

VIETNAM NATIONAL COAL AND
MINERAL INDUSTRIES HOLDING
CORPORATION LIMITED
CAO SON COAL JSC
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THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom – Happiness
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No.: 7231/BC-TCS-HDQT

Cua Ong, July 21, 2025

REPORT ON CORPORATE GOVERNANCE

(Semiannual of 2025)

To: - The State Securities Commission;
- The Hanoi Stock Exchange.

Name of company: Cao Son Coal Joint Stock Company

Address of headoffice: Group 1, Cao Son 2 Area, Cua Ong Ward, Quang Ninh Province

Telephone: 02033 862 337

Fax: 02033 863 945

Email: caosoncoal@gmail.com

Charter capital: 428.467.730.000 VND

Stock code: CST

Governance model: General Meeting of Shareholders, Board of Directors, Board of Supervisors and Director.

The implementation of internal audit: Implemented.

I. Activities of The General Meeting Of Shareholders

No.	Resolution/Decision No.	Date	Content
1	01/NQ-TCS-DHDCD	25/4/2025	<p>Resolutions adopted at the General Meeting:</p> <ol style="list-style-type: none"> 1) Report on business results in 2024 and business plan for 2025; 2) Report of the Board of Directors on corporate governance and performance in 2024, and orientation for 2025; 3) Report of the Board of Supervisors; Report of the Board of Supervisors on the assessment of the management and operation of the Company by the Board of Directors and the Director in 2024; Evaluation report of the Board of Supervisors on the Company's business performance report and the 2024 financial statements; 4) Audited financial statements for 2024; 5) Profit distribution plan, appropriation and use of funds; Dividend payment plan for 2024; 6) Report on remuneration paid to members of the Board of Directors and the Board of Supervisors in

			2024, and approval of proposed remuneration levels for 2025; 7) Proposal on the selection of an independent auditing firm for the 2025 financial year; 8) Approval of contracts and transactions subject to the authority of the General Meeting of Shareholders; 9) Approval of the amendment and reissuance of the Charter on the organization and operation of Cao Son Coal Joint Stock Company; 10) Approval of the policy on the consolidation of independent members of the Board of Directors for the 2020–2025 term.
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II. Board of Directors (Semiannual report):

1. Information about the members of the Board of Directors (BOD)

No.	Board of Directors' members	Position	The date becoming/ceasing to be the member of the Board of Directors	
			Date of appointment	Date of dismissal
1	Vu Van Khan	Chairman of BOD	05/08/2020	
2	Pham Quoc Viet	Members of BOD cum Director	01/10/2021	
3	Tran Phuong Nam	Members of BOD	05/08/2020	
4	Mai Huy Giap	Members of BOD, Deputy Director	27/4/2022	
5	Nguyen Ngoc Toan	Members of BOD, Deputy Director	06/9/2024	

2. Meetings of the Board of Directors

No.	Board of Director' member	Number of meetings attended by Board of Directors	Attendance rate (%)	Reasons for absence
1	Vu Van Khan	04	100%	
2	Pham Quoc Viet	04	100%	
3	Tran Phuong Nam	04	100%	
4	Mai Huy Giap	04	100%	
5	Nguyen Ngoc Toan	04	100%	

3. Supervising the Board of Management by the Board of Directors

The Company's Board of Directors has appointed one person to be in charge of corporate governance concurrently serving as the Corporate Secretary. The Company has developed internal corporate governance regulations, which were approved by the General Meeting of Shareholders on 22/4/2021.

The Chairman of the Board of Directors does not concurrently hold the position of Director. Only one member of the Board of Directors concurrently serves as a member of the Board of Directors at five other companies.

The composition of the Company's Board of Directors ensures that at least one-third of the total members are non-executive directors.

In the first six months of 2025, the Board of Directors held four meetings and issued a total of 117 documents [including four meeting minutes, 14 written consultations with Board members, 21 resolutions (comprising 18 general resolutions and 3 specialized resolutions), 29 decisions, and 49 other documents]. The Board also revised, supplemented, and issued two management regulations [the Regulation on Training and Development for Employees and Officers of Cao Son Coal Joint Stock Company and the Regulation on the Management and Use of the Bonus and Welfare Funds of Cao Son Coal Joint Stock Company]. The Director has regularly reviewed, amended, or issued new internal regulations to manage various areas of operations. In the first half of the year, the Company successfully organized the 2025 Annual General Meeting of Shareholders and carried out preparatory work for the Extraordinary General Meeting of Shareholders scheduled for 30/7/2025.

The Board of Directors continues to implement procedures for listing the Company's shares on the Hanoi Stock Exchange (HNX). On February 10, 2025, the HNX officially approved the listing of CST shares for trading on the exchange pursuant to Decision No. 1266/QD-SGDHN on the approval of the listing of shares of Cao Son Coal Joint Stock Company.

The Board of Directors has issued a decision to assign the 2025 business plan based on the resolution approved by the 2025 Annual General Meeting of Shareholders.

4. Activities of the Board of Directors' subcommittees

The departments of the Company, assigned to assist the Board of Directors, have provided advice and support to the Board in directing the executive management to carry out business operations in compliance with the law and to utilize capital and labor efficiently.

5. Resolutions/Decisions of the Board of Directors (Semi-annual report)

5.1. Resolutions

No.	Resolution no.	Date	Content
1	01/NQ-TCS-HDQT	02/01/2025	Regarding the approval and implementation of official letters and decisions issued by TKV directing the Representative of TKV's capital portion at the Company in 12/2024; the decision of the Hanoi Stock Exchange; the provisional assignment of key targets in the 2025 business plan; the proposed plan for the use of the Bonus and Welfare Funds in 2025; and the proposal for approval of the plan for rational use of the Tay Nam Da Mai office for the period from 02/2025 to the end of 01/2026.
2	02/NQ-TCS-HDQT	16/01/2025	Regarding the implementation of TKV's directives; the request for approval of the 1/500 master plan for the construction investment project of the physical training facility for workers of Cao Son Coal Joint Stock Company; the adjustment of the contractor selection plan for Package No. 17-MRNCS: Procurement of one

			hydraulic backhoe excavator with a bucket capacity of $E = 10 \div 12 m^3$ in 2025 under the Project for Expansion and Capacity Upgrade of Cao Son Coal Mine; the proposal for approval of the personnel planning for leadership positions of Cao Son Coal Joint Stock Company; the approval of the planned salary fund, the salary fund for managers, and the assigned salary levels for management positions in 2025; the approval of the policy on entering into contracts and transactions in 2025; the draft reports for 2024 of the Representative of the State Capital and other representatives of TKV participating in the Company's Board of Directors; the report on the performance of internal audit duties in 2024 by the Company's Head of Internal Audit; and the draft report on the implementation of the coordination regulation between the Party Committee, the Board of Directors, and the Director in 2024.
3	02A/NQ-TCS-HDQT	16/01/2025	Regarding the approval of the policy on entering into contracts and transactions between Cao Son Coal Joint Stock Company and related parties and entities in 2025.
4	03/NQ-TCS-HDQT	25/02/2025	Regarding the business performance in January and February 2025; the approval and implementation of TKV's directives; the approval of the policy on organizing the 2025 Annual General Meeting of Shareholders; the restructuring of the Asset Verification and Disposal Committee of Cao Son Coal Joint Stock Company; the adjustment of the "Start time for contractor selection" for Package No. 11: Construction and installation of the fire protection system for the automobile repair and maintenance workshop under the investment project of constructing the automobile repair and maintenance workshop – Cao Son Coal Joint Stock Company; the recruitment plan for labor contracts in various occupations in 2025; the approval and issuance of the Regulation on Training and Development for employees and officers of Cao Son Coal Joint Stock Company; the issuance of norms for fuel, energy, and lubricant consumption for equipment; the approval of the preliminary technical and economic report for the 2025 production capacity enhancement investment project of Cao Son Coal Joint Stock Company; and the establishment of the Committee for Final Account Verification of investment projects serving production – Cao Son Coal Joint Stock Company.
5	03A/NQ-TCS-HDQT	25/02/2025	Regarding the organization of the 2025 Annual General Meeting of Shareholders.

