

Quang Ninh, January, 13, 2026

No: 311 /BC-VNBC

**REPORT
ON CORPORATE GOVERNANCE
Year 2025**

To: - State Securities Commission;
- Hanoi Stock Exchange;
- Shareholders of the Company.

- Company Name: **Nui Beo Coal Joint Stock Company - Vinacomin.**
- Headquarters Address: **799 Le Thanh Tong Street, Ha Long City, Quang Ninh Province.**
- Phone: **0203.3825.220; Fax: 0203.3625270;**
- Email: **Giaodich@nuibeo.com**
- Charter Capital: **VND 369,991,240,000; In words: (Three hundred sixty-nine billion, nine hundred ninety-one million, two hundred forty thousand dong).**
- Stock Code: **NBC**
- Corporate Governance Model: General Meeting of Shareholders, Board of Directors, Supervisory Board, Director.
- Internal Audit Function: In accordance with legal provisions, the company has appointed one officer responsible for internal audit.

I. Activities of the General Meeting of shareholders

No.	Resolution/Decision No.	Date	Content
01	3586/NQ-VNBC	25/04/2025	Resolution of the 2025 Annual General Meeting of Shareholders

II. Board of Directors

1. Information on Board members:

No.	Name	Position	Appointment date	Termination date
1	Tran Tuan Anh	Chairman of the Board (Non-Executive Member)	01/01/2023	
2	Doan Dac Tho	Member of the Board, Company Director	05/10/2023	
3	Tran Quoc Tuan	Member of the Board	25/12/2017	25/4/2025
4	Nguyen Tuan Dung	Member of the Board (Non-Executive Member)	30/06/2022	
5	Dang Van Ngong	Member of the Board (Independent member)	28/04/2021	

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No.	Name	Position	Appointment date	Termination date
6	Pham Ba Tuoc	Member of the Board	25/4/2025	

2. Board of Directors' meetings:

No.	Name	Number of Meetings Attended	Attendance Rate	Reason for Absence
1	Tran Tuan Anh	31/31	100%	
2	Doan Dac Tho	31/31	100%	
3	Tran Quoc Tuan	8/8	100%	
4	Nguyen Tuan Dung	31/31	100%	
5	Dang Van Ngong	31/31	100%	
6	Pham Ba Tuoc	23/23	100%	

3. Board of Directors' Supervision of the Company Director:

- The Company Director has complied with the law and the Company's Charter in performing their duties: successfully implementing the resolutions of the Board of Directors and General Meeting of Shareholders; fulfilling all contractual obligations for coal mining and processing services with TKV; ensuring the Company's financial stability, preserving, and growing business capital.
- All decisions issued by the Director were lawful and complied with the delegation of authority in the Company's Charter.

4. Activities of the Board Committees: None

5. Resolutions issued by the Board of Directors:

No.	No., Date	Contents of the resolution	Voting percentage
1	Resolution No. 01/NQ-VNBC dated 20/01/2025	Report submitted to TKV for approval of the Investment Project on Construction of Collective Housing for Underground Workers.	100%
2	Resolution No. 02/NQ-VNBC dated 27/02/2025	Report submitted to TKV for approval of: (i) The 2025 production support equipment investment project; (ii) The investment project on the – 480 level pumping station.	100%
3	Resolution No. 03/NQ-VNBC dated 14/03/2025	1. Finalization of the Company's 2024 wage expenses. 2. Report requesting TKV's opinions on the matters submitted and voted on at the 2025 annual general meeting of shareholders.	100%

No.	No., Date	Contents of the resolution	Voting percentage
		3. Implementation of TKV's executive and management documents related to the company's production and business activities, including: (i) Official Dispatch No. 1112/TKV-DT dated 03/03/2025 on the implementation of the Investment project on collective housing for underground workers; (ii) Directive No. 93/CT-TKV dated 05/03/2025 on strengthening management and improving the efficiency of utilization and handling of real estate in state-owned enterprises; (iii) Official Dispatch No. 1156/TKV-KH dated 05/03/2025 on notifying the indicative targets of the 2026–2030 five-year plan.	
4	Resolution No. 04/NQ-VNBC dated 15/03/2025	Supplementing the plan for outsourcing certain key processes to support coal production in 2025.	100%
5	Resolution No. 05/NQ-VNBC dated 25/03/2025	Documents submitted to the Company's 2025 annual general meeting of shareholders.	100%
6	Resolution No. 06/NQ-VNBC dated 02/04/2025	<p>1. Monitoring the business performance results for Quarter I and issuing the business plan for quarter II of 2025.</p> <p>2. Report submitted to TKV for approval of:</p> <p>(i) the Investment Project on Construction of Collective Housing for Underground Workers;</p> <p>(ii) the Investment Project on the ground diesel locomotive system;</p> <p>(iii) the Investment project serving the maintenance of the vertical shaft hoisting system.</p>	100%
7	Resolution No. 07/NQ-VNBC dated 08/04/2025	Implementation of Official Dispatch No. 1589/TKV-KH dated 25/03/2025 from TKV regarding the directive to carry out Directive No. 93/CT-TKV dated 05/03/2025 and Directive No. 110/CT-TKV dated 14/03/2025 issued by the TKV Members' Council."	100%
8	Resolution No. 08/NQ-VNBC dated 21/04/2025	1. Approval of the implementation of Official Dispatch No. 2040/TKV-TCNS dated 11/04/2025 from TKV and agreement on the proposed plan for the procedure of considering the appointment of the	100%

