

CÔNG BỐ THÔNG TIN
INFORMATION DISCLOSURE

Kính gửi/ Tơ.

- Ủy ban chứng khoán Nhà nước/ State Securities Commission
- Sở Giao dịch Chứng khoán Hà Nội/ Hanoi Stock Exchange

1. Tên tổ chức/ *Name of organization*: Ngân hàng Thương mại cổ phần Việt Nam Thương Tín/ *Vietnam Thuong Tin Joint Stock Commercial Bank*:

- Mã chứng khoán/ Mã thành viên/ *Stock code/ Broker code*: VBB
- Địa chỉ/ *Address*: 47 Trần Hưng Đạo, Phường Phú Lợi, Thành phố Cần Thơ / *47 Tran Hung Dao, Phu Loi Ward, Can Tho City*
- Điện thoại liên hệ/ *Tel.*: (079) 3621454 Fax: (079) 3621858 E-mail:

2. Nội dung thông tin công bố/ *Contents of disclosure*:

- Thông báo cho cổ đông về Điều kiện, tiêu chuẩn nhân sự dự kiến làm Thành viên Hội đồng quản trị, Thành viên Ban Kiểm soát; quyền đề cử, ứng cử nhân sự dự kiến làm Thành viên Hội đồng quản trị, Thành viên Ban Kiểm soát theo quy định của pháp luật, Điều lệ Vietbank, Quy chế nội bộ về quản trị công ty của Vietbank; và Hồ sơ, Mẫu biểu để cổ đông thực hiện quyền đề cử, ứng cử nhân sự dự kiến bầu Thành viên Hội đồng quản trị, Thành viên Ban kiểm soát nhiệm kỳ 2026 – 2030/ *Notice to shareholders: Conditions and standards for personnel expected to be members of the Board of Directors and members of the Supervisory Board; the right to nominate and run for personnel expected to be members of the Board of Directors and members of the Supervisory Board according to the provisions of law, Vietbank's Charter, and internal regulations on corporate governance of Vietbank; and documents and forms for shareholders to exercise the right to nominate and run for personnel expected to be elected as members of the Board of Directors and members of the Supervisory Board for the 2026 - 2030 term.*

3. Thông tin này được công bố trên trang thông tin điện tử của Công ty vào ngày 05/12/2025/ *This information is published on the Company's website on December 05, 2025: <https://www.vietbank.com.vn/nha-dau-tu/cong-bo-thong-tin>.*

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố/ *We hereby certify that the information provided is true and correct and we bear the full responsibility to the law.*

Tài liệu đính kèm/Attached documents:

- Thông báo số 48./2025/TB-HĐQT ngày 05./12/2025 kèm các tài liệu để cổ đông thực hiện quyền đề cử, ứng cử nhân sự dự kiến bầu Thành viên Hội đồng quản trị, Thành viên Ban kiểm soát nhiệm kỳ 2026 – 2030/ Notice No. 48./2025/TB-HĐQT dated 05/12/2025 with documents for shareholders to exercise their right to nominate and run for candidates for election to the Board of Directors and Supervisory Board for the 2026-2030 term.

**NGƯỜI ĐẠI DIỆN THEO PHÁP LUẬT/
LEGAL REPRESENTATIVE
TỔNG GIÁM ĐỐC
CHIEF EXECUTIVE OFFICER**



TRẦN TUẤN ANH

NOTICE

Regarding nomination and self-nomination of candidates for election as Members of the Board of Directors and Members of the Supervisory Board for the 2026–2030 term

To: SHAREHOLDERS

- Pursuant to the Law on Enterprises No. 59/2020/QH14 passed by the XIV National Assembly of the Socialist Republic of Vietnam on 17 June 2020;
- Pursuant to the Law on Securities No. 54/2019/QH14 passed by the XIV National Assembly on 26 November 2019;
- Pursuant to the Law on Credit Institutions No. 32/2024/QH15 passed by the XV National Assembly at the 5th extraordinary session on 18 January 2024, and the Law amending and supplementing a number of articles of the Law on Credit Institutions No. 96/2025/QH15 passed by the XV National Assembly on 27 June 2025;
- Pursuant to Law No. 56/2024/QH15 amending and supplementing a number of articles of the Law on Securities, the Law on Accounting, the Law on Independent Audit, the Law on State Budget, the Law on Management and Use of Public Assets, the Law on Tax Administration, the Law on Personal Income Tax, the Law on National Reserve, and the Law on Handling of Administrative Violations dated 29 November 2024;
- Pursuant to Circular No. 20/2025/TT-NHNN dated 31 July 2025 of the Governor of the State Bank of Vietnam;
- Pursuant to the Charter of Vietnam Thuong Tin Commercial Joint Stock Bank (Vietbank);
- Pursuant to the Internal Corporate Governance Regulations of Vietbank issued together with Decision No. 91/2024/QĐ-HĐQT dated 27 June 2024,

I. PURPOSE AND ISSUES FOR SHAREHOLDERS' CONSULTATION

1. Purpose of consultation:

- Pursuant to Article 69 of the Law on Credit Institutions and Article 46 of the Vietbank Charter: the Board of Directors (BOD) of a credit institution operating as a joint-stock company must have at least 05 members and not more than 11 members; the number of members for each term shall be decided by the General Meeting of Shareholders; the BOD must have at least 02 independent members, and two-thirds of the total number of members must be independent members and members who are not executive personnel; the term of the BOD shall not exceed 05 years; the term of each BOD member follows the term of the BOD; members elected or appointed as replacements serve for the remaining duration of the term; the outgoing BOD continues to operate until the new BOD assumes office.