6	04/NQ-TCS-HDQT	19/03/2025	Regarding the approval of adjustments to key targets in the 2024 business plan and the 2024 outsourced workload; and the approval of the actual salary fund for 2024.
7	05/NQ-TCS-HDQT	27/03/2025	Regarding the approval and implementation of the contents of documents issued by TKV directing the Representative of TKV's capital portion at the Company; the approval of the orientation and key targets of the 5-year (2026–2030) business plan of Cao Son Coal Joint Stock Company; the issuance of the Regulation on the management and use of the Bonus and Welfare Funds of Cao Son Coal Joint Stock Company; and the establishment of a Project Appraisal Team to appraise the investment project, the contractor selection plan, and the final account verification for the 2025 production capacity enhancement project of Cao Son Coal Joint Stock Company.
8	06/NQ-TCS-HDQT	31/3/2025	Approval of the contents of documents (in both Vietnamese and English) for disclosure of information regarding the 2025 Annual General Meeting of Shareholders of Cao Son Coal Joint Stock Company.
9	07/NQ-TCS-HDQT	02/4/2025	Regarding the approval for the Director of Cao Son Coal Joint Stock Company to implement Document No. 1295/TKV-KCM dated 12/03/2025, issued by TKV, on “Approval of the technical plan for overburden excavation in the overlapping boundary area between the Project for Expansion and Capacity Upgrade of Cao Son Coal Mine and the Project for Exploitation of the Coc Sau – Deo Nai Mine Cluster,” in accordance with current regulations and TKV's directives.
10	08/NQ-TCS-HDQT	15/4/2025	Regarding the implementation of business activities in March and the first quarter, and the assignment of tasks for April and the second quarter of 2025; the approval and implementation of TKV's directives; the approval of reports and draft proposals of the Board of Directors and the Board of Supervisors for information disclosure and submission to the 2025 Annual General Meeting of Shareholders; the approval of the Regulation on Occupational Safety and Hygiene Management of Cao Son Coal Joint Stock Company; the inclusion of the Company's Digital Transformation Project to 2025, with orientation to 2030, into the 2025 Investment and Construction Plan; the preparation of adjustments to the master plan and topographic survey serving the adjusted planning of the Project for Expansion and Capacity Upgrade of Cao Son Coal Mine, and the approval of the additional contractor selection plan for packages under the same project;

			the approval of adjustments to the contractor selection plan for packages to be implemented in 2025 under the project for expansion and capacity upgrade of Cao Son Coal Mine; the draft report dated 25/3/2025 by the Company's Internal Audit Department on the compliance audit of certain items in the 2024 financial statements; the assessment of business performance, capital preservation, development, and utilization as of 31/12/2025; the draft reports of the TKV Capital Representative at the Company, including: the 2024 financial supervision report, the Q1/2025 operational report of Cao Son Coal Joint Stock Company; and the Q1/2025 report on legal compliance and adherence to owner's decisions; the draft report on the implementation of the coordination regulation among the Party Committee, the Board of Directors, and the Director in Q1/2025; and the approval of the final investment capital settlement for completed production support projects of Cao Son Coal Joint Stock Company
11	09/NQ-TCS-HDQT	22/4/2025	Regarding the approval and implementation of Decision No. 770/QĐ-TKV dated April 15, 2025, issued by TKV; and the approval and implementation of Official Letter No. 2239/TKV-KTTC dated April 21, 2025, issued by TKV.
12	10/NQ-TCS-HDQT	25/4/2025	Regarding the amendment, supplementation, and issuance of the Company's Charter; the consolidation of independent members of the Board of Directors for the 2020–2025 term; and the increase of charter capital from the owner's equity.
13	11/NQ-TCS-DHDCD	25/4/2025	Regarding the implementation of the matters approved at the 2025 Annual General Meeting of Shareholders of Cao Son Coal Joint Stock Company; the approval of the 2025 production capacity investment project of Cao Son Coal Joint Stock Company; the transition of the 2025 investment and construction plan to the next stage; and the approval of the contractor selection plan for the 2025 production capacity investment project of Cao Son Coal Joint Stock Company.
14	12/NQ-TCS-HDQT	12/5/2025	Regarding the implementation of TKV's directives to the Company through the Representative of TKV's capital at the Company; and the review, evaluation, and request for approval of the reappointment of an executive, as well as the handling of the resignation letter from Mr. Mai Huiy Giap, Member of the Board of Directors.
15	13/NQ-TCS-HDQT	26/5/2025	Regarding the implementation of TKV's directives to the Company through the Representative of TKV's

			capital at the Company; and the assignment of personnel for overseas business trips.
16	14/NQ-TCS-HDQT	04/6/2025	Regarding the business performance in May and task assignments for June 2025; the approval and implementation of TKV's directives; the policy on organizing the 2025 Extraordinary General Meeting of Shareholders and the implementation of information disclosure procedures for the meeting; the approval of adjustments to the 2025 plan for the use of the Company's Bonus and Welfare Funds; the issuance of the Regulation on the organization and operation of legal affairs in Cao Son Coal Joint Stock Company; the approval of the transition to the next phase of the 2025 Investment Plan; the approval of the additional contractor selection plan for packages under the Project for Expansion and Capacity Upgrade of Cao Son Coal Mine; and the announcement of the expiration of Decision No. 1262/QĐ-TCS-HDQT dated 23/02/2022 issued by the Company's Board of Directors.
17	14A/NQ-TCS-HDQT	10/6/2025	Organization of the 2025 Extraordinary General Meeting of Shareholders
18	15/NQ-TCS-HDQT	06/6/2025	Implementation of Document No. 3260/TKV-CV dated 05/06/2025, issued by TKV
19	16/NQ-TCS-HDQT	16/6/2025	Regarding the approval and implementation of TKV's documents; the establishment of an Appraisal Team for issuing norms on equipment productivity and key material consumption; and the approval of the selection of the auditing firm for the 2025 financial statements.
20	17/NQ-TCS-HDQT	20/6/2025	Regarding the assignment of personnel for overseas business trips; and the request for approval of personnel-related matters within the Company.
21	18/NQ-TCS-HDQT	25/6/2025	Regarding personnel affairs within the Company; and the organization of the Extraordinary General Meeting of Shareholders in 2025 of Cao Son Coal Joint Stock Company, including the approval of the draft meeting documents.

5.2. Decisions

No.	Decisions no.	Date	Content
1	12/QĐ-TCS-HDQT	02.01.2025	Regarding the provisional assignment of key targets in the 2025 business and production plan.
2	27/QĐ-TCS-HDQT	02.01.2025	Regarding the proposed plan for the use of the Bonus and Welfare Funds in 2025.

3	688/QD-TCS-HDQT	16.01.2025	Regarding the approval of the planned salary fund, the salary fund for managerial personnel in 2025, and the assigned salary levels for management positions in 2025.
4	696/QD-TCS-HDQT	17.01.2025	Regarding the approval of the adjusted contractor selection plan for Package No. 17-MRNCS: Procurement of one hydraulic backhoe excavator (bucket capacity E = 10–12 m ³) in 2025, under the Project for Expansion and Capacity Upgrade of Cao Son Coal Mine.
5	763/QD-TCS-HDQT	17.01.2025	Approval of the planning for leadership positions of Cao Son Coal Joint Stock Company for the 2020–2025 period (after review and supplementation)
6	1826/QD-TCS-HDQT	27.02.2025	Regarding the establishment of the Final Settlement Review Team for the Investment Project serving production of Cao Son Coal Joint Stock Company.
7	1828/QD-TCS-HDQT	27.02.2025	Regarding the issuance of norms on fuel, lubricant, and grease consumption for equipment.
8	1832/QD-TCS-HDQT	27.02.2025	Regarding the consolidation of the Council for Inventory and Disposal of Fixed Assets of Cao Son Coal Joint Stock Company.
9	1864/QD-TCS-HDQT	27.02.2025	Regarding the issuance of the Regulation on Training and Professional Development for workers and staff of Cao Son Coal Joint Stock Company.
10	2320/QD-TCS-HDQT	11.3.2025	Approval of the adjustment of the “starting time for contractor selection” for Package No. 11: Construction and installation of the fire prevention and fighting system at the automobile maintenance workshop under the Investment Project for the Construction of the Automobile Maintenance Workshop – Cao Son Coal Joint Stock Company.
11	2689/QD-TCS-HDQT	19.3.2025	Approval of the adjustment of key targets in the 2024 production and business plan and outsourced volumes in 2024.
12	2724/QD-TCS-HDQT	20.3.2025	Regarding the approval of the actual salary fund for 2024.
13	3072/QD-TCS-HDQT	28.3.2025	Regarding the establishment of the Appraisal Team for the Project and the appraisal of the Contractor Selection Plan for the 2025 Production Capacity Investment Project – Cao Son Coal Joint Stock Company.
14	3073/QD-TCS-HDQT	28.3.2025	Regarding the establishment of the Final Settlement Review Team for the 2025 Production Capacity

			Investment Project – Cao Son Coal Joint Stock Company.
15	3182/QD-TCS-HDQT	01.4.2025	Regarding the issuance of the Regulation on Management and Use of the Bonus and Welfare Funds of Cao Son Coal Joint Stock Company.
16	3782/QD-TCS-HDQT	18.4.2025	Regarding the approval of capital finalization procedures for completed investment projects serving production – Cao Son Coal Joint Stock Company.
17	3832/QD-TCS-HDQT	21.4.2025	Approval of adjustments to the Contractor Selection Plan for packages to be implemented in 2025 under the Project on CTMRNCS
18	3847/QD-TCS-HDQT	21.4.2025	Regarding the issuance of the Regulation on Occupational Safety and Hygiene Management – Cao Son Coal Joint Stock Company.
19	4032/QD-TCS-HDQT	25.4.2025	Regarding the issuance of the Charter on Organization and Operation of Cao Son Coal Joint Stock Company.
20	4275/QD-TCS-HDQT	07.5.2025	Approval of the 2025 Construction Investment Plan.
21	4276/QD-TCS-HDQT	07.5.2025	Regarding the assignment of key targets in the 2025 Production and Business Plan.
22	4291/QD-TCS-HDQT	07.5.2025	Regarding the approval of the 2025 Production Capacity Investment Project – Cao Son Coal Joint Stock Company.
23	4292/QD-TCS-HDQT	07.5.2025	Decision on approving the phase transition of the 2025 Construction Investment Plan.
24	4293/QD-TCS-HDQT	07.5.2025	Regarding the approval of the Contractor Selection Plan for the 2025 Production Capacity Investment Project – Cao Son Coal Joint Stock Company.
25	5300/QD-TCS-HDQT	04.6.2025	Approval of the supplemental Contractor Selection Plan for three packages: (i) formulation of task and adjustment of the planning for Screening Plant No. 2 and Cao Son Wastewater Treatment Station; (ii) topographic survey for the adjustment of expansion planning towards Deo Nai Mine; (iii) formulation of task and adjustment of expansion planning towards Deo Nai Mine under the CTMRNCS Project – Cao Son Coal Mine.
26	5301/QD-TCS-HDQT	04.6.2025	Approval of the adjustment to the phase transition of the 2025 Investment Plan.
27	5426/QD-TCS-HDQT	06.6.2025	Regarding the approval of the adjustment to the 2025 Bonus and Welfare Fund Utilization Plan.

