nguyen Khanh Hang						Daughter
3.5	Nguyen Van Hai						Older brother
3.6	Nguyen Thi Ngoc						Older sister
3.7	Nguyen Thi Thu Ha						Older sister
4	Nguyen Quoc Hung	036C000686	Member of the Board of Directors	Before March 30, 2010			
<i>Affiliated person of Mr Nguyen Quoc Hung</i>							
4.1	Nguyen Lam Tung						Father
4.2	Nguyen Thi Binh						Mother
4.3	Trinh Van Dat						Father-in-law
4.4	Doan Thi Nghia						Mother-in-law
4.5	Trinh Thi Phuong Hoa	026C284264 002C194185					Wife
4.6	Nguyen Quynh Anh						Daughter
4.7	Nguyen Chau Anh	105C306593					Daughter
4.8	Nguyen Thi Hong Hanh	036C001068					Younger sister
4.9	Pham Van Trung						Son-in-law
4.10	Pham Nguyen Hong	036C001066					Brother-in-law
5	Nguyen Thanh Tu	036C002366	Member of the Board of Directors -Deputy General Manager	22/4/2010			
<i>Affiliated person of Mrs Nguyen Thanh Tu</i>							
5.1	Nguyen Kim Thanh						Mother
5.2	Nguyen Thi Lan						Mother-in-law
5.3	Nguyen The Chinh						Older brother
5.4	Dao Thi Tien Ngoc						Sister-in-law
5.5	Hoang Nguyen Ngoc	005C326118					Husband
5.6	Hoang Duc Quang						son
5.7	Hoang Duc Minh						son

No.	Name of organization/individual	Securities trading account	Position at the company	Time of starting to be affiliated Person	Time of ending to be affiliated person	Reason	Relationships with companies/ internal people
6	Vu Tuan Duong	036C005168	Member of the Board of Directors	Before March 30, 2010			
<i>Affiliated person of Mr. Vu Tuan Duong</i>							
6.1	Tran Thi Ry						Mother
6.2	Khieu Thi Tam						Mother-in-law
6.3	Vu Van Duong						Younger brother
6.4	Nguyen Thi Hoa						Sister-in-law
6.5	Vu Minh Kim						Younger brother
6.6	Nguyen Thi Thao						Sister-in-law
6.7	Vu Thi Hong Nhung						Younger sister
6.8	Dinh Tien Hoang						Brother-in-law
6.9	Vu Thi Nhuong						Younger sister
6.10	Vu Van Chi						Brother-in-law
6.11	Vu Thi Nhuan						Younger sister
6.12	Nguyen Van Thao						Brother-in-law
6.13	Vu Thi Thom						Wife
6.14	Vu Minh Duc						son
6.15	Vu Thanh Ha						Daughter
7	Tran Quang Huy	036C004077	Deputy General Manager	Before March 30, 2010			
<i>Affiliated person of Mr. Tran Quang Huy</i>							
7.1	Tran Van Loc						Father
7.2	Nguyen Thi Hoa Bac						Mother
7.3	Doan Thi Hien	011C170065					Wife
7.4	Tran Khanh Linh						Daughter
7.5	Tran Ha Anh						Daughter
7.6	Tran Thi Hang						Older sister
7.7	Tran Thu Nga						Older sister
7.8	Tran Manh Hung						Older brother
7.9	Tran Tu Hanh						Younger sister
8	Do Thanh Ha	036C004042	Member of the Board of Directors- Deputy General Manager	22/4/2014			
<i>Affiliated person of Mr. Do Thanh Ha</i>							
8.1	Le Thi Oanh						Mother
8.2	Tran Van Nga						Father-in-law