No.	No., Date	Contents of the resolution	Voting percentage
		Company's Deputy Director.	
		2. Introduction of personnel for the annual general meeting of shareholders to elect additional members of the company's Board of Directors.	
9	Resolution No. 09/NQ-VNBC dated 25/04/2025	1. Assignment of duties within the company's Board of Directors. 2. Issuance of the regulation on Financial Supervision and performance evaluation of the company. 3. Finalization of the 2024 bonus and welfare Fund. 4. Expenditure plan for the 2025 bonus and welfare fund.	100%
10	Resolution No. 10/NQ-VNBC dated 06/05/2025	Approval of the investment project for the Construction of collective housing for underground workers.	100%
11	Resolution No. 11/NQ-VNBC dated 20/05/2025	1. Record date for exercising the right to receive 2024 cash dividends. 2. Amendment and reissuance of the regulation on occupational safety and hygiene management. 3. Approval of the – 480 level pumping station investment project; the 2025 production support equipment investment project; and adjustment of the 2025 investment and construction plan.	100%
12	Resolution No. 12/NQ-VNBC dated 26/05/2025	1. Report submitted to TKV for approval of the 2025 production support construction Investment project. 2. Implementation of TKV documents related to the company's production and business management, including: (i) Official Dispatch No. 2972/TKV-KH dated 22/05/2025 on organizing the evaluation of the implementation of the Business Cost management regulation across TKV group companies; (ii) Official Dispatch No. 3005/TKV-KH dated 23/05/2025 on studying, reviewing, and proposing amendments and supplements to the procedures and forms for annual business plan development and reporting, issued together with Decision No. 1036/QĐ-TKV dated 29/06/2022 by TKV Group companies.	100%



No.	No., Date	Contents of the resolution	Voting percentage
13	Resolution No. 13/NQ-VNBC dated 02/6/2025	appointment of the company's Deputy Director	100%
14	Resolution No. 14/NQ-VNBC dated 10/6/2025	<p>1. Report submitted to TKV for approval of the investment project on maintenance and capacity enhancement of transportation equipment.</p> <p>2. Termination of effect of Board of Directors' Decision No. 3948/QĐ-VNBC dated 22/05/2024 on the issuance of the Regulation on Inspection and Supervision of Compliance with Laws and TKV Decisions at the Company.</p>	100%
15	Resolution No. 15/NQ-VNBC dated 20/6/2025	Plan for exploiting the coal reserves in the inclined ventilation shaft protection pillar (+20/-120) of Zone 2 using the full-face blasting method at Nui Beo Underground Coal Mine.	100%
16	Resolution No. 16/NQ-VNBC dated 26/6/2025	<p>1. Merger of Transport Workshop No. 3 into the Coal Processing Site.</p> <p>2. Assignment of personnel for overseas business trips.</p> <p>3. Implementation of Official Dispatch No. 3565/TKV-KSNB dated 20/06/2025 from TKV regarding the continuation of 2024 inspection and supervision recommendations and strengthening internal management and governance.</p>	100%
17	Resolution No. 17/NQ-VNBC dated 07/7/2025	<p>1. Monitoring the business performance results for quarter II and the first half of 2025, and issuing the business plan for quarter III 2025.</p> <p>2. Amendment and reissuance of the environmental protection regulation.</p> <p>3. Amendment and reissuance of the regulation on contracting and cost management.</p> <p>4. Report on the implementation of the leadership coordination regulation for the first six months of 2025.</p> <p>5. Company governance report for the first half of 2025.</p>	100%
18	Resolution No. 18/NQ-VNBC dated 16/7/2025	<p>1. Amendment and supplementation to update the company's head office location.</p> <p>2. Plan for the average short-term credit limit and certain financial indicators of the</p>	100%