- Pursuant to Article 51 of the Law on Credit Institutions and Article 58 of the Vietbank Charter: the Supervisory Board must have at least 05 members; its term shall not exceed 05 years; the term of each member follows the term of the Supervisory Board; members elected or appointed as replacements serve for the remaining duration of the term; the outgoing Supervisory Board continues to operate until the new Supervisory Board assumes office.
- Pursuant to Article 44 of the Law on Credit Institutions and Circular No. 20/2025/TT-NHNN: the list of individuals expected to be elected or appointed as BOD members or Supervisory Board members must be approved in writing by the State Bank of Vietnam before the election or appointment; individuals elected or appointed must be included in the list approved by the State Bank of Vietnam.
- At the 2026 Annual General Meeting of Shareholders, Vietbank is expected to elect and appoint members of the BOD and Supervisory Board for the 2026–2030 term.

Accordingly, to prepare the expected list of candidates for the BOD and Supervisory Board for the 2026–2030 term in compliance with regulations, Vietbank hereby informs shareholders of their right to nominate and self-nominate candidates.

2. Issues requiring shareholders' input:

Shareholders (as per the shareholder list provided by the Vietnam Securities Depository and Clearing Corporation – VSDC on the record date) shall exercise their right to nominate and self-nominate candidates for the BOD and Supervisory Board for the 2026–2030 term, in accordance with the criteria, conditions, and nomination/self-nomination rights specified in Articles 8, 15, and 16 of the Internal Corporate Governance Regulations issued with Decision No. 91/2024/QĐ-HĐQT, the Vietbank Charter, applicable laws, and the attached templates for submission to the General Meeting of Shareholders after the list is approved by the State Bank of Vietnam, specifically:

- Number of members of the Board of Directors for 2026–2030: The BOD must have at least 05 and not more than 11 members. The BOD intends to submit to the General Meeting of Shareholders a proposed number of 07 members, including at least 02 independent members.
 - Number of Supervisory Board members for the 2026–2030 term: The Supervisory Board must have at least 05 members. The BOD intends to submit to the General Meeting of Shareholders a proposed number of 05 members.
3. Details of criteria and conditions for BOD and Supervisory Board candidates; nomination and self-nomination rights; required documents; and templates – attached.

These documents are also published on Vietbank's website at:
<https://www.vietbank.com.vn/nha-dau-tu/cong-bo-thong-tin>.

II. SUBMISSION DEADLINE AND METHOD

1. Submission period: **From 08 December 2025 to the end of 26 December 2025.**
2. Submission method: Direct submission or via postal mail in a sealed envelope.
3. Submission address:

Vietbank BOD Office, 11th Floor, Lim 2 Tower, 62A Cach Mang Thang 8 Street, Xuan Hoa Ward, Ho Chi Minh City, from 8:30 to 16:00 on business days (excluding holidays and Tet).

For clarification, please contact Ms. Le Thi Tuyet Chinh – Chief of the BOD Office/Secretary to the BOD, Tel: 08.62918100 (3300).

Shareholders are kindly requested to exercise their nomination and self-nomination rights in accordance with the regulations so that Vietbank may proceed with the required procedures.

Respectfully./.

Recipients:

- Shareholders;
- Archives: Office of the BOD.

**On behalf of the General Meeting of Shareholders
Chairman of the Board of Directors**



DUONG NHAT NGUYEN





TABLE OF CRITERIA AND CONDITIONS FOR MEMBERS OF THE BOARD OF DIRECTORS AND RIGHTS TO NOMINATE AND SELF-NOMINATE CANDIDATES FOR ELECTION

TO THE BOARD OF DIRECTORS OF VIETBANK FOR THE 2026–2030 TERM

*(Pursuant to the laws, the Charter of Vietbank and Article 8 of the Internal Corporate Governance
Regulations of Vietbank issued together with Decision No. 91/2024/QĐ-HDQT dated 27 June 2024)*



I. TERM AND NUMBER OF MEMBERS OF THE BOARD OF DIRECTORS, STRUCTURE OF THE BOARD OF DIRECTORS:

1. The Board of Directors has a term of 05 (five) years. Each member of the Board of Directors has a 05-year term and may be re-elected at subsequent General Meetings of Shareholders with no limitation on the number of terms. The Board of Directors of the preceding term shall continue to operate until a new Board of Directors is elected and assumes office. In case a member is elected to fill a vacancy or replace a dismissed or removed member during the term, the term of such member shall be the remaining period of the term of the Board of Directors.
2. The Board of Directors shall comprise at least 05 (five) members and no more than 11 (eleven) members; the number of members for each term shall be decided by the General Meeting of Shareholders. The Board of Directors must have at least 02 (two) independent members; two-thirds of the total number of members must be independent members and non-executive members of the Bank. An individual and his/her related persons, or representatives of the capital contribution of a corporate shareholder and their related persons, may participate in the Board of Directors but shall not exceed 02 (two) members of the Board of Directors of the Bank, except in the case of a representative of the State capital contribution.
3. Where the number of members of the Board of Directors falls below the minimum number prescribed in Clause 1, Article 69 of the Law on Credit Institutions, the Bank's Charter and these Regulations, the Bank must, within 90 (ninety) days from the date of failing to meet the minimum number, elect additional members to ensure compliance with the minimum requirement, except for cases provided under the Law on Credit Institutions.



