

**YENBAI JOINT-STOCKS FOREST
AGRICULTURAL PRODUCTS AND
FOODSTUFF COMPANY**

Stock code: CAP

THE SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

No.: 181/CBTT

Yen Bai, July 30, 2025

Regarding information disclosure

To:

- State Securities Commission;
- Hanoi Stock Exchange (HNX).

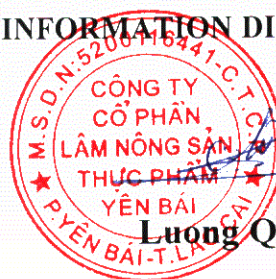
1. Company's name: YENBAI JOINT-STOCKS FOREST AGRICULTURAL PRODUCTS AND FOODSTUFF COMPANY
2. Stock code: CAP
3. Head office address: House No. 279, Nguyen Phuc Street, Yen Bai Ward, Lao Cai Province
4. Phone: 02163.862.278 - Fax: 02163.862.804
5. Information disclosure person: Luong Quoc Quyen
6. Phone: 0978.131.246
7. Information disclosure type: ☐ 24 hours ☐ 72 hours ☐ Unusual ☐ On request
☒ Periodic
8. Information disclosure content: 2025 Semi-annual Corporate Governance Report.
9. We also address the entire Report at Website www.yfatuf.com.vn

We hereby commit that the information disclosed above is true and take full legal responsibility for the content of the disclosed information.

Recipient:

- As above;
- Archived: Office.

INFORMATION DISCLOSURE PERSON



Luong Quoc Quyen

No.: 19../HDQT

Yen Bai, July 30, 2025

**REPORT ON CORPORATE GOVERNANCE
THE FIRST 6 MONTHS OF 2025**

To:

- State Securities Commission;
- Hanoi Stock Exchange.

- Company name: **Yenbai Joint-stocks Forest Agricultural Products and Foodstuff Company**
- Head office address: No. 279, Nguyen Phuc Street, Yen Bai Ward, Lao Cai Province
- Phone: 0216.3862.278 Fax: 0216.3862.804 Email: yfatuf@gmail.com
- Charter capital : **152.699.650.000 VND** (One hundred and fifty-two billion, six hundred and ninety-nine million, six hundred and fifty thousand VND)
- Stock code : **CAP**
- Governance model: General Meeting of Shareholders, Board of Directors, Board of Supervisors, Board of Managements.
- The implementation of internal audit: Implemented.

I. Activities of the General Meeting of Shareholders: *None*

II. Board of Directors 2025(Semi-annual report)

1. Information about Board of Directors (BOD) members

No.	Board Member	Position	Date of starting/cease being a member of the Board of Directors/Independent Board of Directors	
			Date of appointment	Dismissal Date
1	Mr. Truong Ngoc Bien	Chairman of the Board of Directors - Executive Member	24/11/2019	
2	Mr. Le Long Giang	Executive Board of Directors Member - Director	21/12/2021	
3	Mr. Nguyen Huy Thong	Executive Board of Directors Member – Deputy Director	30/11/2023	
4	Mr: Nguyen Van Tru	Executive Board of Directors Member – Deputy Director	25/11/2024	
5	Mr. Nguyen Quoc Trinh	Non-executive Board Member	24/11/2019	
6	Mrs. Hoang Thi Binh	Non-executive Board Member	24/11/2019	
7	Mr. Nguyen Xuan Hong	Independent Non-Executive Board Member	21/12/2021	

8	Mr. Tran Thanh Ha	Independent Non-Executive Board Member	30/11/2023	
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2. Board of Directors meetings

No.	Board Member	Number of Board of Directors meetings attended	Meeting attendance rate (%)	Reason for not attending the meeting
1	Mr. Truong Ngoc Bien	3/3	100	
2	Mr. Le Long Giang	3/3	100	
3	Mr. Nguyen Huy Thong	3/3	100	
4	Mr: Nguyen Van Tru	3/3	100	
5	Mr. Nguyen Quoc Trinh	3/3	100	
6	Mrs. Hoang Thi Binh	3/3	100	
7	Mr. Nguyen Xuan Hong	3/3	100	
8	Mr. Tran Thanh Ha	3/3	100	

3. Supervisory activities of the Board of Directors over the Board of Managements

The Board of Directors regularly inspects and supervises the following issues in the production and business operations of the Company's Board of Managements:

- The Board of Directors monitors and supervises the direction and operation of production and business activities, ensuring the completion of basic targets and achieving efficiency in the fiscal year 2024-2025 .

