

## REPORT ON COMPANY GOVERNANCE SITUATION

(First 6 months of 2025)

To:

- State Securities Commission
- Stock Exchange

- **Company Name:** Nghe An Petroleum Investment & Trading Joint Stock Company.
- **Head Office Address:** 23rd-24th Floor, Nghe An Petroleum Building, No. 7, Quang Trung Street, Thanh Vinh Ward, Nghe An Province.
- **Phone Number:** 02383 586696
- **Charter Capital:** 150,000,000,000 VND (One hundred fifty billion Vietnamese Dong).
- **Stock Code:** PXA
- **Company Governance Model:** General Meeting of Shareholders, Board of Directors, Supervisory Board, and General Director.
- **Regarding the implementation of internal audit function:** Implemented

**I. Activities of the General Meeting of Shareholders:** Information on meetings and Resolutions/Decisions of the General Meeting of Shareholders (*including Resolutions of the General Meeting of Shareholders approved in the form of written opinions*):

No.	Resolution Number	Date	Content
	0		

## II. Board of Directors (BOD):

### 1. Information on Board of Directors members:

No.	BOD Member	Position	Start/End Date of BOD Membership	
			Appointment Date	Dismissal Date
1	Mr. Duong Dung Tien	Chairman of the BOD	21/06/2024	
2	Mr. Tran Luong Son	BOD Member	21/06/2024	
3	Mr. Tran Dinh Quang	BOD Member	21/06/2024	

### 2. Board of Directors meetings:

No	BOD Member	Number of Meetings Attended	Attendance Rate	Reason for Non-Attendance
1	Mr. Duong Dung Tien	03/03	100%	
2	Mr. Tran Luong Son	03/03	100%	
3	Mr. Tran Dinh Quang	03/03	100%	



**3. Supervision activities of the BOD over the General Director:**

- The BOD regularly monitors, inspects, and supervises the activities of the General Director, promptly resolving issues arising within the authority of the BOD to facilitate the operational activities of the General Director.
- Inspect and supervise the General Director in performing their functions and duties according to the provisions of the Enterprise Law, the Company's Charter, regulations, and Resolutions and Decisions of the BOD.

**4. Activities of sub-committees under the Board of Directors: None.****5. Resolutions/Decisions of the Board of Directors:**

No.	Resolution/Decision	Date	Content	Approval Rate
1	01/NQ-HĐQT.PVIT	10/01/2025	Approval of 2025 Business Production Plan	100%
2	02/NQ-HĐQT.PVIT	26/05/ 2025	Unanimous agreement on the final registration date for exercising the right to attend the 2025 Annual General Meeting of Shareholders	100%
3	20/QĐ-HĐQT.PVIT	27/05/ 2025	Establishment of the Organizing Committee for the 2024 Annual General Meeting of Shareholders	100%

**III. Supervisory Board (SB):****1. Information on Supervisory Board members:**

No.	SB Member	Position	Start/End Date of SB Membership	Professional Qualification
1	Mr. Phan Dinh Chung	Head of SB	Start Date: 21/6/2024	Bachelor of Economics
2	Ms. Nguyen Thi Van Khanh	SB Member	Start Date: 21/6/2024	Bachelor of Economics
3	Ms. Dang Thi Quynh Nga	SB Member	Start Date: 21/6/2024	Bachelor of Accounting

**2. Supervisory Board meetings:**

No.	SB Member	Number of Meetings Attended	Attendance Rate	Reason for Non-Attendance
1	Mr. Phan Dinh Chung	01/01	100%	
2	Ms. Nguyen Thi Van Khanh	01/01	100%	
3	Ms. Dang Thi Quynh Nga	01/01	100%	

**3. Supervision activities of the SB over the BOD and General Director:**

- Inspect and supervise to ensure that the Company operates in accordance with the Enterprise Law, the Company's Charter, and Resolutions of the General Meeting of Shareholders.
- Attend BOD meetings, contribute opinions within their scope, responsibilities, and authority. Proactively propose to the BOD several issues such as business production



plans, financial accounting work, debt collection, and review and appraisal of annual financial statements. Regularly exchange relevant information and documents to perform the duties of the Supervisory Board.