No.	No., Date	Contents of the resolution	Voting percentage
		company for 2025.	
19	Resolution No. 19/NQ-VNBC dated 04/8/2025	<p>1. Amendment and reissuance of the regulation on the organization and operation of the legal department in Nui Beo Coal Joint Stock Company – Vinacomin.</p> <p>2. Report submitted to TKV for the Group's approval of the Investment Project serving the maintenance of the vertical shaft hoisting system.</p>	100%
20	Resolution No. 20/NQ-VNBC dated 13/8/2025	Company management personnel going on overseas study tours and business trips.	100%
21	Resolution No. 21/NQ-VNBC dated 28/08/2025	Implementation of TKV documents related to the Company's production and business management, including: (i) Official Dispatch No. 4707/TKV-KH dated 18/08/2025 on processing and recovering clean coal from overburden mixed with coal in 2025; (ii) Official Dispatch No. 4784/TKV-KCM dated 21/08/2025 on the approval of the Technical Plan serving 2026 production – Nui Beo Coal Joint Stock Company – Vinacomin; (iii) Official Dispatch No. 4822/TKV-KCM dated 25/08/2025 on the approval of the plan for preventing spontaneous coal combustion in seams 7, 10, and 11 according to the 2026 plan.	100%
22	Resolution No. 22/NQ-VNBC dated 05/9/2025	<p>1. Approval of the following Investment Projects: (i) Investment Project on the Ground Diesel Locomotive System; (ii) Investment Project on Maintenance and Capacity Enhancement of Transportation Equipment; (iii) Investment Project on the Water Burst Prevention Monitoring System; (iv) Investment project on production support construction for 2025.</p> <p>2. Adjustment and reallocation of the 2025 investment plan.</p>	100%
23	Resolution No. 23/NQ-VNBC dated 15/9/2025	Report submitted to the Group for adjustment and supplementation of the 2025 investment plan and approval of the investment project.	100%



No.	No., Date	Contents of the resolution	Voting percentage
24	Resolution No. 24/NQ-VNBC dated 22/9/2025	1. Personnel attending the Quang Ninh Provincial Party Congress.	100%
		2. Implementation of Official Dispatch No. 5345/TKV-KCM dated 19/09/2025 from TKV regarding the technical plan serving 2026 production – Nui Beo Coal Joint Stock Company – Vinacomin.	
25	Resolution No. 25/NQ-VNBC dated 01/10/2025	1. Monitoring the business performance results for quarter III and the first nine months of 2025, and issuing the business plan for quarter IV 2025.	100%
		2. Approval of the plan for outsourcing certain key processes to support coal production in 2026.	
		3. Assignment of the Company's Director to participate in TKV's delegation for visiting and surveying mechanization technology in mining and tunneling in China.	
		4. Implementation of Official Dispatch No. 5449/TKV-KH dated 25/09/2025 from TKV regarding the report on the expected implementation of the 2025 business plan.	
26	Resolution No. 26/NQ-VNBC dated 27/10/2025	1. Authorization for the Director to decide on loan agreements, capital mobilization, pledges, guarantees, letters of credit, and indemnities for loans with a value less than 35% of the total assets recorded in the Company's most recent financial statements.	100%
		2. Maximum foreign ownership percentage (FO) in the Company.	
		3. Report to TKV on: (i) Requesting approval for the local adjustment of the 1/500 detailed plan for the coal yard layout and auxiliary works serving the Nui Beo underground coal mining project in Ha Tu ward, Quang Ninh province; (ii) Results of reviewing, updating, and adjusting the Company's 2026 investment plan.	
27	Resolution No. 27/NQ-VNBC dated 17/11/2025	1. Adjustment and reallocation of the 2025 investment plan.	100%
		2. Report to TKV on the adjustment of the 2025 investment plan.	

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No.	No., Date	Contents of the resolution	Voting percentage
28	Resolution No. 28/NQ-VNBC dated 25/11/2025	<p>1. Approval of the economic and technical report on the investment in the coal loading system onto the Nui Beo – Ha Tu – Coal processing center – Lang Khanh conveyor belt line.</p> <p>2. Report submitted to the Group for adjustment and reallocation of the 2025 Investment Plan (Investment Project in the Coal Loading System onto the Nui Beo – Ha Tu – Coal Processing Center – Lang Khanh Conveyor Belt Line).</p>	100%
29	Resolution No. 29/NQ-VNBC dated 27/12/2025	<p>1. Supervision of management and operations for quarter IV 2025; approval of the business plan for quarter I and the full year 2026.</p> <p>2. Approval of the Company's labor and salary plan for 2026.</p> <p>3. Approval of the adjusted Company investment plan for 2025.</p> <p>4. Approval of the Company's construction investment plan for 2026.</p> <p>5. Report to the Group on the adjustment of the transition to the 2026 investment plan (Project: Investment in the coal loading system onto the Nui Beo – Ha Tu – Coal Processing Center – Lang Khanh coal conveyor belt line).</p> <p>6. Board of Directors' program and activity plan for 2026.</p> <p>7. Plan for organizing the 2026 annual general meeting of shareholders.</p> <p>8. Company's internal audit plan for 2026.</p> <p>9. Repeal of the regulations on Organization and Operation of Inspection activities of Nui Beo Coal Joint Stock Company – Vinacomin.</p> <p>10. Report on the implementation of the leadership coordination regulation and the 2025 Company governance report.</p>	100%
30	Resolution No. 30/NQ-VNBC dated 22/12/2025	Report and submission to TKV on the investment project for maintaining the vertical shaft hoisting system.	
31	Resolution No. 31/NQ-VNBC dated 26/12/2025	1. Approval of: (i) The adjustment of the transition to the Company's 2026 investment plan (Project: Investment in the coal loading system onto the Nui Beo – Ha Tu – Coal Processing Center – Lang Khanh coal conveyor belt line); (ii) The investment	