II. CRITERIA AND CONDITIONS FOR MEMBERS OF THE BOARD OF DIRECTORS:

1. Criteria and conditions for a member of the Board of Directors:
 - i. Not falling under any of the prohibited categories, specifically:
 - A minor; a person with cognitive or behavioral difficulties; a person with limited or lost civil act capacity;
 - A person under criminal investigation, serving a prison sentence; undergoing compulsory rehabilitation, compulsory education; subject to a court order banning the holding of positions, practicing certain professions, or performing certain work;
 - A person convicted of serious crimes or more severe crimes;
 - A person convicted of property-related offences whose criminal record has not been expunged;

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- Cadres, civil servants, public employees, managers from department level or higher of enterprises in which the State holds 50% or more of charter capital, except for persons designated as representatives of State capital or capital of enterprises in which the State holds 50% or more at the Bank;
 - Officers, non-commissioned officers, professional servicemen, defense workers, and officials of agencies/units under the Vietnam People's Army; officers, non-commissioned officers, professional police officers, and workers of agencies/units under the Vietnam People's Public Security, except for those designated as representatives of State capital or capital of enterprises in which the State holds 50% or more at the Bank;
 - Persons prohibited from management or administration under laws on cadres, civil servants, public employees, and anti-corruption laws;
 - Persons who previously served as owners of private enterprises, general partners of partnerships, General Directors (Directors), members of the Board of Directors, controllers, members of the Supervisory Board of enterprises, or members of the Board of Directors and General Directors (Directors) of cooperatives at the time those enterprises or cooperatives were declared bankrupt, except where they were appointed to manage, operate, or supervise a credit institution declared bankrupt as required by duty;
 - Persons previously suspended from the positions of Chair, member of the Board of Directors; Chair, member of the Members' Council; Head or member of the Supervisory Board; General Director (Director) of a credit institution under Article 47 of the Law on Credit Institutions or identified by competent authorities as responsible for violations leading to the revocation of a credit institution's License;
 - Related persons of a member of the Board of Directors or the General Director of the Bank, except as provided in point b of this Clause;
 - Persons responsible under inspection conclusions for violations leading to administrative sanctions in the highest penalty bracket for violations in licensing, governance, administration, shareholding, share issuance, capital contribution, share purchase, credit granting, corporate bond purchase, prudential ratios under the laws on administrative sanctions in the monetary and banking sector.
- ii. Compliance with rules on prohibited concurrent positions:
- The Chair of the Board of Directors of the Bank shall not concurrently be:
 - ✓ An executive officer, member of the Supervisory Board of the Bank or any other credit institution;
 - ✓ Chair, member of the Board of Directors, Chair or member of the Members' Council, Company Chair, General Director (Director), Deputy General Director (Deputy Director) or equivalent positions in other enterprises.
 - A member of the Board of Directors who is not an independent member shall not concurrently be:
 - ✓ An executive officer of the Bank, except in the case of the General Director;
 - ✓ A manager or executive officer of another credit institution; a manager or executive officer of another enterprise, except in the case of managers/executives of subsidiaries of the Bank;

- ✓ A controller, member of the Supervisory Board of another credit institution or enterprise.
- An independent member of the Board of Directors shall not concurrently be:
- ✓ An executive officer of the Bank;
- ✓ A manager or executive officer of another credit institution; a manager of more than 02 (two) other enterprises;
- ✓ A controller or member of the Supervisory Board of another credit institution or enterprise.
- iii. Possessing professional ethics;
- iv. Holding a university degree or higher;
- v. Meeting one of the following conditions: having at least 03 years as a manager or executive officer of a credit institution; having at least 05 years as a manager of an enterprise operating in finance, accounting, auditing, or another enterprise with equity at least equal to the legal capital of the corresponding type of credit institution; having at least 05 years of direct work experience in business units of a credit institution or a foreign bank branch; having at least 05 years of direct work experience in finance, banking, accounting, or auditing units.

2. Criteria and conditions for an independent member of the Board of Directors:

Independent members must satisfy all of the following conditions:

- i. Meeting all conditions under Clause 1 of this Article;
- ii. Not currently working for the Bank or its subsidiaries, and not having worked for the Bank or its subsidiaries during the preceding 03 (three) consecutive years;
- iii. Not receiving regular salary or remuneration from the Bank other than allowances payable to members of the Board of Directors;
- iv. Having no spouse, natural parents, adoptive parents, natural children, adopted children, biological siblings who are major shareholders of the Bank, managers or members of the Supervisory Board of the Bank or its subsidiaries;
- v. Not representing the ownership of the Bank's shares; together with related persons, not directly or indirectly owning 01% or more of the Bank's charter capital or voting shares;
- vi. Not being a manager or member of the Supervisory Board of the Bank at any time during the preceding 05 (five) consecutive years;
- vi. Complying with Clause 3 Article 43 of the Law on Credit Institutions 2024 on prohibited concurrent positions: an independent member shall not concurrently hold any of the following positions:
 - ✓ Executive officer of that credit institution;
 - ✓ Manager or executive officer of another credit institution; manager of more than 02 (two) other enterprises;
 - ✓ Controller or member of the Supervisory Board of another credit institution or enterprise.



III. RIGHTS TO NOMINATE AND SELF-NOMINATE CANDIDATES FOR MEMBERS OF THE BOARD OF DIRECTORS:

1. Shareholders or groups of shareholders holding 05% (five percent) or more of ordinary shares shall have the right to self-nominate or form groups to nominate candidates to the Board of Directors as follows:

- Shareholders or groups holding from 05% to less than 20% of voting shares may nominate up to 01 (one) candidate;
- From 20% to less than 30%: up to 02 (two) candidates;
- From 30% to less than 40%: up to 03 (three) candidates;
- From 40% to less than 50%: up to 04 (four) candidates;
- From 50% to less than 60%: up to 05 (five) candidates;
- From 60% to less than 70%: up to 06 (six) candidates;
- From 70% to less than 80%: up to 07 (seven) candidates;
- From 80% to less than 90%: up to 08 (eight) candidates.

2. Where the number of candidates nominated by shareholders or groups of shareholders remains insufficient, additional nomination and self-nomination shall be conducted in accordance with the Vietbank Charter, Vietbank's Internal Corporate Governance Regulations, and applicable laws./.