**4. Coordination of activities between the SB and the BOD, General Director:**

- The Supervisory Board proactively coordinates activities regularly and closely with the BOD and General Director.
- Regularly inspect and supervise compliance with procedures and regulations in most departments and affiliated units.

**5. Other activities of the SB: None.**

**IV. Executive Board**

No.	Executive Board Member	Date of Birth	Professional Qualification	Appointment /Dismissal Date of Executive Board Member
1	Mr. Tran Luong Son - General Director	14/01/1972	Construction Engineer	Appointment Date: 01/7/2019
2	Mr. Tran Dinh Quang - Deputy General Director	17/10/1966	Irrigation Engineer	Appointment Date: 01/7/2019
3	Mr. Tran Hoang Dat - Deputy General Director	15/03/1980	Master of Construction	Appointment Date: 23/10/2009

**V. Chief Accountant**

No.	Executive Board Member	Date of Birth	Professional Qualification	Appointment /Dismissal Date
1	Ms. Thai Thi Huong	13/4/1983	Bachelor of Accounting	Appointment Date: 30/01/2019

**VI. Corporate Governance Training:**

Corporate governance training courses attended by BOD members, SB members, Executive Board, other management personnel, and company secretary as per corporate governance regulations: *None*.

**VII. List of Related Persons of Public Company and Transactions of Related Persons with the Company**

1. List of related persons of the company: *Appendix 01 attached.*
2. Transactions between the company and its related persons; or between the company and major shareholders, insiders, or related persons of insiders: *None*.
3. Transactions between company insiders, related persons of insiders and subsidiaries, companies controlled by the company: *None*.
4. Transactions between the company and other entities:
  - 4.1. Transactions between the company and companies where BOD members, SB members, Director (General Director), and other managers have been or are founding members or BOD members, General Director within the last 3 years: *None*.
  - 4.2. Transactions between the company and companies where related persons of BOD members, SB members, General Director, and other managers are BOD members, General Director: *None*.

4.3. Other company transactions (if any) that may bring material or non-material benefits to BOD members, SB members, General Director, and other managers: *None*.

**VIII. Stock Transactions of Insiders and Related Persons of Insiders**

1. List of insiders and related persons of insiders: *Appendix 02 attached*.

2. Transactions of insiders and related persons regarding company shares: *None*.

**IX. Other matters to note:** *None*.

**ON BEHALF OF THE BOARD OF DIRECTORS**

**CHAIRMAN**

***Recipients:***

- As above;
- BOD, SB;
- Save at BOD, Office.



**Dương Dung Tien**





## Appendix 01:

### List of Related Persons

#### of Nghe An Petroleum Investment and Trading Joint Stock Company

No.	Organization / Individual Name	Securities Trading Account	Position at Company (if any)	ID /Passport Number, Date of Issue, Place of Issue	Address, Head Office /Contact Address	Time of Becoming Related Person	Time of Ceasing to be Related Person	Reason	Relationship with Company
1	Duong Dung Tien		Chairman of BOD			28/6/2019			
2	Tran Luong Son		BOD Member, General Director			01/7/2019			
3	Tran Dinh Quang		BOD Member, Deputy General Director			01/7/2019			
4	Phan Dinh Chung		Head of SB term 2024-2029			21/6/2024			
5	Nguyen Thi Van Khanh		SB Member			21/6/2024			
6	Dang Thi Quynh Nga		SB Member			28/6/2019			
7	Tran Hoang Dat		Deputy General Director			23/10/2009			
8	Thai Thi Huong		Chief Accountant			30/01/2019			
9	Tran Thi Thanh Hien		Company Governance Officer			15/3/2024			
10	Tran Thi Toan								Shareholder owning 10% or more
11	Duong Hung Cuong								Shareholder owning 10% or more