No.	No., Date	Contents of the resolution	Voting percentage
		project for equipment to maintain the CGH longwall mining complex (B0201021001).	
		2. Report to TKV on: (i) The investment project serving mine gas management and control; (ii) Certain matters to be submitted to the 2026 annual general Meeting of shareholders.	

1. Information on Supervisory Board Members:

No.	Name	Position	Date of Membership in the Supervisory Board	Qualification
1	Vu Thi Dung	Chairperson	01/11/2019	Master's in Economic Management
2	Nguyen Tien Nhung	Member	18/03/2006	Bachelor's in Economics
3	Do Thi Thanh Huyen	Member	28/04/2016	Mining Engineer

2. Supervisory Board Meetings:

No.	Name	Number of Meetings Attended	Attendance Rate	Voting Participation Rate	Reason for Absence
1	Vu Thi Dung	5	100%	100%	
2	Nguyen Tien Nhung	5	100%	100%	
3	Do Thi Thanh Huyen	5	100%	100%	

3. Supervisory Board Oversight of the Board of Directors and Management Board:

a) Regarding the Board of Directors' Management Activities:

- The Board of Directors (BoD) performed its functions and duties through its operational regulations. It managed the company by issuing resolutions, decisions, regulations, and conducting checks on their implementation.

- Directed the Management Board and other administrative departments within the Company to strictly comply with state, corporate group, and company regulations.



- The BoD held monthly regular meetings, addressing many issues related to directing production and business operations. All meetings were well-prepared in terms of content, ensured proper procedure, adhered to principles, and aligned with the Company's actual production and business conditions to make timely decisions.

- Successfully organized the 2025 annual general Meeting of shareholders, ensuring the rights and interests of shareholders according to legal provisions. Dividends were distributed as per the resolutions.

- Approved production and business plans, investment plans, revised and issued internal regulations, reappointed personnel, and addressed outstanding issues in investment projects.

- Reorganized the production structure, streamlined departments, and transitioned from open-pit coal mining to underground mining, aligning with reduced open-pit coal production volumes.

- The BoD's activities and timely adjustments to management documents enhanced the efficiency and flexibility of corporate governance in 2025. All documents issued were within the scope of the BoD's authority and conformed to the actual production and business conditions of the Company.

b) Regarding the Management Board's Organizational Activities:

- The Company Director directly managed production and business operations according to the functions and duties defined by the Enterprise Law and the Company's Charter, specifically:

- Proactively proposed solutions to the BoD to improve operational efficiency and company management. Actively drafted and issued other management documents within the Company's authority.

- Represented the Company in signing labor, credit, economic, and commercial contracts, ensuring compliance with regulations and laws.

- Conducted personnel planning, training, development, and appointments in accordance with proper procedures.

- Implemented democratic, transparent, and open recruitment processes. Managed salaries, bonuses, promotions, and raises in accordance with the Company's regulations and TKV's guidelines.

- Fulfilled obligations to the State, ensured the rights and lawful interests of shareholders, and maintained security, order, and social safety.

- Effectively executed investment and construction activities (DT-XDCB) within the delegated authority. Followed proper investment procedures, decisions, execution, and settlement of projects.

- Actively managed daily production and business operations with synchronized policies and solutions, from the Company to department and workshop levels.



- Organized and signed responsibility agreements between the Company Director and heads of departments.

- Directed the effective implementation of the Company's production and business plans, achieving all economic and technical targets set by the General Meeting of Shareholders.

4. Coordination Between the Supervisory Board (BKS) and the BoD, Management Board, and Other Managers:

- In 2025, the Supervisory Board received coordination from the BoD, the Management Board, and other managers, including the provision of reports and documents necessary for supervision, assigning staff to work with the Supervisory Board when requested, and jointly organizing training workshops for controllers.