**TABLE OF CRITERIA AND CONDITIONS FOR MEMBERS OF THE SUPERVISORY
BOARD AND RIGHTS TO NOMINATE AND SELF-NOMINATE CANDIDATES FOR
THE VIETBANK SUPERVISORY BOARD FOR THE 2026 – 2030 TERM**

*(Pursuant to applicable laws, the Charter of Vietbank, and Articles 15 and 16 of the Internal Corporate
Governance Regulations of Vietbank issued together with Decision No. 91/2024/QĐ-HĐQT dated 27 June 2024)*


**I. TERM, NUMBER OF MEMBERS, COMPOSITION AND STRUCTURE OF THE SUPERVISORY
BOARD:**

1. The term of the Supervisory Board shall not exceed five (05) years. The term of each member of the Supervisory Board corresponds to the term of the Supervisory Board. A member of the Supervisory Board may be re-elected or re-appointed for an unlimited number of terms. The term of a member elected or appointed to replace or supplement another member shall be the remaining term of the Supervisory Board. The Supervisory Board of the outgoing term shall continue to operate until the Supervisory Board of the new term assumes its duties.
2. The Supervisory Board shall have at least five (05) members. If the Supervisory Board has fewer members than the minimum required under this provision, the Bank must elect additional members within ninety (90) days from the date the number of members falls below the minimum requirement.
3. The Supervisory Board shall have an internal audit unit and supporting units to perform its functions.

II. CRITERIA AND CONDITIONS FOR MEMBERS OF THE SUPERVISORY BOARD:

1. Not falling under any of the prohibited categories, specifically:
 - i. A minor; a person with cognitive or behavioral difficulties; a person with limited or lost civil act capacity;
 - ii. A person under criminal investigation, serving a prison sentence; undergoing compulsory rehabilitation, compulsory education; subject to a court order banning the holding of positions, practicing certain professions, or performing certain work;
 - iii. A person convicted of serious crimes or more severe crimes;
 - iv. A person convicted of property-related offences whose criminal record has not been expunged;
 - v. Cadres, civil servants, public employees, managers from department level or higher of enterprises in which the State holds 50% or more of charter capital, except for persons designated as representatives of State capital or capital of enterprises in which the State holds 50% or more at the Bank;
 - vi. Officers, non-commissioned officers, professional servicemen, defense workers, and officials of agencies/units under the Vietnam People's Army; officers, non-commissioned officers, professional police officers, and workers of agencies/units under the Vietnam People's Public Security, except for those designated as representatives of State capital or capital of enterprises in which the State holds 50% or more at the Bank;
 - vii. Persons prohibited from management or administration under laws on cadres, civil servants, public employees, and anti-corruption laws;



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- viii. Persons who previously served as owners of private enterprises, general partners of partnerships, General Directors (Directors), members of the Board of Directors, controllers, members of the Supervisory Board of enterprises, or members of the Board of Directors and General Directors (Directors) of cooperatives at the time those enterprises or cooperatives were declared bankrupt, except where they were appointed to manage, operate, or supervise a credit institution declared bankrupt as required by duty;
 - ix. Persons previously suspended from the positions of Chair, member of the Board of Directors; Chair, member of the Members' Council; Head or member of the Supervisory Board; General Director (Director) of a credit institution under Article 47 of the Law on Credit Institutions or identified by competent authorities as responsible for violations leading to the revocation of a credit institution's License;
 - x. Related persons of a member of the Board of Directors or the General Director of the Bank, except as provided in point b of this Clause;
 - xi. Persons responsible under inspection conclusions for violations leading to administrative sanctions in the highest penalty bracket for violations in licensing, governance, administration, shareholding, share issuance, capital contribution, share purchase, credit granting, corporate bond purchase, prudential ratios under the laws on administrative sanctions in the monetary and banking sector.

2. Must comply with restrictions on concurrent positions, specifically:

Members of the Supervisory Board must not concurrently hold any of the following positions:

- i. Managers or executives of the Bank itself, other credit institutions, or other enterprises; employees of the Bank or its subsidiaries.
- ii. Employees of an enterprise in which a member of the Bank's Board of Directors is a Board member, an executive, or a major shareholder.

3. Possessing professional ethics;

4. Hold a university degree or higher in finance, banking, economics, business administration, law, accounting, or auditing; and have at least three (03) years of direct working experience in banking, finance, accounting, or auditing;

5. Members of the Supervisory Board are not required to be shareholders or employees of the Bank;

6. The Head of the Supervisory Board must reside in Vietnam during the term of office.

III. RIGHTS TO NOMINATE AND SELF-NOMINATE CANDIDATES FOR THE SUPERVISORY BOARD:

1. Nomination, self-nomination, and election of members of the Supervisory Board shall follow the same principles as prescribed in Clauses 2, 3 and 4, Article 8 of the Internal Corporate Governance Regulations of Vietbank issued under Decision No. 91/2024/QĐ-HĐQT dated 27 June 2024:

"Shareholders or groups of shareholders holding 05% (five percent) or more of ordinary shares shall have the right to self-nominate or form groups to nominate candidates to the Board of Directors as follows:

- *Shareholders or groups holding from 05% to less than 20% of voting shares may nominate up to 01 (one) candidate;*
- *From 20% to less than 30%: up to 02 (two) candidates;*
- *From 30% to less than 40%: up to 03 (three) candidates;*
- *From 40% to less than 50%: up to 04 (four) candidates;*
- *From 50% to less than 60%: up to 05 (five) candidates;*
- *From 60% to less than 70%: up to 06 (six) candidates;*
- *From 70% to less than 80%: up to 07 (seven) candidates;*
- *From 80% to less than 90%: up to 08 (eight) candidates.*

2. Where the number of candidates nominated by shareholders or groups of shareholders remains insufficient, additional nomination and self-nomination shall be conducted in accordance with the Vietbank Charter, Vietbank's Internal Corporate Governance Regulations, and applicable laws./



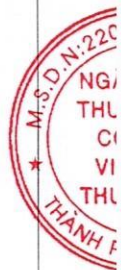


**PROPOSED PERSONNEL DOSSIER FOR NOMINATION AND ELECTION
TO THE BOARD OF DIRECTORS AND THE SUPERVISORY BOARD OF VIETBANK
IN ACCORDANCE WITH ARTICLE 7 OF CIRCULAR NO. 20/2025/TT-NHNN**