28	5857/QD-TCS-HDQT	16.6.2025	Regarding the selection of the audit firm for the 2025 financial statements of Cao Son Coal Joint Stock Company.
29	5858/QD-TCS-HDQT	16.6.2025	Establishment of the Appraisal Team for issuing norms on equipment productivity – VT Division.

III. Board of Supervisors (Semi-annual report)

1. Information about members of Board of Supervisors:

No.	Members of Board of Supervisors	Position	The date becoming to be the member of the Board of Supervisors	Qualification
1	Ms. Nguyen Thi Lich	Head of the Board of Supervisors	05/8/2020	Bachelor of Economics
2	Ms. Duong Thi Thu Phong	Member	05/8/2020	Electrical Engineer in Mine Electrification; Master's Degree in Mining Economics
3	Mr. Nguyen Huy Hoang	Member	05/8/2020	Bachelor of Economics, Engineer in Accounting
4	Ms. Dao Thi My	Member	27/4/2022	Bachelor of Business Administration; Bachelor of Corporate Accounting
5	Ms. Ha Thi Diep Anh	Member	24/12/2024	Bachelor of Accounting

2. Meetings of Board of Supervisors (BOS):

No.	Members of Board of Supervisors	Number of meetings attended	Attendance rate	Voting rate	Reasons for absence
1	Ms. Nguyen Thi Lich	02	02/02	100%	
2	Ms. Duong Thi Thu Phong	02	02/02	100%	
3	Mr. Nguyen Huy Hoang	02	02/02	100%	
4	Ms. Dao Thi My	02	02/02	100%	
5	Ms. Ha Thi Diep Anh	02	02/02	100%	

3. Activities of the Board of Supervisors for the Board of Directors, the Board of Management and shareholders:

The Board of Supervisors has focused on inspecting and monitoring the contents outlined in the established plan related to the Company's production and business activities, finance, and investment.

It supervised the Board of Directors in implementing the Resolutions of the Annual General Meeting of Shareholders dated 25/4/2025, and monitored the Board of Management in executing the Resolutions of the Board of Directors.

It oversaw the business performance targets managed by the Board of Management in accordance with the 2025 Business Plan approved at the Annual General Meeting of Shareholders on 25/4/2025; monitored the management and quarterly reporting of production and business results; implementation of investment and construction plans; management of materials, major fixed asset repairs, outsourced services, finance, and occupational safety and health.

The Board of Supervisors participated in regular meetings of the Board of Directors to stay informed of orientations and decisions in the Company's business operations.

The supervision results show that the Company's production and business activities have been carried out continuously, safely, stably, and transparently, in accordance with legal regulations.

4. The coordination among the Board of Supervisors, the Board of Management, Board of Directors and other managers:

The Board of Supervisors operated in close alignment with its work plan, the Company's Charter, State laws, and the regulations, resolutions, decisions, and directives issued by Vietnam National Coal and Mineral Industries Holding Corporation Limited.

It carried out supervision over the Board of Directors and the Director regarding the management and operation of the Company, and inspected the legality, honesty, and prudence of the Board of Directors in exercising shareholder rights, and of the Director in managing and operating the Company's business activities. The Board of Supervisors proactively coordinated with the Board of Directors and the Director in various aspects of business administration and operations.

- Managed and supervised the use of Vinacomin's capital investment in the Company in accordance with the Law on Management and Use of State Capital Invested in Production and Business in Enterprises No. 69/2014/QH13 dated 26/11/2014.
- Inspected the legitimacy and appropriateness of the issuance and implementation of resolutions by the Annual General Meeting of Shareholders, the Board of Directors, and the Board of Management.
- Performed other tasks as prescribed in TKV's regulation on the management of representatives at enterprises.
- Proactively coordinated with the Company's professional departments in carrying out assigned tasks.
- The departments within the Company cooperated and facilitated the provision of documents, records, and accounting books to enable the Board of Supervisors to perform its duties effectively.

5. Other activities of the Board of Supervisors: To perform the rights, duties, and responsibilities of the Board of Supervisors as required.

IV. Board of Management

No.	Members of Board of Management and Position	Date of birth	Qualification	Date of appointment	Date of dismissal
1	Mr. Pham Quoc Viet, Director	30/7/1974	Mining Engineer	05/8/2020	
2	Mr. Nguyen Van Sinh, Deputy Director	28/02/1966	Mining Engineer	05/8/2020	
3	Mr. Mai Huy Giap, Deputy Director	15/8/1966	Engineer in Mine Electrification	05/8/2020	

4	Mr. Do Van Kien, Deputy Director	25/6/1977	Mining Engineer, Master of Economic Management	05/8/2020	
5	Mr. Nguyen Ngoc Dung, Deputy Director	13/11/1978	Mining Engineer	06/4/2022	
6	Mr. Nguyen Ngoc Toan, Deputy Director	09/10/1979	Automotive Mechanical Engineer, Master of Development Economics	26/7/2022	

V. Chief Accountant

Name	Date of birth	Qualification	Date of appointment/ dismissal
Mr. Dinh Van Chien	01/9/1966	Bachelor of Accounting, Master of Business Administration	05/8/2020

VI. Training courses on corporate governance

The Company regularly assigns its management staff and officers to attend training courses on safety, capital representation, auditing, internal control, product quality management, succession planning, and specialized management. The Company also nominates its management staff and officers to participate in training programs organized by the State Securities Commission and the Hanoi Stock Exchange.

VII. The list of affiliated persons of the public company (Semi-annual report) and transactions of affiliated persons of the Company):

1. The list of affiliated persons of the Company: *(Attached is an appendix).*
2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons: *(Attached is an appendix).*
3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power: *None.*
4. Transactions between the Company and other objects: *None*
- 4.1. Transactions between the Company and the company that its members of Board of Management, the Board of Supervisors, General Director have been founding members or members of Board of Directors, or CEOs in three (03) latest years (calculated at the time of reporting): *None.*
- 4.2. Transactions between the Company and the company that its affiliated persons with members of Board of Directors, Board of Supervisors, General Director and other managers as a member of Board of Directors, General Director: *None*
- 4.3. Other transactions of the Company may bring material or non- material benefits for members of Board of Directors, members of the Board of Supervisors, General Director and other managers: *None.*

VIII. Share transactions of internal persons and their affiliated persons (Semi-annual report)

1. The list of internal persons and their affiliated persons: *(Attached is an appendix).*
2. Transactions of internal persons and affiliated persons with shares of the company: *(Attached is an appendix).*

IX. Other significant issues: *None****Recipient :***

- SSC, HNX;
- BOD, BOS, BOM;
- Save: Clerical, Office of BOD.

**ON BEHALF OF BOARD OF
DIRECTORS
CHAIRMAN OF THE BOARD**



Vu Van Khan

APPENDIX: TRANSACTIONS BETWEEN THE COMPANY AND ITS AFFILIATED PERSONS OR BETWEEN THE COMPANY AND ITS MAJOR SHAREHOLDERS, INTERNAL PERSONS AND AFFILIATED PERSONS

*(Attached to Report No. 7231/BC-TCS-HDQT dated 21/07/ 2025 of the Board of Directors
regarding the Corporate Governance Report for the first half of 2025)*

No.	Name of organization/ individual	Relationship with internal persons	NSH No.* date of issue, place of issue	Address	Time of transactions with the Company	Resolution No. or Decision No. approved by General Meeting of Shareholders/ Board of Directors (if any, specifying date of issue	Content, quantity, total value of transaction
1	Cao Son Coal Joint Stock Company	Mr. Vu Van Khan is the Chairman of the Board of Directors	Business Registration Certificate No. 5700526333, issued by Quang Ninh Department of Planning and Investment on December 27, 2004	No. 170 Tran Phu Street, Cam Pha Ward, Quang Ninh Province	01/01/2025	Resolution No. 01/NQ-TCS-HDQT dated 25/4/2025	Sale and purchase of coal in non-coal products, 01 contract, implementation value in the first 6 months: VND 19,581 million (excluding VAT)
2	Vinacomin - Mining Geology Joint Stock Company	Mr. Vu Van Khan is the Chairman of the Board of Directors	Business Registration Certificate No. 5701436805, issued by Quang Ninh Department of Planning and Investment	No. 304 Tran Phu Street, Cam Pha Ward, Quang Ninh Province		Resolution No. 01/NQ-TCS-HDQT dated 25/4/2025	No transaction
3	Vinacomin – Mineral	Mr. Vu Van Khan is a	Business Registration Certificate No.	Industrial Zone, Cai Lan Street,		Resolution No. 01/NQ-TCS-	No transaction