- During summary meetings, annual meetings, and extraordinary meetings of the BoD and Management Board, the Supervisory Board was invited to attend and provide input to ensure the Company's activities aligned with shareholder rights and benefits.

5. Other Activities of the Supervisory Board: None

IV. Executive Board

No.	Full name	Year of birth	Professional qualifications	Position	Date of appointment	Date of dismissal
1	Doan Dac Tho	07/02/1969	Mining Construction Engineer	Director	01/08/2023	
2	Pham Ba Tuoc	06/10/1978	Mining Engineer	Deputy Director	16/08/2017	
3	Nguyen Xuan Phung	12/03/1979	Mining Electrical Engineer	Deputy Director	02/05/2019	
4	Le Quoc Khang	13/01/1984	Underground and Mining Construction Engineer	Deputy Director	04/01/2023	
5	Pham Van Chuc	11/02/1973	Mining Engineer	Deputy Director	03/6/2025	
6	Truong Thuy Mai	10/06/1975	Bachelor of Accounting	Chief Accountant	05/02/2018	

V. Corporate governance training



The members of the Board of Directors, Supervisory Board, and Management Board of the Company fully participated in corporate governance training programs organized by TKV, the State Securities Commission and the Hanoi Stock Exchange.

VI. List of related persons of the Company in accordance with Clause 34, Article 6 of the Law on Securities, and transactions between the Company and its related persons.

1. List of related parties: Accompanying list provided.
2. Transactions between the Company and its related parties, major shareholders, insiders, or persons related to insiders: None.
3. Transactions between insiders or their related parties and subsidiaries or controlled companies: None.
- 4/ Transactions between the Company and other entities: None.

VII. Share transactions by insiders and related parties:

1. List of insiders and their related parties: Accompanying list provided.
2. Share transactions by insiders or their related parties involving the Company: None.

VIII. Other notable issues: None

Recipients:

- As stated above (ecopy for reporting);
- Board of Directors (3 copies), Supervisory Board (ecopy);
- Director, Deputy Directors, Chief Accountant (ecopy);
- Registration Department; Secretary (ecopy for disclosure);
- Archives: Office; Board of Directors.

**ON BEHALF OF THE
BOARD OF DIRECTORS
CHAIRMAN**



Tran Tuan Anh

List of insiders and related parties of insiders (Attached to the Company's Governance Report 2025)

Business name:

NUI BEO COAL JOINT STOCK COMPANY - VINACOMIN

Stock code:

NBC


No.	Stock code	Full name (*)	Securities trading account (if any)	Position in the company (if any)	Relationship with insiders	NSH Certificate (*) (CCCD/Passport/Business Registration Certificate)			Head office address/Contact address	Number of shares owned at the end of the period	End of period share ownership ratio (%)	Notes (regarding the absence of NSH Certificate number and other notes)
						NSH Certificate Number (*)	Date of issue	Place of issue				
1	2	3	4	5	6	7	8	9	10	11	12	13
1	NBC	Tran Tuan Anh		Chairman of the Board					Royalcity apartment, 72A Nguyen Trai, Ha Noi			
1.1	NBC	Tran Tuong			Father of Mr. Tran Tuan Anh - Chairman of the Board of Directors				Phu Dong commune, Ha Noi			old and weak
1.2	NBC	Dang Thi Bich Lien			Mother of Mr. Tran Tuan Anh - Chairman of the Board of Directors				Phu Dong commune, Ha Noi			Lost
1.3	NBC	Nguyen Thi Kim Thanh			Mother-in-law of Mr. Tran Tuan Anh - Chairman of the Board of Directors				An Bien ward, Hai Phong			
1.4	NBC	Do Thuy Chi			Wife of Mr. Tran Tuan Anh - Chairman of the Board of Directors				Royalcity apartment, 72A Nguyen Trai, Ha Noi			
1.5	NBC	Tran Hai Phong			Son of Mr. Tran Tuan Anh - Chairman of the Board of Directors				Royalcity apartment, 72A Nguyen Trai, Ha Noi			Young
1.6	NBC	Tran Duc An Khoi			Son of Mr. Tran Tuan Anh - Chairman of the Board of Directors				Royalcity Apartment, 72A Nguyen Trai, Ha Noi			Young
1.7	NBC	Tran Lam			Brother of Mr. Tran Tuan Anh - Chairman of the Board of Directors				Phu Dong commune, Ha Noi			
1.8	NBC	Hoang Thi Ngoc Anh			Sister-in-law of Mr. Tran Tuan Anh - Chairman of the Board of Directors				Phu Dong commune, Ha Noi			
1.9	NBC	Tran Thi Bich Ngoc			Brother of Mr. Tran Tuan Anh - Chairman of the Board of Directors				Ha Noi			
1.10	NBC	Nguyen Ba Luong			Brother-in-law of Mr. Tran Tuan Anh - Chairman of the Board of Directors				Ha Noi			