I. Application dossier for approval of the proposed list of personnel for the Board of Directors and the Supervisory Board of the credit institution

No.	PERSONNEL DOCUMENTS	QUANTITY / TYPE OF DOSSIER / PROVIDER
1	<p>1. Written request from the commercial bank to the State Bank of Vietnam seeking approval of the proposed personnel list, which must include at least the following:</p> <p>a) Reasons for the nomination or appointment of personnel;</p> <p>b) Proposed personnel list, specifying: full name, current title, current unit; proposed title to be elected or appointed at the commercial bank; name of the individual or organization nominating the personnel; percentage of shares or contributed capital that the personnel is proposed to represent under authorization (if any);</p> <p>c) Current and projected structure and list of members of the Board of Directors and the Supervisory Board after election or appointment (if applicable). For commercial banks or non-bank credit institutions established as joint-stock companies: specify the number of Board members, number of independent members, number of non-executive members, number of members who are related persons, number of Supervisory Board members;</p> <p>d) Assessment of the proposed personnel's eligibility and compliance with standards and conditions as prescribed under the Law on Credit Institutions, with specific assessment against each requirement;</p> <p>đ) Commitment to take legal responsibility for ensuring that the proposed personnel does not fall under any prohibited cases under the Law on Credit Institutions.</p>	<p>03 originals Prepared by the bank</p>
2	<p>2. Resolution approving the proposed personnel list issued by the commercial bank (for Board of Directors and Supervisory Board, must specify the term):</p> <p>a) For commercial banks and non-bank credit institutions established as joint-stock companies: Resolution of the Board of Directors;</p>	<p>03 originals Prepared by the bank</p>
3	<p>3. Curriculum vitae of the proposed personnel as per Appendix I attached to Circular No. 20.</p>	<p>03 originals Provided by the proposed personnel</p>
4	<p>4. Criminal record certificate (Judicial Record):</p> <p>a) For proposed personnel holding Vietnamese nationality: Judicial Record Certificate issued by the authority managing the criminal record database,</p>	<p>03 originals of Judicial Record Certificate No. 02</p>



No.	PERSONNEL DOCUMENTS	QUANTITY / TYPE OF DOSSIER / PROVIDER
	<p>containing full information on criminal status (including expunged and unexpunged convictions) and information on prohibitions from holding positions, establishing or managing enterprises or cooperatives;</p> <p>b) For proposed personnel without Vietnamese nationality: Judicial Record Certificate or equivalent document (containing full information on criminal status and prohibitions from holding positions, establishing or managing enterprises or cooperatives) issued by a competent authority of Vietnam or of a foreign country. If such foreign-issued document does not contain information on prohibitions from holding positions, establishing or managing enterprises or cooperatives, the nominating shareholder, group of shareholders, Board of Directors, or Supervisory Board (for joint-stock credit institutions) must provide a written explanation confirming that the foreign authority does not provide such information, and commit to legal responsibility for ensuring that the proposed personnel does not fall under prohibited cases under the Law on Credit Institutions;</p> <p>c) Judicial Record Certificate or equivalent document under points a and b above must be issued within 06 months prior to the date the commercial bank submits the dossier for personnel approval.</p> <p>Proposed personnel may submit the electronic Judicial Record Certificate issued via the VNeID digital identity platform or in electronic form as provided by law.</p>	<p>Provided by the proposed personnel, valid within 06 months prior to Vietbank's submission</p>
5	<p>5. Statement of related persons as prescribed under the Law on Credit Institutions for the proposed personnel, using Appendix II attached to Circular No. 20.</p>	<p>03 originals Provided by the proposed personnel</p>
6	<p>6. Certified copies of diplomas and certificates evidencing professional qualifications of the proposed personnel. Diplomas issued by foreign educational institutions to Vietnamese nationals must be recognized by the Ministry of Education and Training in accordance with relevant law.</p>	<p>03 originals Provided by the proposed personnel</p>
7	<p>7. Documents evidencing compliance with standards and conditions for proposed members of the Board of Directors under point d, clause 1, Article 41 of the Law on Credit Institutions, specifically:</p> <p>a) For the condition "at least 03 years as a manager or executive of a credit institution": A document issued by the authorized representative of the credit institution where the proposed personnel has worked, confirming the position and period of service (including confirmation that the personnel was a manager or executive), or certified copies evidencing such position and service period;</p> <p>b) For the condition "at least 05 years as a manager of an enterprise operating in finance, accounting, auditing or another enterprise with equity equal to or greater than the legal capital required for the corresponding type of credit institution":</p>	<p>Certified true copies Provided by the proposed personnel</p>

No.	PERSONNEL DOCUMENTS	QUANTITY / TYPE OF DOSSIER / PROVIDER
	<p>(i) The enterprise's Charter provisions on managerial positions, or internal regulations if the Charter does not specify;</p> <p>(ii) For enterprises with equity equal to or greater than required legal capital: audited financial statements issued by an independent auditor, or financial statements submitted to tax authorities if not subject to mandatory audit;</p> <p>(iii) A document issued by the authorized representative of the enterprise confirming managerial position and period served, or certified copies evidencing such;</p> <p>c) For the condition "at least 05 years working directly in finance, banking, accounting, auditing": Confirmation issued by the authorized representative of the entity where the proposed personnel has worked regarding direct work in these fields and the period served, or certified copies evidencing such;</p> <p>d) For the condition "at least 05 years working directly in the operations of a credit institution or foreign bank branch": Confirmation issued by the authorized representative of the credit institution or foreign bank branch where the personnel worked regarding operational duties and period served, or certified copies evidencing such.</p>	
8	8. Documents evidencing compliance with the condition "at least 03 years of direct work in finance, banking, accounting, auditing" for proposed members of the Supervisory Board under point c, clause 3, Article 41 of the Law on Credit Institutions: Confirmation issued by the authorized representative of the entity where the personnel has worked, or certified copies evidencing field of work and period served.	<p>03 originals</p> <p>Provided by the proposed personnel</p>
9	9. For proposed personnel falling under exceptional cases under point c clause 1 and points d, e clause 2 Article 42 of the Law on Credit Institutions: Documents issued by competent authorities appointing the personnel as representative of State capital, of enterprises with 50% or more State ownership, or appointing/assigning the personnel to participate in management, administration, supervision of the credit institution or a credit institution declared bankrupt as required.	
10	<p>10. Documents evidencing compliance with "professional ethics":</p> <p>a) Assessment and commitment of the proposed personnel regarding compliance with "professional ethics" under Article 6 using Appendix I attached to Circular 20;</p> <p>b) Assessment issued by the credit institution where the proposed personnel formerly worked, using Appendix IV attached to Circular 20, except where such institution has been dissolved, bankrupted, merged, or acquired.</p>	<p>03 originals</p> <p>Provided by the proposed personnel</p>