	Mechanic and Shipbuilding JSC	Member of the Board of Directors	5700479764, issued by Quang Ninh Department of Planning and Investment	Bai Chay Ward, Quang Ninh Province		IIDQT dated 25/4/2025	
4	Uong Bi Production and Trading Joint Stock Company	Mr. Vu Van Khan is a Member of the Board of Directors		No. 48A, Uong Bi Ward, Quang Ninh Province.		Resolution No. 01/NQ-TCS-IIDQT dated 25/4/2025	No transaction
5	Vinacomin - Viet Bac Mining Industry Holding Corporation	Ms. Nguyen Thi Lich is a Supervisor	Business Registration Certificate No. 0100100015, issued by Hanoi Department of Planning and Investment on [date to be completed]	No. 1 Phan Dinh Giot Street, Phuong Liet Ward, Hanoi		Resolution No. 01/NQ-TCS-HDQT dated 25/4/2025	No transaction
6	Vinacomin - Quang Hanh Coal Company Limited	Ms. Nguyen Thi Lich is a Supervisor	Business Registration Certificate No. 55700100256-059, issued by Quang Ninh Department of Planning and Investment on [date to be completed]	No. 302 Tran Phu Street, Cam Pha Ward, Quang Ninh Province		Resolution No. 01/NQ-TCS-HDQT dated 25/4/2025	No transaction
7	Hon Gai Coal Company Limited - Vinacomin	Ms. Nguyen Thi Lich is a Supervisor	Business Registration Certificate No. 55700100256-063, issued by Quang Ninh Department of Planning and Investment on [date to be completed]	No. 169 Le Thanh Tong Street, Hon Gai Ward, Quang Ninh Province		Resolution No. 01/NQ-TCS-HDQI dated 25/4/2025	No transaction

8	Vinacomin - Cuaong Coal Preparation Company	Ms. Nguyen Thi Lich is a Supervisor	Business Registration Certificate No. 5700100256-033, issued by Quang Ninh Department of Planning and Investment on April 10, 2019	Group 48, Zone 4B2, Cua Ong Ward, Quang Ninh Province	01/01/2025	Resolution No. 01/NQ-TCS- HDQT dated 25/4/2025	Sale and purchase of coal, 03 contracts, implementation value in the first 6 months: VND 3.984,8 billion (excluding VAT)
9	Vinacomin - MaoKhe Mechanical JSC	Ms. Ha Thi Diep Anh is a Member of the Board of Supervisors	Business Registration Certificate No. 5700526478, issued by Quang Ninh Department of Planning and Investment on April 10, 2019	Quang Trung Area, Mao Khe Ward, Quang Ninh Province	01/01/2025		Repair, maintenance, equipment restoration, and goods trading contract, implementation value in the first 6 months: VND 173,2 million
10	Vinacomin - Cam Pha Port And Logistics Company	Ms. Ha Thi Diep Anh is a Member of the Board of Supervisors	Business Registration Certificate No. 5700100256-001, issued by Quang Ninh Department of Planning and Investment	Ly Thuong Kiet Street, Cua Ong Ward, Quang Ninh Province	01/01/2025	Resolution No. 01/NQ-TCS- DHDCD dated 26/4/2024	Sale and purchase of coal, 01 contract, implementation value in the first 6 months: VND 514,8 billion (excluding VAT)
11	Vietnam National Coal and Mineral Industries Holding Corporation Limited	Mr. Vu Van Khan, Ms. Nguyen Thi Lich, Ms. Duong Thi Thu Phong; more than 35% of total asset value	Business Registration Certificate No. 5700100256, issued by Hanoi Department of Planning and Investment	No. 3 Duong Dinh Nghe Street, Yen Hoa Ward, Hanoi City	01/01/2025	Resolution No. 01/NQ-TCS- HDQT dated 25/4/2025	Business coordination contract for 2025, contract revenue: VND 9.581 billion (excluding VAT)
12	Vinacomin - Materials Trading Joint Stock	More than 35% of total asset value	Business Registration Certificate No. 5700100707-013, issued by Quang Ninh	Group 1, Zone 2, Ha Long Ward, Quang Ninh Province	01/01/2025	Resolution No. 01/NQ-TCS-	Fuel trading contract for 2025, total implementation value in the first 6 months: VND

	Company - Cam Pha Materials Enterprise Branch		Department of Planning and Investment			HĐQT dated 25/4/2025	771,77 billion (excluding VAT)
13	Phu Xuan Joint Stock Company	More than 35% of total asset value	Business Registration Certificate No. 0800288644, issued by Hai Duong Department of Planning and Investment	Zone 2, Nhi Chieu Ward, Hai Phong City.	01/01/2025	Resolution No. 01/NQ-TCS- HĐQT dated 25/4/2025	Implementation value in the first 6 months: VND 584,6 billion.

**APPENDIX: TRANSACTIONS OF INTERNAL PERSONS AND AFFILIATED PERSONS
WITH SHARES OF THE COMPANY**

(Attached to Report No. 7231/BC-TCS-HĐQT dated July 21, 2025 on the

Corporate Governance Situation in the First Half of 2025)

No.	Transaction executor	Relationship with internal persons	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reasons for increasing, decreasing (buying, selling, converting, rewarding, etc.)
			Number of shares	Percentage (%)	Number of shares	Percentage (%)	
1	Vu Van Khan	Chairman of the Board of Directors	0		8.000	0,0187	Buy
2	Pham Phu My	Company Secretary	0		10.900	0,0233	Buy
3	Pham Thi Hanh	Wife of Mr. Pham Phu My	0		19.000	0,0464	Buy
4	Pham Thi My Linh	Child of Mr. Pham Phu My	0		5.000	0,0016	Buy

THE LIST OF INTERNAL PERSONS AND THEIR AFFILIATED PERSONS

(Attached to the Semiannual Report on Corporate Governance for 2025)

Company Name: Cao Son Coal Joint Stock Company

Stock code: CST

No.	Stock Code	Name of organization/individual	Securities trading account (if any)	Position at the company (if any)	Relationship with internal person	NSH No. *, Date of issue, Place of issue (CCCD/Passport/ERC)			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Notes
						NSH No. *	Date of issue	Place of issue				
1	CST	Vu Van Khan		Chairman of the Board of Directors	Internal Person				Group 4 Dam Area, Quang Hanh Ward, Quang Ninh Province	8.000	0,0187	
1.1	CST	Dong Thi Nhuan			Mother of Mr. Vu Van Khan - Chairman of the Board of Directors				Thai Binh Ward, Hung Yen Province			
1.2	CST	Nguyen Thi Nhung			Wife of Mr. Vu Van Khan - Chairman of the Board of Directors				Group 4 Dam Area, Quang Hanh Ward, Quang Ninh Province			
1.3	CST	Vu Thanh Tung			Child of Mr. Vu Van Khan - Chairman of the Board of Directors				Royal City Urban Area, Thanh Xuan	0		

1.4	CST	Vu Thanh Binh				Child of Mr. Vu Van Khan - Chairman of the Board of Directors						Royal City Urban Area, Thanh Xuan Ward, Hanoi City	0			
1.5	CST	Huong Luu Ly				Daughter-in-law of Mr. Vu Van Khan - Chairman of the Board of Directors						Royal City Urban Area, Thanh Xuan Ward, Hanoi City	0			
1.6	CST	Vietnam National Coal and Mineral Industries Holding Corporation Limited	Not yet registered at VSDC			Vinacomin (TKV) is the current workplace of Mr. Vu Van Khan- Chairman of the Board of Directors						No. 3 Duong Dinh Nghe, Yen Hoa Ward, Hanoi City	27,909.807	65,14		
1.7	CST	Vinacomin - Cam Pha Coal Trading Joint Stock Company				Mr. Vu Van Khan is serving as Chairman of the Board of Directors at the Company						No. 170 Tran Phu, Cam Pha ward, Quang Ninh province	0			
1.8	CST	Vinacomin - Mining Geology Joint Stock Company				Mr. Vu Van Khan is serving as Chairman of the Board of Directors at the Company						No. 304 Tran Phu, Cam Pha ward, Quang Ninh province	0			
1.9	CST	Vinacomin - Mineral Mechanic and Shipbuilding JSC				Mr. Vu Van Khan is serving as member of the Board of Directors at the Company						Cai Lan Industrial Park, Bai Chay Ward,	0			

										Quang Ninh Province			
1.10	CST	Long Bi Coal Trading Joint Stock Company	Mr. Vu Van Khan is serving as member of the Board of Directors at the Company					No. 48A Trung Vuong, Uong Bi Ward, Quang Ninh Province	0				
2	CST	Pham Quoc Viet	Member of the Board of Directors cum Directors	Not yet registered at VSDC	Internal Person			Group 5, Cao Son 1 Area, Cua Ong Ward, Quang Ninh Province	1.167	0.00272			
2.1	CST	Nguyen Thi Hue	Mother of Mr. Pham Quoc Viet - Member of the Board of Directors cum Directors					Group 5, Cao Son 1 Area, Cua Ong Ward, Quang Ninh Province	0				
2.2	CST	Ngo Thi Ha	Wife of Mr. Pham Quoc Viet - Member of the Board of Directors cum Directors					Group 5, Cao Son 1 Area, Cua Ong Ward, Quang Ninh Province	0				
2.3	CST	Pham An Ninh	Child of Mr. Pham Quoc Viet - Member of the Board of Directors cum Directors					Group 5, Cao Son 1 Area, Cua Ong Ward, Quang Ninh Province	0				