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						NSH Certificate Number (*)	Date of issue	Place of issue				
2	NBC	Doan Dac Tho		Board Member, Director, Legal Representative					House No. 138 To Vinh Dien, Group 15, 4 quarter, Ha Long ward, Quang Ninh			Member of Board of Directors from 05.10.23
2	NBC	Doan Dac Tho		Board Member, Director, Legal Representative					House No. 138 To Vinh Dien, Group 15, 4 quarter, Ha Long ward, Quang Ninh			Member of Board of Directors from 05.10.23
2.1	NBC	Doan Dac Chi			Father of Mr. Doan Dac Tho - Board Member, Director, Legal Representative							Lost
2.2	NBC	Nguyen Thi Thuy			Mother of Mr. Doan Dac Tho - Board Member, Director, Legal Representative							Lost
2.3	NBC	Pham Van Duy			Father-in-law of Mr. Doan Dac Tho - Board Member, Director, Legal Representative							Lost
2.4	NBC	Quan Thi Doi			Mother-in-law of Mr. Doan Dac Tho - Board Member, Director, Legal Representative							Lost
2.5	NBC	Pham Thi Hoa			Wife of Mr. Doan Dac Tho - Board Member, Director, Legal Representative				House No. 138 To Vinh Dien, Group 15, 4 Quarter, Ha Long ward, Quang Ninh			
2.5	NBC	Pham Thi Hoa			Wife of Mr. Doan Dac Tho - Board Member, Director, Legal Representative				House No. 138 To Vinh Dien, Group 15, 4 Quarter, Ha Long ward, Quang Ninh			
2.6	NBC	Doan Quang Khai			Son of Mr. Doan Dac Tho - Board Member, Director, Legal Representative				Group 5, Area 5a, Cam Pha ward, Quang Ninh			
2.6	NBC	Doan Quang Khai			Son of Mr. Doan Dac Tho - Board Member, Director, Legal Representative				Group 5, Area 5a, Cam Pha ward, Quang Ninh			
2.7	NBC	Doan Bao Khiem			Son of Mr. Doan Dac Tho - Board Member, Director, Legal Representative				House No. 138 To Vinh Dien, Group 15, 4 quarter, Ha Long ward, Quang Ninh			
2.8	NBC	Le Thi Lan Anh			Daughter-in-law of Mr. Doan Dac Tho - Board Member, Director, Legal Representative				Group 5, Area 5a, Cam Pha ward, Quang Ninh			
2.8	NBC	Le Thi Lan Anh			Daughter-in-law of Mr. Doan Dac Tho - Board Member, Director, Legal Representative				Group 5, Area 5a, Cam Pha ward, Quang Ninh			
2.9	NBC	Doan Thi Lieu			Sister of Mr. Doan Dac Tho - Board Member, Director, Legal Representative				Hamlet 4, Phu Ly commune, Dong Nai			
2.9	NBC	Doan Thi Lieu			Sister of Mr. Doan Dac Tho - Board Member, Director, Legal Representative				Hamlet 4, Phu Ly commune, Dong Nai			