- The Chairman of the Board of Directors attends and gives directions at the regular working meetings of the Board of Managements.

- The Chairman of the Board of Directors has assigned tasks to the members of the Board of Directors in charge of each area of the Company's operations, enhancing the responsibility of each member of the Board of Directors in supervising the management and operation activities, organizing the implementation of Resolutions, Decisions, and directions of the Board of Directors in accordance with the provisions of the Company's Charter and the internal regulations on corporate governance that have been issued.

- The Chairman of the Board of Directors directs the activities of the Board of Directors within his/her authority, implementing and completing the goals approved by the General Meeting of Shareholders and the Resolutions of the Board of Directors .

- Timely make decisions or give quick instructions when there are changes in company leadership personnel and factories to ensure stable production and business activities.

- Supervise the implementation of the production and business plan according to the Resolution of the 2024 Annual General Meeting of Shareholders and the Resolutions of the Board of Directors.

- The Board of Directors has issued timely Resolutions for the Board of Management to implement the contents approved by the 2024 Annual General Meeting of Shareholders .
- Direct research to improve machinery and equipment to reduce costs.
- Direct the Board of Directors to research plans and projects to develop the Company.
- The Board of Directors coordinates with the Board of Supervisors to organize inspection and supervision activities for production and business activities, inspect the implementation and compliance with the provisions of the Enterprise Law, the Company Charter and current legal provisions of the State.

4. Activities of subcommittees under the Board of Directors: None

5. Resolutions/Decisions of the Board of Directors in 2025

No.	Resolution /Decision Number	Time	Content	Approval rate
1	13/NQ-HĐQT	28/3/2025	<ul style="list-style-type: none"> - Approval of the 6-month production and business results of the 2024-2025 fiscal year and the production and business plan for the second quarter of 2025; - Approval of the Proposal on the renovation plan of Van Yen cassava factory. 	100%
2	16/NQ-HĐQT	29/5/2025	- Approval of the Personnel organization work.	100%
3	17/NQ-HĐQT	28/6/2025	<ul style="list-style-type: none"> - Approval of the 9-month production and business results of the 2024-2025 fiscal year and the production and business plan for the third quarter of 2025; - Personnel organization work. 	100%

III. Board of Supervisors (Semi-annual report)

1. Information about the members of the Board of Supervisors (BOS)

No.	Board Member	Position	Date of commencement as a member of the Board of Supervisors	Professional qualifications
1	Ms. Nguyen Thi Thu Hang	Head of Supervisory Board	30/11/2020	Bachelor of Accounting
2	Mr. Tran Sy Lam	Supervisory Board Member	24/11/2019	Electrical Engineer
4	Mr. Pham Tu Linh	Supervisory Board Member	30/11/2020	Bachelor of Accounting

2. Board of Supervisors meeting

No.	Board Member	Number of meetings attended	Meeting attendance rate	Voting ratio	Reason for not attending the meeting
1	Ms. Nguyen Thi Thu Hang	2/2	100%	100%	
2	Mr. Tran Sy Lam	2/2	100%	100%	
3	Mr. Pham Tu Linh	2/2	100%	100%	

3. Supervisory activities of the Board of Supervisors towards the Board of Directors, Board of Management and shareholders

- The Board of Supervisors performs the function of supervising the management and operation of the company.

The Board of Directors, the Board of Management and the Company's production and business activities through attending all meetings of the Board of Directors and contributing opinions and recommendations in the inspection and supervision of the Company's production and business activities. Carrying out internal control rounds according to the quarterly and annual programs, according to the topics to provide early warning of risks in the Company's production and business activities and conducting surprise inspections when problems arise.

- Inspect and supervise the activities of the Board of Directors and the Board of Management of the Company in complying with the provisions of the Enterprise Law and the Company's Charter in the process of managing and operating production and business activities. Especially implementing the Resolutions of the Annual General Meeting of Shareholders and the Resolutions of the Board of Directors.

- Review the validity of decisions of the Board of Directors and the Board of Management in management, production and business operations, investment... to ensure compliance with legal regulations, the Company Charter and the interests of shareholders.

- For shareholders: In the first 6 months of 2025 , the Company's Board of Supervisors did not receive any requests or complaints from shareholders or groups of shareholders.