II. Principles for preparing and submitting the dossier (per Article 3 of Circular No. 20)

1. The application dossier requesting approval of the proposed personnel list of the bank or non-bank credit institution must be prepared according to the following principles:

- a) The dossier must be prepared in Vietnamese. In cases where documents are issued, notarized, or certified by foreign competent authorities or organizations, such documents must be legalized in accordance with Vietnamese law (except where consular legalization is exempted under the law on consular legalization) and translated into Vietnamese;
- b) Translations from foreign languages into Vietnamese must have the translator's signature certified in accordance with the law;
- c) Copies of dossiers and documents of the proposed personnel for election or appointment must be extracts from the master register or certified true copies of the originals in accordance with the law;
- d) Documents prepared directly by the proposed personnel for election or appointment must have the signature certified in accordance with the law.

2. The written request submitted by the bank or non-bank credit institution to the State Bank of Vietnam (hereinafter referred to as the State Bank) for approval of the proposed personnel list:

- a) For commercial banks and non-bank credit institutions: The document must be signed by the legal representative.

In cases where the commercial bank or non-bank credit institution lacks a legal representative, the written request must be issued by the Board of Directors or the Members' Council and signed by the Chairperson of the Board of Directors or the Chairperson of the Members' Council.

In cases where the legal representative authorizes another person to sign, or the Chairperson of the Board of Directors or Chairperson of the Members' Council authorizes another Board member or Council member to sign, or where the competent representative of the owner authorizes another person to sign, such authorization must comply with the law, and the dossier must include a power of attorney prepared in accordance with the law.

2. In case the dossier is submitted online via the National Public Service Portal, the electronic dossier must use digital signatures in accordance with regulations on administrative procedures conducted in the electronic environment.

3. Documents included in the electronic dossier must be electronic copies scanned from originals or certified true copies (in PDF format).

4. The bank, non-bank credit institution, and the proposed personnel for election or appointment shall take legal responsibility for the completeness, accuracy, and truthfulness of the dossier./.

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....., date..... month..... year 202...

MINUTES OF THE MEETING OF THE SHAREHOLDER GROUP

*Re: Nomination and self-nomination of candidates for the Board of Directors and the Supervisory Board
for the 2026–2030 term*

- Pursuant to the prevailing laws and regulations;
- Pursuant to the Charter of Vietnam Thuong Tin Commercial Joint Stock Bank (Vietbank);
- Pursuant to the eligibility criteria, conditions, and nomination rights stipulated in Articles 8, 15 and 16 of the Internal Corporate Governance Regulations of Vietbank;

Today, date month year, at, we, the shareholders of Vietnam Thuong Tin Commercial Joint Stock Bank (Vietbank), jointly holding shares (in words: shares), representing % of the total voting shares of Vietbank, whose names appear in the following list:



No.	Shareholder name	Securities trading account	ID/CCCD/Passport/Business Registration No.	Date of issuance	Place of issuance	Registered office/Contact address	Nationality	Number of shares held	Shareholding ratio (%)	Time of share registration	Signature seal
1.											
2.											
3.											
4.											
5.											
6.											

We have met and unanimously agreed on the following matters:

1. Nomination of candidates for the Board of Directors of Vietbank for the 2026–2030 term:

No.	Full name of nominated candidate	Passport/CCCD/ID	Address	Proposed position at Vietbank	Number of shares held	Shareholding ratio (%)	Full name and signature
1.				Member of the Board of Directors			
2.							

2. Nomination of candidates for the Supervisory Board of Vietbank for the 2026–2030 term:

STT	Full name of nominated candidate	Passport/CCCD/ID	Address	Proposed position at Vietbank	Number of shares held	Shareholding ratio (%)	Full name and signature
1.				Member of the Supervisory Board			
2.							

3. Appointment of the following individual to represent our shareholder group in directly contacting and working with Vietbank and carrying out procedures relating to the exercise of shareholder rights:

Mr./Ms.:

/CCCD/Passport No.:, issued on: at:

Permanent address:

Current residence:

SHAREHOLDER GROUP

(Signatures and full names of the shareholders)



ACCEPTANCE BY THE NOMINEE APPOINTED AS THE REPRESENTATIVE OF THE SHAREHOLDER GROUP

SIGNATURE

(Print full name)

Note: *For institutional shareholders: the legal representative must sign and affix the seal; in case of an authorized representative signing, a valid authorization document issued by the organization must be attached in accordance with applicable regulations.*



Appendix I

(Issued together with Circular No. 20/2025/TT-NHNN dated 31 July 2025 of the Governor of the State Bank of Vietnam)



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Color photo
(4 x 6)

CURRICULUM VITAE (1)

1. Personal information

- Full name at birth.
- Commonly used name.
- Alias.
- Date of birth.
- Citizen Identification Number or Personal Identification Number and current place of residence (if different from permanent or temporary residence) for individuals holding Vietnamese nationality; or Personal Identification Number for persons of Vietnamese origin residing in Vietnam who are eligible for issuance of an Identification Certificate in accordance with the Law on Identification.
- Passport number or passport-equivalent document, date and place of issuance, nationality/nationalities (if any), current place of residence applicable to individuals without Vietnamese nationality.
- Name and address of the legal entity represented and percentage of capital represented (for persons acting as the authorized representative of an institutional shareholder or capital-contributing member, or the representative of State capital at a credit institution).