No.	Stock code	Full name (*)	Securities trading account (if any)	Position in the company (if any)	Relationship with insiders	NSH Certificate (*) (CCCD/Passport/Business Registration Certificate)			Head office address/Contact address	Number of shares owned at the end of the period	End of period share ownership ratio (%)	Notes (regarding the absence of NSH Certificate number and other notes)
						NSH Certificate Number (*)	Date of issue	Place of issue				
2.10	NBC	Bui Van Son			Brother-in-law of Mr. Doan Dac Tho - Board Member, Director, Legal Representative				Hamlet 4, Phu Ly commune, Dong Nai			
2.10	NBC	Bui Van Son			Brother-in-law of Mr. Doan Dac Tho - Board Member, Director, Legal Representative				Hamlet 4, Phu Ly commune, Dong Nai			
2.11	NBC	Doan Thi Lan			Sister of Mr. Doan Dac Tho - Board Member, Director, Legal Representative				Trung Kanh commune, Bac Ninh			
2.11	NBC	Doan Thi Lan			Sister of Mr. Doan Dac Tho - Board Member, Director, Legal Representative				Trung Kanh commune, Bac Ninh			
2.12	NBC	Nguyen Van Cuong			Brother-in-law of Mr. Doan Dac Tho - Board Member, Director, Legal Representative				Trung Kanh commune, Bac Ninh			
2.12	NBC	Nguyen Van Cuong			Brother-in-law of Mr. Doan Dac Tho - Board Member, Director, Legal Representative				Trung Kanh commune, Bac Ninh			
2.13	NBC	Nguyen Thi Hoang Yen			Sister-in-law of Mr. Doan Dac Tho - Board Member, Director, Legal Representative				Dai Thanh commune, Ha Noi			
2.13	NBC	Nguyen Thi Hoang Yen			Sister-in-law of Mr. Doan Dac Tho - Board Member, Director, Legal Representative				Dai Thanh commune, Ha Noi			
2.14	NBC	Doan Thi Nui			Sister of Mr. Doan Dac Tho - Board Member, Director, Legal Representative				Luong Tai commune, Bac Ninh			
2.14	NBC	Doan Thi Nui			Sister of Mr. Doan Dac Tho - Board Member, Director, Legal Representative				Luong Tai commune, Bac Ninh			
2.15	NBC	Hoang Dinh Thuan			Brother-in-law of Mr. Doan Dac Tho - Board Member, Director, Legal Representative				Luong Tai commune, Bac Ninh			
2.15	NBC	Hoang Dinh Thuan			Brother-in-law of Mr. Doan Dac Tho - Board Member, Director, Legal Representative				Luong Tai commune, Bac Ninh			
2.16	NBC	Doan Thi Sau			Brother of Mr. Doan Dac Tho - Board Member, Director, Legal Representative				Viet Hoa ward, Hai Phong city			
2.16	NBC	Doan Thi Sau			Brother of Mr. Doan Dac Tho - Board Member, Director, Legal Representative				Viet Hoa ward, Hai Phong city			
2.17	NBC	Doan Thi Xoan			Brother of Mr. Doan Dac Tho - Board Member, Director, Legal Representative				Cam Pha ward, Quang Ninh			



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						NSH Certificate Number (*)	Date of issue	Place of issue				
2.17	NBC	Doan Thi Xoan			Brother of Mr. Doan Dac Tho - Board Member, Director, Legal Representative				Cam Pha ward, Quang Ninh			
2.18	NBC	Ngo Xuan Hanh			Brother-in-law of Mr. Doan Dac Tho - Board Member, Director, Legal Representative				Cam Pha ward, Quang Ninh			
2.19	NBC	Doan Thi Xuyen			Brother of Mr. Doan Dac Tho - Board Member, Director, Legal Representative				Cam Pha ward, Quang Ninh			
2.19	NBC	Doan Thi Xuyen			Brother of Mr. Doan Dac Tho - Board Member, Director, Legal Representative				Cam Pha ward, Quang Ninh			
2.20	NBC	Le Tien Nam			Brother-in-law of Mr. Doan Dac Tho - Board Member, Director, Legal Representative				Cam Pha ward, Quang Ninh			
2.20	NBC	Le Tien Nam			Brother-in-law of Mr. Doan Dac Tho - Board Member, Director, Legal Representative				Cam Pha ward, Quang Ninh			
3	NBC	Nguyen Tuan Dung	008C330821	Board Member					Ha Long ward, Quang Ninh			
3	NBC	Nguyen Tuan Dung	008C330821	Board Member					Ha Long ward, Quang Ninh			
3.1	NBC	Nguyen Tat Du			Father of Mr. Nguyen Tuan Dung - Board Member				Nam An Phu, Hai Phong			Lost
3.2	NBC	Pham Thi Sau			Mother of Mr. Nguyen Tuan Dung - Board Member				Nam An Phu, Hai Phong			Lost
3.2	NBC	Pham Thi Sau			Mother of Mr. Nguyen Tuan Dung - Board Member				Nam An Phu, Hai Phong			
3.3	NBC	Trinh Xuan Vy			Father-in-law of Mr. Nguyen Tuan Dung - Board Member				Ha Long ward, Quang Ninh			
3.4	NBC	Le Thi Hy			Mother-in-law of Mr. Nguyen Tuan Dung - Board Member				Ha Long ward, Quang Ninh			
3.4	NBC	Le Thi Hy			Mother-in-law of Mr. Nguyen Tuan Dung - Board Member				Ha Long ward, Quang Ninh			
3.5	NBC	Trinh Thanh Binh	008C330762		Wife of Mr. Nguyen Tuan Dung - Board Member				Ha Long ward, Quang Ninh			