4. Coordination of activities between the Supervisory Board and the Board of Directors, Board of Management and other management staff

- The Supervisory Board has actively coordinated closely with the Board of Directors and the Board of Management of the Company in inspecting and supervising all production and business activities of the Company in the fiscal year 2023-2024, regularly exchanging information. Every quarter, the Supervisory Board reports the results of monitoring and inspecting previous proposals and the results of the control during the period and sends the report to the members of the Board of Directors and the Company's Director.

- The Supervisory Board has made many contributions and suggestions to the Board of Directors and the Board of Management of the Company on the implementation of

production and business plans, investment projects, as well as financial management of units in the whole Company.

- The Board of Directors has provided all Resolutions and Decisions of the Board of Directors to the Board of Supervisors.

- The Board of Directors and the Board of Management always create favorable conditions for the Supervisory Board to collect information and documents related to the Company's production and business activities so that the Supervisory Board can perform its assigned tasks well.

- The Board of Directors, Board of Supervisors, Board of Management and the Company's management staff have had a close coordination relationship in the operation and management of production and business activities.

- The Board of Supervisors has attended all meetings of the Board of Directors, coordinated well with the Board of Directors, supervised all activities of the Company to ensure compliance with the law, the Company Charter and the rights and interests of shareholders.

5. Other activities of the Board of Directors: None

IV. Board of Management(Semi-annual report)

No.	Board Member	Date of birth	Professional qualifications	Date of appointment
1	Director: Mr. Nguyen Huy Thong	16/05/1977	Master of Economic Management	01/01/2025
2	Deputy Director: Mr. Nguyen Van Tru	19/08/1977	LS Processing Engineer	10/10/2018
3	Deputy Director: Mr. Ha Hai Yen	16/01/1978	Electrical Engineer	01/01/2023

V. Chief Accountant

No.	Full name	Date of birth	Professional qualifications	Date of appointment
1	Mr. Luong Quoc Quyen	15/12/1981	Master of Accounting	01/4/2024

VI. Corporate governance training:Corporate governance training courses attended by members of the Board of Directors, members of the Supervisory Board, the Executive Director, other managers and the Company Secretary in accordance with regulations on corporate governance: None

VII. The list of affiliated persons of the public and transactions of affiliated persons of the Company

1. List of related persons of the Company

No.	Full name	Securities trading account	Position at the Company	NSH type (ID card, Passport)	NSH certificate number	Date of issue of NSH certificate	Place of issue of NSH certificate	Contact address	Time of becoming a related person	Time of ceasing to be a related person	Reason
1	Truong Ngoc Bien		Chairman of the Board of Directors					No. 7, To Hieu Street, Group 3, Yen Bai Ward, Lao Cai Province			
2	Hoang Thi Binh		Board of Directors Member					No. 7, To Hieu Street, Group 3, Yen Bai Ward, Lao Cai Province			
3	Le Long Giang		Board of Directors Member					Group 7, Yen Bai Ward, Lao Cai Province			
4	Nguyen Huy Thong		Board of Directors Member, Director					Group 5, Yen Bai Ward, Lao Cai Province			
5	Nguyen Quoc Trinh		Board of Directors Member					Group 13, Yen Bai Ward, Lao Cai Province			
6	Nguyen Xuan Hong		Board of Directors Member					Thuong Village, Thanh Liet. Thanh Tri, Hanoi			
7	Tran Thanh Ha		Board of Directors Member					Phuc Cuong Street, Yen Bai Ward, Lao Cai Province			

8	Nguyen Van Tru	Member of Board of Directors, Deputy Director					Hop Thinh Village, Van Phu Ward, Lao Cai Province		
9	Ha Hai Yen	Deputy Director					Cau Khai village, Dong Cuong commune, Lao Cai province		
10	Nguyen Thi Thu Hang	Head of the Supervisory Board					Group 12, Yen Bai ward, Lao Cai province		
11	Tran Sy Lam	Supervisory Board Member					Group 4, Au Lau ward, Lao Cai province		
12	Pham Tu Linh	Supervisory Board Member					Bao Tan Village, Nam Cuong Ward, Lao Cai Province		
13	Luong Quoc Quyen	Chief Accountant, Corporate Administrator, Secretary, Information Disclosure Authorization Officer					Group 1, Yen Bai ward, Lao Cai province		