No.	Name of organization/individual	Securities trading account	Position at the company	Time of starting to be affiliated Person	Time of ending to be affiliated person	Reason	Relationships with companies/ internal people
8.3	Tran Thi Che						Mother-in-law
8.4	Do Lan Huong						Younger sister
8.5	Bui Quang Anh						Brother-in-law
8.6	Tran Thi Kim Hoa	045C512223					Wife
8.7	Do Duc Thang						son
9	Le Xuan Thanh	105CA65666	Deputy General Manager	20/6/2017			
<i>Affiliated person of Mr. Le Xuan Thanh</i>							
9.1	Nguyen Thi Chinh						Mother
9.2	Nguyen Thi Huyen Anh						Wife
9.3	Le Nguyen Gia Bao						son
9.4	Le Nguyen Bao Nam						son
9.5	Le Thi Kieu Minh						Older sister
9.6	Le Thi Minh Thu						Older sister
10	Nguyen Nam Son	116C011295	Deputy General Manager	01/7/2021			
<i>Affiliated person of Mr. Nguyen Nam Son</i>							
10.1	Nguyen Thi Thuong						Mother
10.2	Nguyen The Tang						Father-in-law
10.3	Tran Thi My Loi						Mother-in-law
10.4	Nguyen Thi Thanh Thuy						Wife
10.5	Nguyen Manh Hung						son
10.6	Nguyen Son Tung						son
10.7	Dinh My Ngoc						Daughter-in-law
10.8	Nguyen Thi Thuy						Older sister
10.9	Hoang Xuan Tham						Brother-in-law
10.10	Nguyen Thi Hoa						Younger sister
10.11	Duong Manh Hung						Brother-in-law
10.12	Nguyen Nam Ha						Younger brother
10.13	Ta Thi Cuong						Sister-in-law

No.	Name of organization/individual	Securities trading account	Position at the company	Time of starting to be affiliated Person	Time of ending to be affiliated person	Reason	Relationships with companies/ internal people
11	Nguyen Thi Thom	036C004005	Head of Supervisory Board	30/6/2025			
Affiliated person of Mrs Nguyen Thi Thom							
11.1	Mai Van Su						Husband
11.2	Mai Son						son
11.3	Nguyen Thi Huong Giang						Daughter-in-law
11.4	Mai Lam						son
11.5	Nguyen Ngoc Tinh						Older brother
11.6	Nguyen Thi Lua						Older sister
11.7	Nguyen Thi Hoi						Older sister
12	Hoang Thi Tuyen		Member of Supervisory Board	30/6/2025			
Affiliated person of Mrs Hoang Thi Tuyen							
12.1	Hoang Ngoc Thuong						Father
12.2	Bui Thi Ngoi						Mother
12.3	Vu Thi Ninh						Mother-in-law
12.4	Nguyen Van Duc						Husband
12.5	Nguyen Hoang Lan						Daughter
12.6	Nguyen Hoang Long						Daughter
12.7	Hoang Ngoc Phuc						Younger sibling
12.8	Hoang Ngoc Hau						Younger sibling
13	Le Van Cuong		Member of Supervisory Board	26/6/2020			
Affiliated person of Mr. Le Van Cuong							
13.1	Le Van Cuong						Father
13.2	Le Thi Thu Ha						Older sister
13.3	Nguyen Thanh Ha						Wife
13.4	Le Ha Minh						Daughter
13.5	Le Chi Tung						son
13.6	Truong Thi Thanh						Mother-in-law
13.7	Tran Ngoc Khang						Brother-in-law
14	Lai Thi Tho		Chief Accountant	3/4/2025			
Affiliated person of Mr. Lai Thi Tho							

No.	Name of organization/individual	Securities trading account	Position at the company	Time of starting to be affiliated Person	Time of ending to be affiliated person	Reason	Relationships with companies/ internal people
14.1	Le Thi Chan						Mother
14.2	Duong Thi Chien						Mother-in-law
14.3	Nguyen Duong Tien						Husband
14.4	Nguyen Cong Hien						Son
14.5	Nguyen Ngoc Minh						Son
14.6	Nguyen Duong Tuan						Brother-in-law
14.7	Bui Thi Huong						Sister-in-law
14.8	Lai The Tai						Younger brother
14.9	Do Thi Kieu Oanh						Sister-in-law
15	Tran Thi Van Anh	036C004045	Authorized Information Disclosure Officer				
<i>Affiliated person of Ms. Tran Thi Van Anh</i>							
15.1	Tran Thi Quy						Mother
15.2	To Anh Tuan						son
15.3	Tran Thi Khanh Van						Older sister
15.4	Tran Thi Phuong Hoa						Younger sister
15.5	Nguyen Van Giap						Brother-in-law
15.6	Tran Minh Duc						Younger brother

Note:

- March 30, 2010 is the day LICOGI 13 Joint Stock Company was approved to list on the Hanoi Stock Exchange.