2. Educational background

- General education.
- Academic titles, degrees (state clearly the name and address of the institution; field of study; study period; degrees obtained – list all degrees).



No.	Name of institution	Address of institution	Field of study	Study period	Degree
1					
2					
3	...				

3. Employment history

- Occupations, employers, and positions held from the age of 18 to present ⁽²⁾

No.	Period (from month/year to month/year) ⁽³⁾	Employer	Position ⁽⁴⁾	Business sector and assigned responsibilities	Notes ⁽⁵⁾
	...				

- Commendations, disciplinary actions, and liabilities pursuant to inspection or examination conclusions leading to administrative sanctions imposed on the credit institution or foreign bank branch ⁽⁶⁾.

4. Civil act capacity ⁽⁷⁾

5. Information on prohibitions from holding positions, establishing, or managing enterprises or cooperatives (applicable where the criminal record certificate or equivalent document issued by a competent foreign authority does not include this information).

6. Legal commitments

I hereby commit that:

- I satisfy all standards and conditions to hold the position of Member of the Board of Directors / Independent Member of the Board of Directors / Member of the Supervisory Board at Vietnam Thuong Tin Commercial Joint Stock Bank (Vietbank) in accordance with the Law on Credit Institutions and relevant guiding regulations. I also commit to complying with the professional ethics requirements prescribed in Article 6 of Circular No. 20/2025/TT-NHNN dated 31 July 2025 of the Governor of the State Bank of Vietnam regarding application dossier and procedures for approval of proposed personnel during my employment at any credit institution or foreign bank branch (if applicable), and to comply with the rules and ethical standards of any foreign credit institution at which I have worked (if applicable).
- I have not violated regulations of law in the monetary and banking sector.
- I will notify Vietbank of any changes related to the contents declared herein that arise during the period in which the State Bank of Vietnam is reviewing Vietbank's personnel submission.
- The personal information I provide to Vietbank for submission to the State Bank of Vietnam for consideration and approval of my proposed appointment is truthful. I take full legal responsibility for the completeness, honesty, and accuracy of the information declared above./.

..., date ... month ... year ...

Declarant

(Signature, full name) ⁽⁸⁾

Notes:

1. The declarant must provide all required information and is legally responsible before the law and before the commercial bank, non-bank credit institution, or foreign bank branch for the completeness, accuracy, and truthfulness of the dossier; if not applicable, clearly state "none".
2. The declarant must provide full details of all employment positions and roles held.
3. The timeline must be continuous.
4. State clearly if the proposed personnel is a manager or executive according to relevant laws, the Charter, or internal regulations of the credit institution or enterprise, and specify the taxpayer identification number / enterprise code of the entity where the person holds managerial roles.
5. Notes apply where the employer falls into the following categories:
 - a) Subsidiary of a credit institution;
 - b) Enterprise with 50% or more State ownership;
 - c) Entities specified at point e, clause 2, Article 42 of the Law on Credit Institutions;
 - d) Entities specified at point c, clause 1, Article 42 of the Law on Credit Institutions (specify name of entity, time declared bankrupt, position and period the personnel held such position).
6. Specify clearly if the personnel falls under cases stated in points d and g, clause 1, Article 42 of the Law on Credit Institutions.
7. Specify clearly: full / limited / lost civil act capacity.
8. Signature must be notarized in accordance with applicable law.



Certification of the declarant's signature

(Issued together with Circular No. 20/2025/TT-NHNN dated 31 July 2025 of the Governor of the State Bank of Vietnam)



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To: The State Bank of Vietnam

NO.	Declarant and "related persons" of the declarant	Relationship with declarant (1)	ID Card/Personal Identification Number (for individuals with Vietnamese nationality) or Personal Identification Number (for persons of Vietnamese origin residing in Vietnam eligible for ID issuance under the Law on Identification), or Passport Number/Equivalent Document and Date of Issue (for individuals without Vietnamese nationality), or Business Registration Certificate/Tax Code/Equivalent Certificate (for organizations, fill in enterprise code information)	Position at the credit institution	Position at the subsidiary of the credit institution	Percentage of shareholding or contributed capital represented at the credit institution (%)	...
1	Nguyen Van A	Declarant					

[Signature]

NO.	Declarant and "related persons" of the declarant	Relationship with declarant (1)	ID Card/Personal Identification Number (for individuals with Vietnamese nationality) or Personal Identification Number (for persons of Vietnamese origin residing in Vietnam eligible for ID issuance under the Law on Identification), or Passport Number/Equivalent Document and Date of Issue (for individuals without Vietnamese nationality), or Business Registration Certificate/Tax Code/Equivalent Certificate (for organizations, fill in enterprise code information)	Position at the credit institution	Position at the subsidiary of the credit institution	Percentage of shareholding or contributed capital represented at the credit institution (%)	...
2	Nguyen Thi B						
	...						

I hereby certify that the information provided above is true and accurate. I take full legal responsibility for the completeness, honesty, and accuracy of the declared information./.

..., date ... month ... year ...

Declarant

(Signature, full name) ⁽²⁾

Notes:

1. Based on the actual relationship of the related person listed in column (2), specify the relationship according to Clause 24, Article 4 and Clause 3, Article 69 of the Law on Credit Institutions. The declarant must provide complete and accurate information as required and shall bear legal responsibility before the law and the concerned credit institution for the completeness, accuracy, and honesty of the declaration. If no related person exists, clearly state "none"; if the related person has deceased, clearly state "deceased."