2.4	CST	Pham An Hoa				Child of Mr. Pham Quoc Viet - Member of the Board of Directors cum Directors					Group 5, Cao Son 1 Area, Cua Ong Ward, Quang Ninh Province	0		Underage
2.5	CST	Pham Thi Ly Van				Siblings of Mr. Pham Quoc Viet - Member of the Board of Directors cum Directors					Group 5, Cao Son 1 Area, Cua Ong Ward, Quang Ninh Province	0		
2.6	CST	Ngo Ba Son				Father-in-law of Mr. Pham Quoc Viet - Member of the Board of Directors cum Directors					Group 4, Binh Son area, Cua Ong ward, Quang Ninh province	0		
2.7	CST	Le Thi Lo				Mother-in-law of Mr. Pham Quoc Viet - Member of the Board of Directors cum Directors					Group 4, Binh Son area, Cua Ong ward, Quang Ninh province	0		
2.8	CST	Tran Quyet Thang				Brother-in-law of Mr. Pham Quoc Viet - Member of the Board of Directors cum Directors					Group 5, Area 8, Ha Long Ward, Quang Ninh Province	0		
2.9	CST	Vietnam National Coal and Mineral Industries Holding Corporation Limited	Not yet registered at VSDC			Mr. Pham Quoc Viet is the representative of Vinacomin (TKV)'s capital contribution at the Company					No. 3 Duong Dinh Nghe, Yen Hoa Ward, Hanoi City	27,909,807	65,14	

3	CST	Tran Phuong Nam	091C041974	Member of the Board of Directors	Internal Person					Group 8, Zone 8, Ha Long Ward, Quang Ninh Province	0		
3.1	CST	Doan Thi Hai Yen			Wife of Mr. Tran Phuong Nam - Member of the Board of Directors					Group 8, Zone 8, Ha Long Ward, Quang Ninh Province	0		
3.2	CST	Tran Hoang Son			Child of Mr. Tran Phuong Nam - Member of the Board of Directors					Group 8, Zone 8, Ha Long Ward, Quang Ninh Province	0		
3.3	CST	Tran Gia Huy			Child of Mr. Tran Phuong Nam - Member of the Board of Directors					Group 8, Zone 8, Ha Long Ward, Quang Ninh Province	0		
3.4	CST	Dinh Thi Tham			Mother of Mr. Tran Phuong Nam - Member of the Board of Directors					Group 8, Zone 8, Ha Long Ward, Quang Ninh Province	0		
3.5	CST	Tran Thi Y Tho			Siblings of Mr. Tran Phuong Nam - Member of the Board of Directors					Group 8B, Zone 1, Ha Long Ward, Quang	0		

									Ninh Province				
3.6	CST	Dean Van Tuan			Father-in-law of Mr. Tran Phuong Nam - Member of the Board of Directors				Group 3, Zone 5, Cam Pha Ward, Quang Ninh Province	0			
3.7	CST	Dang Thi Kim Thoa			Mother-in-law of Mr. Tran Phuong Nam - Member of the Board of Directors				Group 3, Zone 5, Cam Pha Ward, Quang Ninh Province	0			
4	CST	Mai Huy Giap	Not yet registered at VSDC	Member of the Board of Directors cum Deputy Director	Internal Person				Group 40, Dong Tien Area, Cam Pha Ward, Quang Ninh Province	2.450	0,0057		
4.1	CST	Bui Thi Lan			Mother of Mr. Mai Guy Giap - Member of the Board of Directors cum Deputy Director				Tran Lam Ward, Hung Yen Province	0			
4.2	CST	Nguyen Thi Loi	Not yet registered at VSDC		Wife of Mr. Mai Guy Giap - Member of the Board of Directors cum Deputy Director				Group 40, Dong Tien Area, Cam Pha Ward, Quang Ninh Province	2.450	0,0057		
4.3	CST	Mai Duy Tuan			Child of Mr. Mai Guy Giap - Member of the Board of Directors cum Deputy Director				Van Canh urban area, Hanoi city	0			

4.4	CST	Mai Duy Toan					Child of Mr. Mai Guy Giap - Member of the Board of Directors cum Deputy Director					Group 40, Dong Tien Area, Cam Pha Ward, Quang Ninh Province	0			
4.5	CST	Mai Thi Dau					Sister of Mr. Mai Guy Giap - Member of the Board of Directors cum Deputy Director					Group 6, Quan Trieu, Thai Nguyen province	0			
4.6	CST	Mai Van Ban					Brother of Mr. Mai Guy Giap - Member of the Board of Directors cum Deputy Director					Group 6, Quan Trieu, Thai Nguyen province	0			
4.7	CST	Mai Thi Quy					Siblings of Mr. Mai Guy Giap - Member of the Board of Directors cum Deputy Director					Thuy Hong Tay Village, Tra Giang Commune, Hung Yen Province	0			
4.8	CST	Mai Chi Kien					Siblings of Mr. Mai Guy Giap - Member of the Board of Directors cum Deputy Director					Tran Lam Ward, Hung Yen Province	0			
4.9	CST	Mai Thi Men					Siblings of Mr. Mai Guy Giap - Member of the Board of Directors cum Deputy Director					Tran Lam Ward, Hung Yen Province	0			
4.10	CST	Mai Quoc Phong					Siblings of Mr. Mai Guy Giap - Member of the Board of Directors cum Deputy Director					Xuan Ninh, Cam Phuc Nam, Cam Ranh ward, Khanh Hoa province	0			
4.11	CST	Tran Thi Hai Duong					Daughter-in-law of Mr. Mai Guy Giap - Member					Group 40, Dong Tien	0			

						of the Board of Directors cum Deputy Director					Area, Cam Pha Ward, Quang Ninh Province				
4.12	CST	Vu Thi Vien				Sister-in-law of Mr. Mai Guy Giap - Member of the Board of Directors cum Deputy Director					Group 6, Quan Trieu, Thai Nguyen province	0			
4.13	CST	Dang Van Tuan				Brother-in-law of Mr. Mai Guy Giap - Member of the Board of Directors cum Deputy Director					Group 6, Quan Trieu, Thai Nguyen province	0			
4.14	CST	Bui Thi Ngo				Sister-in-law of Mr. Mai Guy Giap - Member of the Board of Directors cum Deputy Director					Tran Lam Ward, Hung Yen Province	0			
4.15	CST	Vu Van Chuan				Brother-in-law of Mr. Mai Guy Giap - Member of the Board of Directors cum Deputy Director					Thuy Hong Tay Village, Tra Giang Commune, Hung Yen Province	0			
4.16	CST	Nguyen Thi Phuong				Sister-in-law of Mr. Mai Guy Giap - Member of the Board of Directors cum Deputy Director					Xuan Ninh, Cam Phuc Nam, Cam Ranh ward, Khanh Hoa province	0			
4.17	CST	Le Thi Mai Anh				Daughter-in-law of Mr. Mai Guy Giap - Member of the Board of Directors cum Deputy Director					Le Loi, Bac Giang ward, Bac Ninh province	0			
5	CST	Nguyen Van Sinh	Not yet registered at VSDC	Deputy Director	Internal Person						Group 6, Cao Son 2 Area, Cua	2.217	0.0052		

								Ong Ward, Quang Ninh Province				
5.1	CST	Vu Thi Ngoc	Not yet registered at VSDC		Wife of Mr. Nguyen Van Sinh - Deputy Director			Group 6, Cao Son 2 Area, Cua Ong Ward, Quang Ninh Province	1.983	0.0046		
5.2	CST	Nguyen Trung Thanh			Child of Mr. Nguyen Van Sinh - Deputy Director			Group 6, Cao Son 2 Area, Cua Ong Ward, Quang Ninh Province	0			
5.3	CST	Nguyen Van Ninh			Brother of Mr. Nguyen Van Sinh - Deputy Director			Nghia Hung Commune, Ninh Binh Province	0			
5.4	CST	Nguyen Thi Hoai			Sister of Mr. Nguyen Van Sinh - Deputy Director			Nghia Hung Commune, Ninh Binh Province	0			
5.5	CST	Nguyen Van Minh			Siblings of Mr. Nguyen Van Sinh - Deputy Director			Group 4, Binh Son Area, Cua Ong Ward, Quang Ninh Province	0			
5.6	CST	Nguyen Van Bac			Siblings of Mr. Nguyen Van Sinh - Deputy Director			Nghia Hung Commune, Ninh Binh Province	0			

5.7	CST	Nguyen Van Binh					Siblings of Mr. Nguyen Van Sinh - Deputy Director					Nghia Hung Commune, Ninh Binh Province	0		
5.8	CST	Nguyen Thi Dinh					Siblings of Mr. Nguyen Van Sinh - Deputy Director					Nghia Hung Commune, Ninh Binh Province	0		
5.9	CST	Khuong Thi Nga					Sister-in-law of Mr. Nguyen Van Sinh - Deputy Director					Nghia Hung Commune, Ninh Binh Province	0		
5.10	CST	Khuong Van Oanh					Brother-in-law of Mr. Nguyen Van Sinh - Deputy Director					Nghia Hung Commune, Ninh Binh Province	0		
5.11	CST	Vu Thi Bay					Sister-in-law of Mr. Nguyen Van Sinh - Deputy Director					Nghia Hung Commune, Ninh Binh Province	0		
5.12	CST	Nguyen Thi Lanh					Sister-in-law of Mr. Nguyen Van Sinh - Deputy Director					Nghia Hung Commune, Ninh Binh Province	0		
5.13	CST	Bui Thi Hop					Sister-in-law of Mr. Nguyen Van Sinh - Deputy Director					Viet Thuan, Vu Thu, Thai Binh	0		
5.14	CST	Vu Van Hien					Brother-in-law of Mr. Nguyen Van Sinh - Deputy Director					Nghia Hung Commune, Ninh Binh Province	0		