APPENDIX 2: List of Internal persons and theirs Affiliated persons of LICOGI 13 Joint Stock Company - Stock code LIG

(Attached to the first 6-month management report of 2025 No. 329/BCQT-LICOGI 13 dated July 25, 2025)

No.	Name of organization/individual	Securities trading account	Position at the company	Number of shares owned at the end of the period (Stock)	Share ownership ratio at the end of the period (%)	Note
1	Pham Van Thang	011C126236	Chairman of the Board of Directors-General Director	3,655,712	3.84%	
Related person of Mr. Pham Van Thang						
1.1	Pham Van Thang			0	0%	Father
1.2	Nguyen Thi Lanh			0	0%	Mother
1.3	Lam Dinh Vy			0	0%	Father-in-law
1.4	Hoang Thi Gai			0	0%	Mother-in-law
1.5	Lam Thi Thu Phuong	074C789888		0	0%	Wife
1.6	Pham Lam Duc Hung			0	0%	son
1.7	Pham Gia Bach			0	0%	son
1.8	Pham Thi Kim Thoa			0	0%	Younger sister
1.9	Hoang Van Bao					Brother-in-law
2	Bui Dinh Son	036C004040	Member of the Board of Directors	1,981,875	2.08%	
Related person of Mr. Bui Dinh Son						
2.1	Bui Dinh Can			0	0%	Father
2.2	Hoang Thi Hang			0	0%	Wife
2.3	Bui Minh Thu			0	0%	Daughter
2.4	Bui Dinh Phuc Hung			0	0%	son
2.5	Bui Duong Hung	011C08499 058C880688		0	0%	Older brother
2.6	Nguyen Thi Nga			0	0%	Sister-in-law
2.7	Bui Thi Huong	002C052648		0	0%	Younger sister
2.8	Bui Thi Tuyen	006C097321		0	0%	Younger sister
2.9	Pham Ngoc Luan			0	0%	Brother-in-law
2.10	Nguyen Van Sang			0	0%	Brother-in-law
2.11	Bui Van Sinh	058C235566		0	0%	Younger brother

No.	Name of organization/individual	Securities trading account	Position at the company	Number of shares owned at the end of the period (Stock)	Share ownership ratio at the end of the period (%)	Note
2.12	Nguyen Thi Hong			0	0%	Sister-in-law
2.13	Nguyen Quang Nam			0	0%	Brother-in-law
2.14	Bui Tuyet Mai	006C097925		0	0%	Younger sister
3	Nguyen Van Hiep	036C004075	Member of the Board of Directors	526,290	0.55%	
<i>Related person of Mr. Nguyen Van Hiep</i>						
3.1	Pham Thi Cuc			0	0%	Mother
3.2	Hoang Thi Khanh			0	0%	Wife
3.3	Nguyen Khanh Huyen			0	0%	Daughter
3.4	Nguyen Khanh Hang			0	0%	Daughter
3.5	Nguyen Van Hai			0	0%	Older brother
3.6	Nguyen Thi Ngoc			0	0%	Older sister
3.7	Nguyen Thi Thu Ha			0	0%	Older sister
4	Nguyen Quoc Hung	036C000686	Member of the Board of Directors	630,000	0.66%	
<i>Related person of Mr. Nguyen Quoc Hung</i>						
4.1	Nguyen Lam Tung			0	0%	Father
4.2	Nguyen Thi Binh			0	0%	Mother
4.3	Trinh Van Dat			0	0%	Father-in-law
4.4	Doan Thi Nghia			0	0%	Mother-in-law
4.5	Trinh Thi Phuong Hoa	026C284264 002C194185		0	0%	Wife
4.6	Nguyen Quynh Anh			0	0%	Daughter
4.7	Nguyen Chau Anh	105C306593		0	0%	Daughter
4.8	Nguyen Thi Hong Hanh	036C001068		0	0%	Younger sister
4.9	Pham Van Trung			0	0%	Son-in-law
4.10	Pham Nguyen Hong	036C001066		0	0%	Brother-in-law
5	Nguyen Thanh Tu	036C002366	Member of the Board of Directors - Deputy General Manager	270,062	0.28%	
<i>Related person of Mrs. Nguyen Thanh Tu</i>						
5.1	Nguyen Kim Thanh			0	0%	Mother
5.2	Nguyen Thi Lan			0	0%	Mother-in-law
5.3	Nguyen The Chinh			0	0%	Older brother