2. Transactions between the company and related persons of the company; or between the company and major shareholders, insiders, and related persons of insiders: None

3. Transactions between company insiders, related persons of insiders and subsidiaries, companies controlled by the company: None

4. Transactions between the company and other entities: None

VIII. Stock transactions of insiders and related parties of insiders

1. List of insiders and related persons of insiders

No.	Stock code	Full name	Securities trading account	Position at the Company	Relationship to the insider	NSH certificate: ID Card			Contact address	Number of shares owned at the end of the period	End of period share ownership ratio	Notes (regarding the absence of NSH Certificate number and other notes)
						NSH certificate number	Date of issue	Place of issue				
1	2	3	4	5	6	7	8	9	10	11	12	13
1	CAP	Truong Ngoc Bien		Chairman of the Board of Directors					No. 7, To Hieu Street, Group 3, Yen Bai Ward, Lao Cai	1,034,855	6,78	
	CAP	Truong Ngoc Bien										
1.1	CAP	Hoang Thi Binh		Board of Directors Member	Wife				No. 7, To Hieu Street, Group 3, Yen Bai Ward, Lao Cai	1,788,116	11,71	
1.2	CAP	Truong Thi Hoang Yen			Daughter				Room 1602, Building E5, Ciputra urban area, Xuan Tao ward, Bac Tu Tam district, Hanoi	764,640	5,01	
1.3	CAP	Truong Thi Hoang Anh			Daughter				Room 1602, Building E4, Ciputra urban area, Phu Thuong ward, Tay Ho district, Hanoi	757,416	4,96	

1.4	CAP	Vu Thanh Trung			Son-in-law	Room 1602, Building E5, Ciputra urban area, Xuan Tao ward, Bac Tu Liem district, Hanoi		
1.5	CAP	Nguyen Thanh Tra			Son-in-law	Room 1602, Building E4, Ciputra urban area, Phu Thuong ward, Tay Ho district, Hanoi		
1.6	CAP	Truong Ngoc Hoan			Brother	Group 2, Yen Bai ward, Lao Cai		
1.7	CAP	Truong Ngoc Nguyen			Brother	Room 57, B1 Vinh Ho collective, Thinh Quang, Dong Da, Hanoi		
1.8	CAP	Truong Ngoc Binh			Brother	Room 57, B1 Vinh Ho collective, Thinh Quang, Dong Da, Hanoi		
1.9	CAP	Truong Thi Duyen	Head of Planning and Business Department		Sister	Group 16, Yen Bai ward, Lao Cai	85.460	0,56
1.10	CAP	Do Thi Thuy Hong			Sister-in-law	Group 2, Dong Tam Ward, Yen Bai City, Yen Bai		
1.11	CAP	Bui Thi Huong Giang			Sister-in-law	Le Trong Tan Street, An Khanh, Hoai Duc, Hanoi		
1.12	CAP	Van Thi Hoa Anh			Sister-in-law	Dong Da - Hanoi		
1.13	CAP	Nguyen Quoc Truong			Brother-in-law	Group 16, Yen Bai ward, Lao Cai		
2	CAP	Hoang Thi Binh	Board of Directors Member			No. 7, To Hieu Street, Group 3, Yen Bai Ward, Lao Cai	1.788.116	11,71

2.1	CAP	Truong Ngoc Bien	Chairman of the Board of Directors	Husband				No. 7, To Hieu Street, Group 3, Yen Bai Ward, Lao Cai	1.034.855	6,78
2.2	CAP	Truong Thi Hoang Yen		Daughter				Room 1602, Building E5, Ciputra urban area, Xuan Tao ward, Bac Tu Lien district, Hanoi	764.640	5,01
2.3	CAP	Truong Thi Hoang Anh		Daughter				Room 1602, Building E4, Ciputra urban area, Phu Thuong ward, Tay Ho district, Hanoi	757.416	4,96
2.4	CAP	Vu Thanh Trung		Son-in-law				Room 1602, Building E5, Ciputra urban area, Xuan Tao ward, Bac Tu Lien district, Hanoi		
2.5	CAP	Nguyen Thanh Tra		Son-in-law				Room 1602, Building E4, Ciputra urban area, Phu Thuong ward, Tay Ho district, Hanoi		
2.6	CAP	Hoang Thi Lieu		Sister				Chan Thinh Commune, Lao Cai Province		
2.7	CAP	Hoang Thi Chien		Sister				Dong Da, Hanoi		
2.8	CAP	Hoang Thi Tinh		Sister				Chan Thinh Commune, Lao Cai Province		
2.9	CAP	Hoang Cong Dung		Brother-in-law				Dong Da, Hanoi		
3	CAP	Le Long Giang	Board of Directors Member, Director					Group 7, Yen Bai Ward, Lao Cai Province	78.174	0,51
	CAP	Le Long Giang								