5.15	CST	Doan Thi Duyen				Daughter-in-law of Mr. Nguyen Van Sinh - Deputy Director					Group 6, Zone 8, Ha Long Ward, Quang Ninh Province			
6	CST	Nguyen Ngoc Dung	Not yet registered at VSDC	Deputy Director	Internal Person						Group 1, Cao Son 2 area, Cua Ong Ward, Quang Ninh	1,074	0,0025	
6.1	CST	Nguyen Kim Naung			Wife of Mr. Nguyen Ngoc Dung - Deputy Director						Group 1, Cao Son 2 area, Cua Ong Ward, Quang Ninh	0		
6.2	CST	Nguyen Van Nghi	Not yet registered at VSDC		Father of Mr. Nguyen Ngoc Dung - Deputy Director						Group 1, Cao Son 2 area, Cua Ong Ward, Quang Ninh	4,027	0,0093	
6.3	CST	Vuong Thi Quy	Not yet registered at VSDC		Mother of Mr. Nguyen Ngoc Dung - Deputy Director						Group 1, Cao Son 2 area, Cua Ong Ward, Quang Ninh	1,074	0,0025	
6.4	CST	Nguyen Bao Anh			Child of Mr. Nguyen Ngoc Dung - Deputy Director						Group 1, Cao Son 2 area, Cua Ong Ward, Quang Ninh			Underage

6.5	CST	Nguyen Trung Kien					Child of Mr. Nguyen Ngoc Dung - Deputy Director					Group 1, Cao Son 2 area, Cua Ong Ward, Quang Ninh				Underage
6.6	CST	Nguyen Thi Thu					Siblings of Mr. Nguyen Ngoc Dung - Deputy Director					Vinaconex 2 Apartment, Dinh Cong Ward, Hanoi				
6.7	CST	Nguyen Thi Ha					Siblings of Mr. Nguyen Ngoc Dung - Deputy Director					Group 7, Tan Lap 1 area, Quang Hanh ward, Quang Ninh				
6.8	CST	Pham Thi Dai					Mother-in-law of Mr. Nguyen Ngoc Dung - Deputy Director					Group 52E, Area 4B, Cao Xanh Ward, Ha Long, Quang Ninh				
6.9	CST	Nguyen Anh Dung					Brother-in-law of Mr. Nguyen Ngoc Dung - Deputy Director					Group 52E, Area 4B, Cao Xanh Ward, Ha Long, Quang Ninh				
6.10	CST	Le Duc Phuong					Brother-in-law of Mr. Nguyen Ngoc Dung - Deputy Director					Vinaconex 2 Apartment, Dinh Cong Ward, Hanoi				

6.11	CST	Nguyen Thanh Trung				Brother-in-law of Mr. Nguyen Ngoc Dung - Deputy Director				Group 7, Tan Lap 1 area, Quang Hanh ward, Quang Ninh			
7	CST	Do Van Kien			Deputy Director	Internal Person				Group 52H, Area 4A, Cao Xanh Ward, Quang Ninh Province	0		
7.1	CST	Ha Thi Nga				Wife of Mr. Do Van Kien - Deputy Director				Group 52H, Area 4A, Cao Xanh Ward, Quang Ninh Province	0		
7.2	CST	Do Viet Dung				Child of Mr. Do Van Kien - Deputy Director				Group 52G, Area 4A, Cao Xanh Ward, Quang Ninh Province	0		Underage
7.3	CST	Do Viet Hung				Child of Mr. Do Van Kien - Deputy Director				Group 52G, Area 4A, Cao Xanh Ward, Quang Ninh Province	0		Underage
7.4	CST	Do Van Chien				Father of Mr. Do Van Kien - Deputy Director				Duy Minh Ward,	0		

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7.11	CST	Nguyen Thi Ngoc				Mother-in-law of Mr. Do Van Kien - Deputy Director					Group 1, Area 3B, Quang Hanh Ward, Quang Ninh Province	0		
7.12	CST	Luong Thi Van				Sister-in-law of Mr. Do Van Kien - Deputy Director					Duy Minh Ward, Ninh Binh Province	0		
7.13	CST	Vu Thi Nhat Ha				Sister-in-law of Mr. Do Van Kien - Deputy Director					Group 61, Zone 6, Cao Xanh Ward, Quang Ninh Province	0		
7.14	CST	Vu Thi Thoa				Sister-in-law of Mr. Do Van Kien - Deputy Director					Group 52C, Area 4B, Cao Xanh Ward, Quang Ninh Province	0		
7.15	CST	Huong Thuy Sam				Sister-in-law of Mr. Do Van Kien - Deputy Director					Group 52G, Area 4A, Cao Xanh Ward, Quang Ninh Province	0		
7.16	CST	Ha Quang Trung				Sister-in-law of Mr. Do Van Kien - Deputy Director					Group 1, Area 3B, Hanh Ward, Cam Pha City, Quang	0		

								Ninh Province				
7.17	CST	Ha Quang Hieu			Sister-in-law of Mr. Do Van Kien - Deputy Director			Group 1, Area 3B, Hanh Ward, Cam Pha City, Quang Ninh Province	0			
8	CST	Nguyen Ngoc Toan	Not yet registered at VSDC	Member of the Board of Directors cum Deputy Director	Internal Person			Group 9, Area 3B, Cam Pha Ward, Quang Ninh	113	0,0003		
8.1	CST	Vu Thi Thanh			Wife of Mr. Nguyen Ngoc Toan - Member of the Board of Directors cum Deputy Director			Group 9, Area 3B, Cam Pha Ward, Quang Ninh				
8.2	CST	Nguyen Thi Phong			Mother of Mr. Nguyen Ngoc Toan - Member of the Board of Directors cum Deputy Director			Group 9, Area 3B, Cam Pha Ward, Quang Ninh				
8.3	CST	Nguyen Khanh Linh			Child of Mr. Nguyen Ngoc Toan - Member of the Board of Directors cum Deputy Director			Group 9, Area 3B, Cam Pha Ward, Quang Ninh				
8.4	CST	Nguyen Ngoc Diep			Child of Mr. Nguyen Ngoc Toan - Member of the Board of Directors cum Deputy Director			Group 9, Area 3B, Cam Pha Ward, Quang Ninh				Underage

8.5	CST	Nguyen Bich Thuy	Not yet registered at VSDC		Sister of Mr. Nguyen Ngoc Toan - Member of the Board of Directors cum Deputy Director					Group 4, Zone 13, Mong Duong, Cam Pha, Quang Ninh	2332	0,0054	
8.6	CST	Nguyen Tien Thanh	Not yet registered at VSDC		Brother of Mr. Nguyen Ngoc Toan - Member of the Board of Directors cum Deputy Director					Group 9, Area 3B, Cam Pha Ward, Quang Ninh	2098	0,0049	
8.7	CST	Nguyen Kim Que			Sister of Mr. Nguyen Ngoc Toan - Member of the Board of Directors cum Deputy Director					Group 6, Bach Dang, Quang Hanh Ward, Quang Ninh			
8.8	CST	Nguyen Thi Hoa Lan			Sister of Mr. Nguyen Ngoc Toan - Member of the Board of Directors cum Deputy Director					Group 9, Area 3B, Cam Pha Ward, Quang Ninh			
8.9	CST	Vu Quoc Lap			Father-in-law of Mr. Nguyen Ngoc Toan - Member of the Board of Directors cum Deputy Director					Group 6, Cao Son 3, Cua Ong Ward, Quang Ninh			
8.10	CST	Nguyen Thi Phung			Mother-in-law of Mr. Nguyen Ngoc Toan - Member of the Board of Directors cum Deputy Director					Group 6, Cao Son 3, Cua Ong Ward, Quang Ninh			

8.11	CST	Trinh Ba Huu					Brother-in-law of Mr. Nguyen Ngoc Toan - Member of the Board of Directors cum Deputy Director					Group 4, Zone 13, Mong Duong, Cam Pha, Quang Ninh			
8.12	CST	Pham Thi Thanh Ha					Sister-in-law of Mr. Nguyen Ngoc Toan - Member of the Board of Directors cum Deputy Director					Group 9, Area 3B, Cam Pha Ward, Quang Ninh			
8.13	CST	Nguy Quoc Vi					Brother-in-law of Mr. Nguyen Ngoc Toan - Member of the Board of Directors cum Deputy Director					Group 6, Bach Dang, Quang Hanh Ward, Quang Ninh			
8.14	CST	Nguyen Thanh Tuan					Brother-in-law of Mr. Nguyen Ngoc Toan - Member of the Board of Directors cum Deputy Director					Group 9, Area 3B, Cam Pha Ward, Quang Ninh			
8.15	CST	Vu Thi Nga					Sister-in-law of Mr. Nguyen Ngoc Toan - Member of the Board of Directors cum Deputy Director					Group 5, Area 7A, Cua Ong Ward, Quang Ninh			
8.16	CST	Vu Duc Hai					Brother-in-law of Mr. Nguyen Ngoc Toan - Member of the Board of Directors cum Deputy Director					Group 5, Area 7A, Cua Ong Ward, Quang Ninh			