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No.	Name of organization/individual	Securities trading account	Position at the company	Number of shares owned at the end of the period (Stock)	Share ownership ratio at the end of the period (%)	Note
5.4	Dao Thi Tien Ngoc			0	0%	Sister-in-law
5.5	Hoang Nguyen Ngoc	005C326118		0	0%	Husband
5.6	Hoang Duc Quang			0	0%	son
5.7	Hoang Duc Minh			0	0%	son
6	Vu Tuan Duong	036C005168	Member of the Board of Directors	531,484	0.56%	
<i>Related person of Mr. Vu Tuan Duong</i>						
6.1	Tran Thi Ry			0	0%	Mother
6.2	Khieu Thi Tam			0	0%	Mother-in-law
6.3	Vu Van Duong			0	0%	Younger brother
6.4	Nguyen Thi Hoa			0	0%	Sister-in-law
6.5	Vu Minh Kim			0	0%	Younger brother
6.6	Nguyen Thi Thao			0	0%	Sister-in-law
6.7	Vu Thi Hong Nhung			0	0%	Younger sister
6.8	Dinh Tien Hoang			0	0%	Brother-in-law
6.9	Vu Thi Nhung			0	0%	Younger sister
6.10	Vu Van Chi			0	0%	Brother-in-law
6.11	Vu Thi Nhuan			0	0%	Younger sister
6.12	Nguyen Van Thao			0	0%	Brother-in-law
6.13	Vu Thi Thom			0	0%	Wife
6.14	Vu Minh Duc			0	0%	son
6.15	Vu Thanh Ha			0	0%	Daughter
7	Tran Quang Huy	036C004077	Deputy General Manager	0	0%	
<i>Related person of Mr. Tran Quang Huy</i>						
7.1	Tran Van Loc			0	0%	Father
7.2	Nguyen Thi Hoa Bac			0	0%	Mother
7.3	Doan Thi Hien	011C170065		0	0%	Wife
7.4	Tran Khanh Linh			0	0%	Daughter
7.5	Tran Ha Anh			0	0%	Daughter
7.6	Tran Thi Hang			0	0%	Older sister
7.7	Tran Thu Nga			0	0%	Older sister
7.8	Tran Manh Hung			0	0%	Older brother
7.9	Tran Tu Hanh			0	0%	Younger sister

No.	Name of organization/individual	Securities trading account	Position at the company	Number of shares owned at the end of the period (Stock)	Share ownership ratio at the end of the period (%)	Note
8	Do Thanh Ha	036C004042	Member of the Board of Directors - Deputy General Manager	73,743	0.08%	
<i>Related person of Mr. Do Thanh Ha</i>						
8.1	Le Thi Oanh			0	0%	Mother
8.2	Tran Van Nga			0	0%	Father-in-law
8.3	Tran Thi Che			0	0%	Mother-in-law
8.4	Do Lan Huong			0	0%	Younger sister
8.5	Bui Quang Anh			0	0%	Brother-in-law
8.6	Tran Thi Kim Hoa	045C512223		0	0%	Wife
8.7	Do Duc Thang			0	0%	son
9	Le Xuan Thanh	105CA65666	Deputy General Manager	1,050	0.00%	
<i>Related person of Mr. Le Xuan Thanh</i>						
9.1	Nguyen Thi Chinh			0	0%	Mother
9.2	Nguyen Thi Huyen Anh			0	0%	Wife
9.3	Le Nguyen Gia Bao			0	0%	son
9.4	Le Nguyen Bao Nam			0	0%	son
9.5	Le Thi Kieu Minh			0	0%	Older sister
9.6	Le Thi Minh Thu			0	0%	Older sister
10	Nguyen Nam Son	116C011295	Deputy General Manager	10,000	0.01%	
<i>Related person of Mr. Nguyen Nam Son</i>						
10.1	Nguyen Thi Thuong			0	0%	Mother
10.2	Nguyen The Tang			0	0%	Father-in-law
10.3	Tran Thi My Loi			0	0%	Mother-in-law
10.4	Nguyen Thi Thanh Thuy			0	0%	Wife
10.5	Nguyen Manh Hung			0	0%	son
10.6	Nguyen Son Tung			0	0%	son
10.7	Dinh My Ngoc			0	0%	Daughter-in-law
10.8	Nguyen Thi Thuy			0	0%	Older sister