3.1	CAP	Le The Quyet							Trung Tam Ward, Lao Cai Province			
3.2	CAP	Tran Thi Nhu Y							Trung Tam Ward, Lao Cai Province			
3.3	CAP	Nguyen Thi Binh							Yen Bai Ward, Lao Cai Province			
3.4	CAP	Huynh Thi Van Ha							Group 7, Yen Bai Ward, Lao Cai Province			
3.5	CAP	Le Dinh Bao							Group 7, Yen Bai Ward, Lao Cai Province			
3.6	CAP	Le Dinh Phuc							Group 7, Yen Bai Ward, Lao Cai Province			
3.7	CAP	Le Long Hai							Group 2, Trung Tam Ward, Lao Cai Province			
3.8	CAP	Vuong Tu Uyen							Black ferry labor area, Thanh Luong- HBT – HN			
4	CAP	Nguyen Huy Thong						Board of Directors Member, Donatut	Group 5, Yen Bai Ward, Lao Cai Province	126.327	0,83	
4.1	CAP	Pham Thi Ngu							Group 5, Yen Bai Ward, Lao Cai Province			
4.2	CAP	Le Thi Vinh							Group 5, Yen Bai Ward, Lao Cai Province			

4.3	CAP	Ngo Thi Hoai							Wife					Group 5, Yen Bai Ward, Lao Cai Province	442	0,0	
4.4	CAP	Nguyen Phuong Thao							Daughter					Group 5, Yen Bai Ward, Lao Cai Province			
4.5	CAP	Nguyen Hoai Giang							Daughter					Group 5, Yen Bai Ward, Lao Cai Province			
4.6	CAP	Nguyen Thi Thanh Thuy							Sister					Group 2, Au Lau ward, Lao Cai province			
4.7	CAP	Nguyen Kim Chung							Sister					Group 4, Yen Bai ward, Lao Cai province			
4.8	CAP	Nguyen Dinh Phong							Brother-in-law					Group 2, Au Lau ward, Lao Cai province			
4.9	CAP	Pham Van Son							Brother-in-law					Group 2, Au Lau ward, Lao Cai province			
5	CAP	Nguyen Quoc Trinh						Board of Directors Member						Group 13, Yen Bai Ward, Lao Cai Province	730.969	4,79	
	CAP	Nguyen Quoc Trinh															
5.1	CAP	Tran Thi Binh							Wife					Group 13, Yen Bai Ward, Lao Cai Province	404.422	2,65	
5.2	CAP	Nguyen Thi Linh Xuan							Daughter					Group 7, Yen Bai Ward, Lao Cai Province			

5.3	CAP	Nguyen Thuy Tien		Daughter			Residential Group No. 9 - Mo Lao - Ha Dong - Hanoi	54,645	0,36
5.4	CAP	Pham Trung Tam		Son-in-law			Group 7, Yen Bai Ward, Lao Cai Province	9,005	0,06
5.5	CAP	Phan Dinh Tung		Son-in-law			Residential Group No. 9 - Mo Lao - Ha Dong - Hanoi		
5.6	CAP	Nguyen Cong Binh		Brother			Group 11, Trung Tam Ward, Lao Cai Province		
5.7	CAP	Vu Thi Loan		Sister-in-law			Group 11, Trung Tam Ward, Lao Cai Province		
5.8	CAP	Nguyen Thi Lan		Sister			Group 1, Yen Binh commune, Lao Cai province		
5.9	CAP	Nguyen Thi Xinh		Daughter			Cau Thia Village, Trung Tam Ward, Lao Cai Province		
5.10	CAP	Nguyen Thi Thu Thinh		Sister			Group 8, Nghia Lo Ward, Lao Cai Province		
5.11	CAP	Nguyen Thi Linh		Sister			Trung Tam Ward, Lao Cai Province		
5.12	CAP	Vu Xuan Hoa		Brother-in-law			Trung Tam Ward, Lao Cai Province		
5.13	CAP	Pham Van Truc		Brother-in-law			Group 8, Nghia Lo Ward, Lao Cai Province		