## Appendix 02:

### List of insiders and related persons of insiders of Nghe An Petroleum Investment and Trading Joint Stock Company

No.	Full Name	Securities Trading Account	Position at Company (if any)	ID/Passport/Citizen ID Number, Date of Issue, Place of Issue	Contact Address	Number of Shares Owned at End of Period	Ownership Rate at End of Period	Notes
1	Duong Dung Tien		Chairman of BOD			0	0%	
Related Organizations and Individuals								
	Duong Tien Huy					0	0%	Son
	Duong Thuy Vy					0	0%	Daughter
	Duong Manh Hung					0	0%	Father
	Le Thi Bach Tuyet					3,000	0.02%	Mother
	Duong Hung Cuong					2,164,500	14.43%	Brother
2	Tran Luong Son		BOD Member - General Director			0	0%	BOD Member, General Director
Related Organizations and Individuals								
	Nguyen Thi Quynh Huong					0	0%	Wife
	Tran Thi Thuy Linh					0	0%	Daughter
	Tran Huy Hoang					0	0%	Son
	Tran Thi Oanh					0	0%	Sister
	Tran Thi Ngoc Chau					0	0%	Sister
3	Tran Dinh Quang		BOD Member - Deputy General Director			1,000	0.01%	BOD Member, Deputy General Director
Related Organizations and Individuals								
	Tran Thi Thu Thanh					0		Wife
	Tran Thi Hoai An					0		Daughter



	Tran Thi Thao Nguyen				0		Daughter
	Tran Thi Ky				0		Sister
	Tran Thi Hoan				0		Sister
	Tran Dinh Vuong				0		Brother
	Tran Dinh Vinh				0		Brother
4	Phan Dinh Chung		Head of SB		0		Head of SB
Related Organizations and Individuals							
	Nguyen Thi Hong Loan				0		Wife
	Phan Nguyen Khoi				0		Son
	Dinh Thi Le Chi				0		Mother
	Phan Thi Hai Que				0		Sister
	Phan Xuan Lam				0		Brother
	Phan Thi Cam Anh				0		Younger Sister
	Phan Thi Cam Tu				0		Younger Sister
5	Nguyen Thi Van Khanh		SB Member		0		SB Member
Related Organizations and Individuals							
	Ho Viet Toan				0		Husband
	Ho Bao Kien				0		Son
	Ho Duc Truong				0		Son
	Hoang Thi Phuoc				0		Mother
	Nguyen Vo Tuan				0		Brother
	Nguyen Vo Duong				0		Brother
	Nguyen Vo Toan				0		Brother
	Nguyen Vo Thang				0		Brother
	Nguyen Vo Thanh				0		Younger Brother
6	Dang Thi Quynh Nga		SB Member		0		SB Member



	<b>Related Organizations and Individuals</b>						
	Nguyen Huu Suu				0		Husband
	Nguyen Lam Giang				0		Daughter
	Nguyen Huong Giang				0		Daughter
	Nguyen Dang Diep Chi				0		Daughter
	Pham Thi Lien				0		Mother
	Dang Khac Thanh				0		Father
	Dang Thi Huyen Trang				0		Younger Sister
7	Tran Hoang Dat		Deputy General Director		0		Deputy General Director
	<b>Related Organizations and Individuals</b>						
	Tran Thi Thanh Loan				0		Wife
	Tran Chi Thanh				0		Son
	Tran Minh Hang				0		Daughter
	Tran Hong Nhung				0		Daughter
	Tran Thi Thuy Lan				0		Sister
	Tran Thi Thu Huong				0		Sister
	Tran Thi Tuyen				0		Sister
8	Thai Thi Huong		Chief Accountant		0		Chief Accountant
	<b>Related Organizations and Individuals</b>						
	Duong Thanh Hoa				0		Husband
	Duong Thai Quynh Chi				0		Daughter
	Duong Thai Ngoc Ha				0		Daughter
	Duong Truc Nguyen				0		Son
	Nguyen Thi Dieu				0		Mother



	Thai Doan San					0		Father
	Thai Doan Hai					0		Brother
9	Tran Thi Thanh Hien		Compan y Governance Officer			0		Compan y Governance Officer
Related Organizations and Individuals								
	Nguyen Lam Linh					0		Daughter
	Nguyen Lam Sam					0		Son
	Tran Cao Sang					0		Son
	Cao Thi Hanh					0		Mother
	Tran Thi Linh Nga					0		Sister