No.	Name of organization/individual	Securities trading account	Position at the company	Number of shares owned at the end of the period (Stock)	Share ownership ratio at the end of the period (%)	Note
10.9	Hoang Xuan Tham			0	0%	Brother-in-law
10.10	Nguyen Thi Hoa			0	0%	Younger sister
10.11	Duong Manh Hung			0	0%	Brother-in-law
10.12	Nguyen Nam Ha			0	0%	Younger brother
10.13	Ta Thi Cuong				0%	Sister-in-law
11	Nguyen Thi Thom	036C004005	Head of control committee	100,536	0.11%	
<i>Related person of Ms. Nguyen Thi Thom</i>						
11.1	Mai Van Su			0	0%	Husband
11.2	Mai Son			0	0%	son
11.3	Nguyen Thi Huong Giang			0	0%	Daughter-in-law
11.4	Mai Lam			0	0%	son
11.5	Nguyen Ngoc Tinh			0	0%	Older brother
11.6	Nguyen Thi Lua			0	0%	Older sister
11.7	Nguyen Thi Hoi			0	0%	Older sister
12	Hoang Thi Tuyen		Member of Supervisory Board	0	0%	
<i>Affiliated person of Mrs Hoang Thi Tuyen</i>						
12.1	Hoang Ngoc Thuong			0	0%	Father
12.2	Bui Thi Ngoi			0	0%	Mother
12.3	Vu Thi Ninh			0	0%	Mother-in-law
12.4	Nguyen Van Duc			0	0%	Husband
12.5	Nguyen Hoang Lan			0	0%	Daughter
12.6	Nguyen Hoang Long			0	0%	Daughter
12.7	Hoang Ngoc Phuc			0	0%	Younger sibling

No.	Name of organization/individual	Securities trading account	Position at the company	Number of shares owned at the end of the period (Stock)	Share ownership ratio at the end of the period (%)	Note
12.8	Hoang Ngoc Hau			0	0%	Younger sibling
13	Le Van Cuong		Member of Supervisory Board	0	0%	
<i>Affiliated person of Mr. Le Van Cuong</i>						
13.1	Le Van Cuong			0	0%	Father
13.2	Le Thi Thu Ha			0	0%	Older sister
13.3	Nguyen Thanh Ha			0	0%	Wife
13.4	Le Ha Minh			0	0%	Daughter
13.5	Le Chi Tung			0	0%	son
13.6	Truong Thi Thanh			0	0%	Mother-in-law
13.7	Tran Ngoc Khang			0	0%	Brother-in-law
14	Lai Thi Tho		Chief Accountant	0	0%	
<i>Affiliated person of Mr. Lai Thi Tho</i>						
14.1	Le Thi Chan					Mother
14.2	Duong Thi Chien					Mother-in-law
14.3	Nguyen Duong Tien					Husband
14.4	Nguyen Cong Hien					Son
14.5	Nguyen Ngoc Minh					Son
14.6	Nguyen Duong Tuan					Brother-in-law
14.7	Bui Thi Huong					Sister-in-law
14.8	Lai The Tai					Younger brother
14.9	Do Thi Kieu Oanh					Sister-in-law
15	Tran Thi Van Anh	036C004045	Authorized Information Disclosure Officer	7,938	0.01%	
<i>Affiliated person of Ms. Tran Thi Van Anh</i>						
15.1	Tran Thi Quy			0	0%	Mother
15.2	To Anh Tuan			0	0%	son
15.3	Tran Thi Khanh Van			0	0%	Older sister
15.4	Tran Thi Phuong Hoa			0	0%	Younger sister
15.5	Nguyen Van Giap			0	0%	Brother-in-law
15.6	Tran Minh Duc			0	0%	Younger brother

Note:

- March 30, 2010 is the day LICOGI 13 Joint Stock Company was approved to list on the Hanoi Stock Exchange.