6	CAP	Nguyen Xuan Hong	Board of Directors Member				Thuong Village, Thanh Liet. Thanh Tri, Hanoi	23.541	0,15
6.1	CAP	Nguyen Thi Dung	Wife				Yen Bai Ward, Lao Cai Province		
6.2	CAP	Nguyen Thi Hai Yen	Daughter				Bao Yen Commune, Lao Cai Province		
6.3	CAP	Nguyen Xuan Hiep	Son				Lane 586, Kim Giang Street, Thanh Liet. Thanh Tri, Hanoi		
6.4	CAP	Nguyen Minh Thuy	Daughter				Phuc Tan, Yen Bai ward, Lao Cai province		
6.5	CAP	Vu Phuong Thao	Daughter-in-law				Kim Giang-Thuong Village-Thanh Liet-Thanh Tri-Hanoi		
6.6	CAP	Le Dac Trieu	Son-in-law				Linh Dam-Hoan Liet-Hoan Mai-Hanoi		
6.7	CAP	Nguyen Thi Man	Sister				Truong Thi Ward, Ninh Binh Province		
6.8	CAP	Nguyen Thi Nguyet	Sister				Truong Thi Ward, Ninh Binh Province		
6.9	CAP	Nguyen Xuan Phuong	Younger brother				Street 3, Binh Trung Tay, District 2, Ho Chi Minh City		
6.10	CAP	Nguyen Van Phan	Younger brother				Street 6, Binh Trung Dong, Thu Duc City, Ho Chi Minh City		

6.11	CAP	Nguyen Thi Mai				Sister			Nam Ninh Commune, Ninh Binh Province			
6.12	CAP	Nguyen Thi Dao				Sister			42 Nguyen Hue, Ben Nghe, District 1, Ho Chi Minh City			
6.13	CAP	Truong Thi Trang				Sister-in-law			Street 3, Binh Trung Tay, District 2, Ho Chi Minh City			
6.14	CAP	Nguyen Thi Kim Lien				Sister-in-law			Street 6, Binh Trung Dong, Thu Duc City, Ho Chi Minh City			
6.15	CAP	Nguyen Xuan Sinh				Brother-in- law			Truong Thi Ward, Ninh Binh Province			
6.16	CAP	Nguyen Xuan Vac				Brother-in- law			Nam Ninh Commune, Ninh Binh Province			
7	CAP	Tran Thanh Ha				Board of Directors Member			Phuc Cuong Street, Yen Bai Ward, Lao Cai Province	8.200	0,05	
7.1	CAP	Nguyen Thi Hue				Mother			Phuc Cuong Street, Yen Bai Ward, Lao Cai Province			
7.2	CAP	Pham Thi Kim Hoa				Wife			Phuc Cuong Street, Yen Bai Ward, Lao Cai Province			
7.3	CAP	Tran Dinh Hoang				Son			Phuc Cuong Street, Yen Bai Ward, Lao Cai Province			
7.4	CAP	Ha Thi Ngoc Anh				Daughter-in- law			Phuc Cuong Street, Yen Bai Ward, Lao Cai Province			

7.5	CAP	Tran Dinh Nguyen						Phuc Cuong Street, Yen Bai Ward, Lao Cai Province		
7.6	CAP	Tran Thanh Son						Bien Hoa Ward, Dong Nai Province		
7.7	CAP	Nguyen Thi Oanh						Bien Hoa Ward, Dong Nai Province		
7.8	CAP	Tran Thi Thanh Hang						Yen Bai Ward, Lao Cai Province		
7.9	CAP	Tran Thi Bich Nga						Yen Bai Ward, Lao Cai Province		
7.10	CAP	Dinh Nguyen Hong						Yen Bai Ward, Lao Cai Province		
7.11	CAP	Tran Thi Anh Nguyet						Yen Bai Ward, Lao Cai Province		
7.12	CAP	Pham Van Binh						Yen Bai Ward, Lao Cai Province		
7.13	CAP	Tran Xuan Thuy						Yen Bai Ward, Lao Cai Province		
7.14	CAP	Luong Thi Huong Giang						Yen Bai Ward, Lao Cai Province		
8	CAP	Nguyen Van Tru						Hop Thinh Village, Van Phu Ward, Lao Cai Province	84.227	0,55