8.17	CST	Vietnam National Coal and Mineral Industries Holding Corporation Limited	Not yet registered at VSDC		Mr. Nguyen Ngoc Toan is the representative of TKV's capital contribution at the Company.				No. 3 Duong Dinh Nghe, Yen Hoa Ward, Hanoi City	27,909,807	65,14	
9	CST	Dinh Van Chien	021C041168	Chief Accountant	Internal Person				Group 8, Area 6A, Ha Long Ward, Quang Ninh	57100	0,1207	
9.1	CST	Nguyen Thi Hau			Wife of Mr. Dinh Van Chien - Chief Accountant				Group 8, Area 6A, Ha Long Ward, Quang Ninh	0		
9.2	CST	Dinh Linh Chi			Child of Mr. Dinh Van Chien - Chief Accountant				Group 8, Area 6A, Ha Long Ward, Quang Ninh	0		
9.3	CST	Dinh Phuong An			Child of Mr. Dinh Van Chien - Chief Accountant				Group 8, Area 6A, Ha Long Ward, Quang Ninh	0		
9.4	CST	Trinh Thi Thanh			Mother of Mr. Dinh Van Chien - Chief Accountant				Group 41, Zone 4, Ha Lam Ward, Quang Ninh	0		
9.5	CST	Dinh Van Tuan			Brother of Mr. Dinh Van Chien - Chief Accountant				Group 41, Zone 4, Ha Lam Ward, Quang Ninh	0		

9.6	CST	Dinh Thi Thuan				Siblings of Mr. Dinh Van Chien - Chief Accountant				Group 41, Zone 4, Ha Lam Ward, Quang Ninh	0		
9.7	CST	Dinh Xuan Thu				Siblings of Mr. Dinh Van Chien - Chief Accountant				Group 41, Zone 4, Ha Lam Ward, Quang Ninh	0		
9.8	CST	Nguyen Thi Hue				Sister-in-law of Mr. Dinh Van Chien - Chief Accountant				Group 41, Zone 4, Ha Lam Ward, Quang Ninh	0		
9.9	CST	Ngo Van Binh				Brother-in-law of Mr. Dinh Van Chien - Chief Accountant				Group 25B, Area 2, Cao Xanh Ward, Quang Ninh	0		
9.10	CST	Nguyen Thi Kim Dung				Sister-in-law of Mr. Dinh Van Chien - Chief Accountant				Ha Lam Ward, Quang Ninh	0		
9.11	CST	Nguyen Thi Bich				Sister-in-law of Mr. Dinh Van Chien - Chief Accountant				Ha Long Ward, Quang Ninh	0		
9.12	CST	Nguyen Huu Lam				Brother-in-law of Mr. Dinh Van Chien - Chief Accountant				Ha Lam Ward, Quang Ninh	0		
9.13	CST	Nguyen Thi Lien				Sister-in-law of Mr. Dinh Van Chien - Chief Accountant				Ha Lam Ward, Quang Ninh	0		

10	CST	Nguyen Thi Lich		Head of the Board of Supervisors	Internal Person					Group 3, Area 6B, Ha Long Ward, Quang Ninh	0		
10.1	CST	Pham Van Doinh			Husband of Ms. Nguyen Thi Lich - Head of the Board of Supervisors					Group 3, Area 6B, Ha Long Ward, Quang Ninh	0		
10.2	CST	Pham Thi Mai			Child of Ms. Nguyen Thi Lich - Head of the Board of Supervisors					Group 3, Area 6B, Ha Long Ward, Quang Ninh	0		
10.3	CST	Phan Khanh Duy			Child of Ms. Nguyen Thi Lich - Head of the Board of Supervisors					Group 3, Area 6B, Ha Long Ward, Quang Ninh	0		
10.4	CST	Nguyen Trung Van			Father of Ms. Nguyen Thi Lich - Head of the Board of Supervisors					Hamlet 27, Xuan Hong Commune, Ninh Binh Province	0		
10.5	CST	Doan Thi Hang			Mother of Ms. Nguyen Thi Lich - Head of the Board of Supervisors					Hamlet 27, Xuan Hong Commune, Ninh Binh Province	0		
10.6	CST	Nguyen Van Man			Brother of Ms. Nguyen Thi Lich - Head of the Board of Supervisors					Hamlet 27, Xuan Hong Commune, Ninh Binh Province	0		

10.7	CST	Nguyen Huu Su				Siblings of Ms. Nguyen Thi Lich - Head of the Board of Supervisors						Hamlet 27, Xuan Hong Commune, Ninh Binh Province	0		
10.8	CST	Phan Van Don				Father-in-law of Ms. Nguyen Thi Lich - Head of the Board of Supervisors						Hamlet 27, Xuan Hong Commune, Ninh Binh Province	0		
10.9	CST	Phan Thi Huong				Mother-in-law of Ms. Nguyen Thi Lich - Head of the Board of Supervisors						Hamlet 27, Xuan Hong Commune, Ninh Binh Province	0		
10.10	CST	Phan Thi Thu				Bother-in-law of Ms. Nguyen Thi Lich - Head of the Board of Supervisors						Hamlet 27, Xuan Hong Commune, Ninh Binh Province	0		
10.11	CST	Phan Van Hiep				Bother-in-law of Ms. Nguyen Thi Lich - Head of the Board of Supervisors						Hamlet 27, Xuan Hong Commune, Ninh Binh Province	0		
10.12	CST	Vietnam National Coal and Mineral Industries Holding Corporation Limited	Not yet registered at VSDC			Capital Supervisor of Vinacomin (TKV)						03 Duong Dinh Nghe, Yen Hoa Ward, Hanoi City	27.909.807	65,14	
10.13	CST	Vinacomin - Vietbac Mining Industry Holding Corporation				Member of the Board of Supervisors						No. 1 Phan Dinh Giot, Tuong Mai Ward, Hanoi City	0		
10.14	CST	Vinacomin - Quang Hanh Coal Company Limited				Member of the Board of Supervisors						No. 302 Tran Phu, Can Pha Ward,	0		

									Quang Ninh			
10.15	CST	Vinaomin - Hongai Coal Company			Member of the Board of Supervisors				No. 169, Le Thanh Tong, Hong Gai Ward, Quang Ninh Province	0		
10.16	CST	Vinaomin - Cuong Coal Preparation Company			Member of the Board of Supervisors				Group 48, Area 4B2, Cua Ong Ward, Quang Ninh Province	0		
11	CST	Duong Thi Thu Phong		Member of the Board of Supervisors	Internal Person				No. 108, Group 6, Zone IV, Hong Gai Ward, Quang Ninh	0		
11.1	CST	Nguyen Hai Thong			Husband of Ms. Duong Thi Thu Phong - Member of the Board of Supervisors				No. 108, Group 6, Zone IV, Hong Gai Ward, Quang Ninh	0		
11.2	CST	Nguyen Hai Nam			Child of Ms. Duong Thi Thu Phong - Member of the Board of Supervisors				No. 108, Group 6, Zone IV, Hong Gai Ward, Quang Ninh	0		
11.3	CST	Nguyen Ha Phuong			Child of Ms. Duong Thi Thu Phong - Member of the Board of Supervisors				No. 108, Group 6, Zone IV,	0		

							Hong Gai Ward, Quang Ninh					
11.4	CST	Duong Thuc Danh	Father of Ms. Duong Thi Thu Phong - Member of the Board of Supervisors				No. 108, Group 6, Zone IV, Hong Gai Ward, Quang Ninh	0				
11.5	CST	Nguyen Thi Cay	Mother of Ms. Duong Thi Thu Phong - Member of the Board of Supervisors				No. 108, Group 6, Zone IV, Hong Gai Ward, Quang Ninh	0				
11.6	CST	Duong Thi Thu Thy	Sister of Ms. Duong Thi Thu Phong - Member of the Board of Supervisors				No. 108, Group 6, Zone IV, Hong Gai Ward, Quang Ninh	0				
11.7	CST	Duong Van Chinh	Siblings of Ms. Duong Thi Thu Phong - Member of the Board of Supervisors				No. 108, Group 6, Zone IV, Hong Gai Ward, Quang Ninh	0				
11.8	CST	Duong Dai Nghia	Siblings of Ms. Duong Thi Thu Phong - Member of the Board of Supervisors				No. 108, Group 6, Zone IV, Hong Gai Ward, Quang Ninh	0				

11.9	CST	Vietnam National Coal and Mineral Industries Holding Corporation Limited	Not yet registered at VSDC		Deputy Head of the Planning Department at Vinacomim (TKV)					No. 3 Duong Dinh Nghe, Yen Hoa Ward, Hanoi City	27.909.807	65,14	
12	CST	Ha Thi Diep Anh		Member of the Board of Supervisors	Internal Person					My Gia 3-25 Vinhomes urban area, Bai Chay ward, Quang Ninh province	0	0	
12.1	CST	Ha Van Dao			Father of Ms. Ha Thi Diep Anh - Member of the Board of Supervisors					House number 420, group 9, area 1B, Ha Lam ward, Quang Ninh province	0	0	
12.2	CST	Doan Thi Ly			Mother of Ms. Ha Thi Diep Anh - Member of the Board of Supervisors					Số nhà 420 tổ 9 House number 420, group 9, area 1B, Ha Lam ward, Quang Ninh province 1B Phường Hà Lâm, tỉnh Quảng Ninh	0	0	
12.3	CST	Le Xuan Lieu			Husband of Ms. Ha Thi Diep Anh - Member of the Board of Supervisors					My Gia 3-25 Vinhomes urban area,	0	0	