2. The signature must be duly certified in accordance with applicable law.

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Extract – Clause 24, Article 4 and Clause 3, Article 69 of the Law on Credit Institutions:

24. A "related person" means an organization or individual having a direct or indirect relationship with another organization or individual in any of the following cases:

a) Parent company and subsidiary, and vice versa; parent company and a subsidiary of its subsidiary, and vice versa; a credit institution and its subsidiary, and vice versa; subsidiaries under the same parent company or the same credit institution; persons in managerial or supervisory positions or controllers of a parent company or a credit institution, or organizations or individuals having the authority to appoint such persons, with its subsidiaries, and vice versa;

b) A company or credit institution with its managers, controllers, or members of the Supervisory Board, or with organizations or individuals having the authority to appoint such persons, and vice versa;

c) A company or credit institution with an organization or individual holding 5% or more of its charter capital or voting shares, and vice versa;

d) An individual with his/her spouse, parents (natural or adoptive), stepparents, parents-in-law, children (natural, adopted, or stepchildren), sons-in-law, daughters-in-law, siblings (full or half-blood), brothers-or sisters-in-law, grandparents, grandchildren, uncles, aunts, and nieces/nephews;

đ) A company or credit institution with individuals having relationships defined in point d of this clause with its managers, controllers, members of the Supervisory Board, or shareholders holding 5% or more of its charter capital or voting shares, and vice versa;

e) Individuals authorized to represent the contributed capital of organizations or individuals mentioned in points a, b, c, d, and đ of this clause with their authorizers, or individuals authorized to represent the same organization's contributed capital with one another;

g) Legal entities or individuals having potential risk relationships with the operations of a credit institution or foreign bank branch, as determined under the internal regulations of the credit institution or at the request of the State Bank through inspection and supervision;

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h) For People's Credit Funds, related persons of a customer include cases specified in points b, c, d, and g of this clause and customers with their spouse, parents, children, or siblings.

25. An individual and his/her related persons, or representatives of the capital contribution of an organizational shareholder and their related persons, may serve as members of the Board of Directors of a credit institution that is a joint-stock company but shall not exceed two (02) members, except where they represent state-owned capital or the compulsory transferee./.

Certification of the declarant's signature



Appendix IV

(Issued together with Circular No. 20/2025/TT-NHNN dated 31 July 2025 of the Governor of the State Bank of Vietnam)



NAME OF CREDIT INSTITUTION /
FOREIGN BANK BRANCH

No.:

SOCIALIST REPUBLIC OF VIETNAM
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....., date ... month ... year ...

ASSESSMENT REPORT ON COMPLIANCE WITH PROFESSIONAL ETHICS STANDARDS FOR PERSONNEL UNDER THE MANAGEMENT OF THE CREDIT INSTITUTION / FOREIGN BANK BRANCH

- Pursuant to the Law on Credit Institutions No. 32/2024/QH15;
- Pursuant to Circular No. 20/2025/TT-NHNN dated 31 July 2025 of the Governor of the State Bank of Vietnam providing guidance on the application dossier and procedures for approval of the proposed personnel list of commercial banks, foreign bank branches, and non-bank credit institutions;
- Pursuant to Circular No. 13/2018/TT-NHNN dated 18 May 2018 of the Governor of the State Bank of Vietnam on the internal control system of commercial banks and foreign bank branches, and its amending and supplementing documents;

(The name of the credit institution / foreign bank branch) hereby provides information on the compliance with professional ethics standards during the course of employment at the credit institution / foreign bank branch of Mr./Ms. as follows:

I. Information on the personnel:

1. Full name of the personnel.

Date of birth.

2. Employment period: from month/year to month/year.

3. Job positions and assigned responsibilities: specify the period from month/year to month/year
(State clearly if serving as a manager or executive).

No.	Employment period (from month/year to month/year)	Job position	Assigned responsibilities
01			
02			



II. Information on compliance with professional ethics standards:

1. Detailed information as prescribed in Clause 1 Article 6 of Circular No. 20/2025/TT-NHNN dated 31 July 2025 of the Governor of the State Bank of Vietnam on application dossier and procedures for approval of the proposed personnel list of commercial banks, foreign bank branches and non-bank credit institutions:

No.	Requirements under Clause 1 Article 6 of Circular No. 20/2025/TT-NHNN	Assessment of the employment period at ... (insert name of the credit institution / foreign bank branch)
01	The person is responsible under inspection or examination conclusions leading to the credit institution / foreign bank branch being subject to administrative sanctions in the monetary and banking sector at the highest penalty level for violations relating to licensing, governance, management, shares, shareholding, capital contribution, share purchase, credit extension, corporate bond purchase, prudential ratios in accordance with the law on administrative sanctions in monetary and banking activities:	
02	The person is responsible under inspection or examination conclusions leading to the credit institution / foreign bank branch being subject to administrative sanctions in the monetary and banking sector within 06 months from the date of completion of the administrative sanction decision:	
03	The person who has been subjected to administrative sanctions for violations in the monetary and banking sector within 01 year from the date of completion of the administrative sanction decision:	
04	The person is personally responsible for violations relating to licensing, governance, management, shares, shareholding, capital contribution, share purchase, credit extension, corporate bond purchase, prudential ratios, asset classification, off-balance sheet commitments, provisioning and use of provisions for risk handling as defined in the inspection, examination, audit conclusions of competent State authorities, where the remedial actions have not yet	

	been confirmed by the competent State authority as fully implemented:	
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2. The personnel meets/does not meet professional ethics standards.

If the personnel does not meet the standards, provide detailed description of the conduct, time, and corrective actions taken.

III. The credit institution / foreign bank branch undertakes to take full legal responsibility for the accuracy and truthfulness of the information provided in this document./.

Legal Representative of the Credit Institution / Foreign Bank

Branch

(Signature, full name, and seal)

Note:

This document may be reused when preparing application dossiers for the State Bank of Vietnam's approval of proposed personnel lists of other commercial banks, non-bank credit institutions, or foreign bank branches.