10.2	CAP	Tran Thi Hoi					Thieu Ly Commune, Thanh Hoa Province		
10.3	CAP	Nguyen Thang Thieu					Group 12, Yen Bai ward, Lao Cai province	12.300	0,08
10.4	CAP	Nguyen Minh Phu					Group 12, Yen Bai ward, Lao Cai province		
10.5	CAP	Nguyen Thien Nhan					Group 12, Yen Bai ward, Lao Cai province		
10.6	CAP	Nguyen Thanh Trung					Van Phu Ward, Lao Cai Province		
10.7	CAP	Nguyen Thuy Linh					Van Phu Ward, Lao Cai Province		
10.8	CAP	Nguyen Thi Hanh					Mau A Commune, Lao Cai Province		
11	CAP	Tran Sy Lam				Supervisory Board Member	Group 4, Au Lau ward, Lao Cai province	20.000	0,13
11.1	CAP	Nguyen Thi Ngoan				Mother	Thuong Bang La Commune, Lao Cai Province		
11.2	CAP	Cao Van Trong				Father-in-law	Thuong Bang La Commune, Lao Cai Province		
11.3	CAP	Cao Thi Thuy				Wife	Group 4, Au Lau ward, Lao Cai province		

11.4	CAP	Tran Thi Hoang Anh				Daughter			Group 4, Au Lau ward, Lao Cai province			
11.5	CAP	Tran Duc Minh				Son			Group 4, Au Lau ward, Lao Cai province			
11.6	CAP	Tran Manh Hung				Brother			Residential group of Geological collective area - Yen Vien Gia Lam Hanoi			
11.7	CAP	Tran Thi Loan				Sister			Group 40, Lao Cai ward, Lao Cai province			
11.8	CAP	Tran Thi Cuc				Sister			Thuong Bang La Commune, Lao Cai Province			
11.9	CAP	Dinh Minh Tien				Brother-in-law			Group 40, Lao Cai ward, Lao Cai province			
11.10	CAP	Tran Van Quyet				Brother-in-law			Thuong Bang La Commune, Lao Cai Province			
11.11	CAP	Nguyen Thi Ly				Sister-in-law			Residential group of Geological collective area - Yen Vien Gia Lam Hanoi			
11.12	CAP	Nguyen Thi Hang				Sister-in-law			Thuong Bang La Commune, Lao Cai Province			
12	CAP	Pham Tu Linh				Supervisory Board Member			Bao Tan Village, Nam Cuong Ward, Lao Cai Province	11.487	0,08	
12.1	CAP	Pham Van Hai				Father			Chan Thinh Commune, Lao Cai Province			

12.2	CAP	Nguyen Thi Mai					Chan Thinh Commune, Lao Cai Province		
12.3	CAP	Trinh Cong Luyen			Father-in-law		Bao Tan Village, Nam Cuong Ward, Lao Cai Province		
12.4	CAP	Nguyen Thi Mai			Mother-in-law		Bao Tan Village, Nam Cuong Ward, Lao Cai Province		
12.5	CAP	Trinh Thi Thu Ha			Wife		Bao Tan Village, Nam Cuong Ward, Lao Cai Province		
12.6	CAP	Pham Khanh Ngoc			Daughter		Bao Tan Village, Nam Cuong Ward, Lao Cai Province		
12.7	CAP	Pham Khanh Huyen			Daughter		Bao Tan Village, Nam Cuong Ward, Lao Cai Province		
12.8	CAP	Pham Thi Thu Hien			Sister		Long Dong Commune, Lang Son Province		
12.9	CAP	Duong Cong Trinh			Brother-in- law		Long Dong Commune, Lang Son Province		
12.10	CAP	Pham Thi Thu Huong			Sister		Bac Ha Commune, Lao Cai Province		
12.11	CAP	Dinh Viet Khanh			Brother-in- law		Bac Ha Commune, Lao Cai Province		
13	CAP	Luong Quoc Quyen		Chief Accountant, Corporate Administration			Group 1, Yen Bai ward, Lao Cai province	15.462	0,10