									Bai Chay ward, Quang Ninh province				
12.4	CST	Le Tu Quynh					Child of Ms. Ha Thi Diep Anh - Member of the Board of Supervisors		My Gia 3-25 Vinhomes urban area, Bai Chay ward, Quang Ninh province	0	0		
12.5	CST	Le Phuc Chuong					Child of Ms. Ha Thi Diep Anh - Member of the Board of Supervisors		My Gia 3-25 Vinhomes urban area, Bai Chay ward, Quang Ninh province	0	0		
12.6	CST	Ha Minh Nghia					Siblings of Ms. Ha Thi Diep Anh - Member of the Board of Supervisors		House number 420, group 9, area 1B, Ha Lam ward, Quang Ninh province	0	0		
12.7	CST	Ha Thi Bach Diep					Siblings of Ms. Ha Thi Diep Anh - Member of the Board of Supervisors		House No. 339, Group 10, Zone I, Ha Lam Ward, Quang Ninh Province	0	0		

12.8	CST	Le Thi Minh Hoa				Sister-in-law of Ms. Ha Thi Diep Anh - Member of the Board of Supervisors						House number 420, group 9, area 1B, Ha Lam ward, Quang Ninh province	0	0	
12.9	CST	Pham Minh Tuan				Brother-in-law of Ms. Ha Thi Diep Anh - Member of the Board of Supervisors						House No. 339, Group 10, Zone 1, Ha Lam Ward, Quang Ninh Province	0	0	
12.10	CST	Vinacomin- CamPha Port and Logistics Company				Capital Supervisor of Vinacomin (TKV)						Group 93, Zone 9B, Cua Ong Ward, Quang Ninh Province	0	0	
12.11	CST	Vinacomin - MaoKhe Coal Company				Capital Supervisor of Vinacomin (TKV)						Democratic Area, Mao Khe Ward, Quang Ninh Province	0	0	
12.12	CST	Coal mine project management board - TKV				Capital Supervisor of Vinacomin (TKV)						226 Le Duan, Van Mieu - Quoc Tu Giam Ward, Hanoi City	0	0	
12.13	CST	Vietnam National Coal and Mineral Industries Holding Corporation Limited	Not yet registered at VSDC			Capital Supervisor of Vinacomin (TKV)						03 Duong Dinh Nghe, Yen Hoa	27.909.807	65,14	

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						Member of the Board of Supervisors					Quang Ninh			
13.9	CST	Bui Thi Mai				Mother-in-law of Mr. Nguyen Huy Hoang - Member of the Board of Supervisors					Cam Pha Ward, Quang Ninh	0		
13.10	CST	Doan Trung Kien				Brother-in-law of Mr. Nguyen Huy Hoang - Member of the Board of Supervisors					Cam Pha Ward, Quang Ninh	0		
13.11	CST	Pham Thi Hien				Sister-in-law of Mr. Nguyen Huy Hoang - Member of the Board of Supervisors					Cam Pha Ward, Quang Ninh	0		
14	CST	Dao Thi My	091C002052		Member of the Board of Supervisors	Internal Person					Group 6, Cao Son 3 Area, Cua Ong, Quang Ninh	0		0
14.1	CST	Dao Anh Xuyen				Father of Ms. Dao Thi My - Member of the Board of Supervisors					Kha Son Commune, Thai Nguyen	.		
14.2	CST	Duong Thi Binh				Mother of Ms. Dao Thi My - Member of the Board of Supervisors					Kha Son Commune, Thai Nguyen			
14.3	CST	Nguyen Kim Dinh				Father-in-law of Ms. Dao Thi My - Member of the Board of Supervisors					Lai Khe Commune, Hai Duong			
14.4	CST	Nguyen Thi Canh				Mother-in-law of Ms. Dao Thi My - Member of the Board of Supervisors					Lai Khe Commune, Hai Duong			
14.5	CST	Nguyen Kim Cuong				Husband of Ms. Dao Thi My - Member of the Board of Supervisors					Group 6, Cao Son 3 Area, Cua Ong.			

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15.5	CST	Lo Thi Ngo					of corporate governance cum Secretary						Tay Ho Ward, Hanoi City	0			
							Mother-in-law of Mr. Pham Phu My - Person in charge of corporate governance cum Secretary						Group 8, Zone 3, Cam Pha Ward, Quang Ninh	0			
15.6	CST	Pham Phu Mong					Brother of Mr. Pham Phu My - Person in charge of corporate governance cum Secretary						An Cuc Dong Village, Bac Thuy Anh Commune, Hung Yen Province	0			
15.7	CST	Nguyen Thi Xuyen					Sister-in-law of Mr. Pham Phu My - Person in charge of corporate governance cum Secretary						An Cuc Dong Village, Bac Thuy Anh Commune, Hung Yen Province	0			
15.8	CST	Nguyen Thi Mien					Sister-in-law of Mr. Pham Phu My - Person in charge of corporate governance cum Secretary						Group 4, Zone 8, Cam Ward, Quang Ninh Province	0			
15.9	CST	Pham Thi Hoi					Siblings of Mr. Pham Phu My - Person in charge of corporate governance cum Secretary						Group 19, Tran Lam ward, Hung Yen province	0			
15.10	CST	Nguyen Quang Binh					Brother-in-law of Mr. Pham Phu My - Person in charge of corporate governance cum Secretary						Group 19, Tran Lam ward, Hung Yen province	0			

16	CST	Vietnam National Coal and Mineral Industries Holding Corporation Limited			Parent company	5700100256	12/07/2010	Hanoi	No. 3 Duong Dinh Nghe, Yen Hoa Ward, Hanoi City	27,909,807	65.14%	
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PREPAPER

CHAIRMAN OF THE BOARD OF DIRECTORS



Pham Phu My



Vu Van Khan

Cua Ong, July 21, 2025

INFORMATION ON CORPORATE GOVERNANCE – SEMIANNUAL 2025

(Attached is Report No. 7231/BC-TCS-HDQT dated 21/07/2025,

by Cao Son Coal Joint Stock Company)

Company Name: Cao Son Coal Joint Stock Company

Stock code: CST

No.	Evaluation Criteria	2025	
		Response	Provide additional information (if any)
1	State shareholder ownership ratio (%).	65,14%	
2	Company model (1 or 2) 1. According to Point a Clause 1 Article 137 of the Law on Enterprises 2020. 2. According to Point b Clause 1 Article 137 of the Law on Enterprises 2020.	1	
3	Number of legal representatives of the company?	1	
4	Charter amended according to the Law on Enterprises 2020 (Yes/No)?	Yes	
5	Company has internal corporate governance regulations in accordance with the Law on Enterprises 2020 and Decree 155/2020/ND-CP.	Yes	
6	Date of Annual / Extraordinary General Meeting of Shareholders.	25/04/2025	
7	Date of publication of General Meeting documents in the reporting period.	24/03/2025	Additional disclosures posted on 02/4/2025 and 17/4/2025
8	Date of publication of the Resolution and Minutes of the General Meeting of Shareholders.	25/04/2025	
9	Which time the company successfully held the General Meeting of Shareholders (1st/2nd/3rd).	1	

10	Has the company been subject to complaints regarding the organization or resolutions of the General Meeting of Shareholders? (Yes/No).	No	
11	Number of times reminded or noted by the Stock Exchange regarding the organization and disclosure of information about the General Meeting of Shareholders.	No	
12	Number of times reminded or noted by the State Securities Commission regarding the organization and disclosure of information about the General Meeting of Shareholders.	No	
13	Number of members of the Board of Directors.	5	
14	Number of independent members of the Board of Directors.	0	
15	Chairman of the Board of Directors concurrently holds the position of General Director/Director (Yes/No)?.	No	
16	Number of Board of Directors' meetings.	4	
17	Sub-committees under the Board of Directors (Yes/No)?		
18	Names of sub-committees under the Board of Directors		
19	Company has independent Board members responsible for remuneration and IIR matters (Yes/No).	No	
20	Company has appointed a Corporate Governance Officer.	Yes	
21	Is the Head of the Board of Supervisors working full-time at the company?.	No	
22	Number of members of the Board of Supervisors	5	
23	Number of Supervisors related to members of the Board of Directors, General Director/Director and other managers (list names of related Supervisors, if any).	No	
24	Number of Supervisors holding managerial positions (list names and positions, if any).	No	
25	Number of Supervisors who worked in the accounting/finance department or auditing firm that audited the company within 3 years prior to being elected to the Board of Supervisors (Yes/No).	No	
26	Number of meetings of the Board of Supervisors.	2	
27	Company has an Audit Committee (Yes/No).	No	
28	Is the Audit Committee under the Board of Directors?.		
29	Number of members of the Audit Committee.		
30	Number of meetings of the Audit Committee		
31	Company website provides full shareholder information as required? (Yes/No).	Yes	
32	Company pays dividends within 6 months from the end of the Annual General Meeting of Shareholders (Yes/No).	Yes	

33	Has the Company been warned or notified by the Stock Exchange or the State Securities Commission regarding transactions with related parties? (Yes/No)	No	
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PREPAPER

CHAIRMAN OF THE BOARD OF DIRECTORS



Pham Phu My



Vu Van Khan