13.1	CAP	Luong Minh Dot	Father			Group 1, Yen Bai ward, Lao Cai province		
13.2	CAP	Nguyen Thi Nhan	Mother			Group 1, Yen Bai ward, Lao Cai province		
13.3	CAP	Nguyen Duc Tien	Father-in-law			Group 9, Yen Bai ward, Lao Cai province		
13.4	CAP	Pham Thi Thuy Nga	Mother-in-law			Group 9, Yen Bai ward, Lao Cai province		
13.5	CAP	Nguyen Huong Lan	Wife			Group 1, Yen Bai ward, Lao Cai province	5.000	0,03
13.6	CAP	Luong Bach Diep	Daughter			Group 1, Yen Bai ward, Lao Cai province		
13.7	CAP	Luong Thuy Duong	Daughter			Group 1, Yen Bai ward, Lao Cai province		
13.8	CAP	Luong Quoc Dat	Son			Group 1, Yen Bai ward, Lao Cai province		
13.9	CAP	Luong Thi Thuy Quyen	Sister			Yen Thinh village, Mau A commune, Lao Cai province		
13.10	CAP	Nguyen Chi Tuoi	Brother-in-law			Yen Thinh village, Mau A commune, Lao Cai province		

2. Transactions of internal persons and affiliated persons with shares of the company

No.	Transaction executor	Relationship with internal persons	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reasons for increasing, decreasing (buying, selling, converting, rewarding, etc.)
			Number of shares	Percentage (%)	Number of shares	Percentage (%)	
1	Truong Ngoc Bien	Chairman of the Board	1.014.955	6,65	1.034.855	6,78	Buy
2	Hoang Thi Binh	Board of Directors, Mr. Truong Ngoc Bien's wife	1.788.116	11,71	1.788.116	11,71	
3	Truong Thi Hoang Yen	Daughter of Mr. Truong Ngoc Bien and Ms. Hoang Thi Binh	764.640	5,01	764.640	5,01	
4	Truong Thi Hoang Anh	Daughter of Mr. Truong Ngoc Bien and Ms. Hoang Thi Binh	757.416	4,96	757.416	4,96	
5	Truong Thi Duyen	Sister of Mr. Truong Ngoc Bien	82.460	0,54	85.460	0,56	Buy
6	Nguyen Quoc Trinh	Board of Directors	730.969	4,79	730.969	4,79	
7	Tran Thi Binh	Wife of Mr. Nguyen Quoc Trinh	404.422	2,65	404.422	2,65	
8	Nguyen Thuy Tien	Daughter of Mr. Nguyen Quoc Trinh	54.645	0,36	54.645	0,36	
9	Pham Trung Tam	Son-in-law of Mr. Nguyen Quoc Trinh	8.005	0,05	9.005	0,06	Buy
10	Le Long Giang	Board of Directors Member, Director	87.174	0,57	78.174	0,51	Sell
11	Nguyen Xuan Hong	Independence Board of Directors Member	21.101	0,14	23.541	0,15	Buy
12	Tran Thanh Ha	Independence Board of Directors Member	3.700	0,02	8.200	0,05	Buy
13	Nguyen Van Tru	Deputy Director	78.727	0,52	84.227	0,55	Buy
14	Nguyen Huy Thong	Deputy Director	115.327	0,76	126.327	0,83	Buy
15	Ngo Thi Hoai	Wife of Mr. Nguyen Huy Thong	442	0,00	442	0,00	
16	Ha Hai Yen	Deputy Director	43.702	0,29	44.102	0,29	Buy
17	Nguyen Thi Thu Huong	Wife of Mr. Ha Hai Yen	0	0,00	3.100	0,02	Buy
18	Nguyen Thi Thu Hang	Head of Supervisory Board	50.634	0,33	52.000	0,34	Buy
19	Nguyen Thang Thieu	Husband of Ms. Nguyen Thi Thu Hang	3.300	0,02	12.300	0,08	Buy
20	Tran Sy Lam	Supervisory Board Member	20.000	0,13	20.000	0,13	

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21	Pham Tu Linh	Supervisory Board Member	9.987	0,07	11.487	0,08	Buy
22	Luong Quoc Quyen	Chief Accountant, Corporate Governance Officer	15.462	0,10	15.462	0,10	

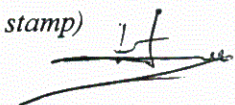

IX. Other significant issues: None

Recipients:

- HNX, SSC;
- Archived: Office, Board of Directors, Board of Supervisors.

**CHAIRMAN OF THE BOARD
OF DIRECTORS**

(Sign, print full name and stamp)



Trương Ngọc Biên