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						NSH Certificate Number (*)	Date of issue	Place of issue				
3.5	NBC	Trinh Thanh Binh	008C330762		Wife of Mr. Nguyen Tuan Dung - Board Member				Ha Long ward, Quang Ninh			
3.6	NBC	Nguyen Tien Minh			Son of Mr. Nguyen Tuan Dung - Board Member				Ha Long ward, Quang Ninh			
3.7	NBC	Nguyen Tien Hung			Son of Mr. Nguyen Tuan Dung - Board Member				Ha Long ward, Quang Ninh			
3.8	NBC	Nguyen Tat Duyet			Brother of Mr. Nguyen Tuan Dung - Board Member				Nam An Phu, Hai Phong			
3.9	NBC	Vu Thi Uyen			Sister-in-law of Mr. Nguyen Tuan Dung - Board Member				Nam An Phu, Hai Phong			
3.10	NBC	Nguyen Thi Dien			Brother of Mr. Nguyen Tuan Dung - Board Member				Nam An Phu, Hai Phong			
3.11	NBC	Tran Van Do			Brother-in-law of Mr. Nguyen Tuan Dung - Board Member				Nam An Phu, Hai Phong			
3.11	NBC	Tran Van Do			Brother-in-law of Mr. Nguyen Tuan Dung - Board Member				Nam An Phu, Hai Phong			
3.12	NBC	Nguyen Thi Diem			Brother of Mr. Nguyen Tuan Dung - Board Member				Nam An Phu, Hai Phong			
3.12	NBC	Nguyen Thi Diem			Brother of Mr. Nguyen Tuan Dung - Board Member				Nam An Phu, Hai Phong			
3.13	NBC	Liu Huu Tiep			Brother-in-law of Mr. Nguyen Tuan Dung - Board Member				Nam An Phu, Hai Phong			
3.14	NBC	Nguyen Tien Duat			Brother of Mr. Nguyen Tuan Dung - Board Member				Ha Long ward, Quang Ninh			
3.14	NBC	Nguyen Tien Duat			Brother of Mr. Nguyen Tuan Dung - Board Member				Ha Long ward, Quang Ninh			
3.15	NBC	Dinh Thi Hong			Sister-in-law of Mr. Nguyen Tuan Dung - Board Member				Ha Long ward, Quang Ninh			
3.15	NBC	Dinh Thi Hong			Sister-in-law of Mr. Nguyen Tuan Dung - Board Member				Ha Long ward, Quang Ninh			

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						NSH Certificate Number (*)	Date of issue	Place of issue				
4	NBC	Pham Ba Tuoc		Board Member, Deputy Director					Group 5, Area I, Ha Lam ward, Quang Ninh			
4	NBC	Pham Ba Tuoc		Board Member, Deputy Director					Group 5, Area I, Ha Lam ward, Quang Ninh			
4.1	NBC	Pham Minh Tan			Father of Mr. Pham Ba Tuoc - Board Member, Deputy Director							Lost
4.2	NBC	Trinh Thi La			Mother of Mr. Pham Ba Tuoc - Board Member, Deputy Director				Group 5, Zone II, Ha Lam ward, Quang Ninh			
4.2	NBC	Trinh Thi La			Mother of Mr. Pham Ba Tuoc - Board Member, Deputy Director				Group 5, Zone II, Ha Lam ward, Quang Ninh			
4.3	NBC	Nguyen Thi Pho			Mother-in-law of Mr. Pham Ba Tuoc - Board Member, Deputy Director				Group 5, Area I, Ha Lam ward, Quang Ninh			
4.4	NBC	Nguyen Thi Hang			Wife of Mr. Pham Ba Tuoc - Board Member, Deputy Director				Group 5, Area I, Ha Lam ward, Quang Ninh			
4.5	NBC	Pham Gia Khanh			Son of Mr. Pham Ba Tuoc - Board Member, Deputy Director				Group 5, Area I, Ha Lam ward, Quang Ninh			
4.6	NBC	Pham Khanh Ngoc			Son of Mr. Pham Ba Tuoc - Board Member, Deputy Director				Group 5, Area I, Ha Lam ward, Quang Ninh			Young
4.7	NBC	Pham Minh Thai			Brother of Mr. Pham Ba Tuoc - Board Member, Deputy Director				Group 5, Zone II, Ha Lam ward, Quang Ninh			
4.7	NBC	Pham Minh Thai			Brother of Mr. Pham Ba Tuoc - Board Member, Deputy Director				Group 5, Zone II, Ha Lam ward, Quang Ninh			
4.8	NBC	Pham Minh Tien			Brother of Mr. Pham Ba Tuoc - Board Member, Deputy Director				Group 3, Zone IV, Ha Lam ward, Quang Ninh			
4.8	NBC	Pham Minh Tien			Brother of Mr. Pham Ba Tuoc - Board Member, Deputy Director				Group 3, Zone IV, Ha Lam ward, Quang Ninh			
4.9	NBC	Pham Thu Huong			Brother of Mr. Pham Ba Tuoc - Board Member, Deputy Director				Group 24, Zone III, Ha Lam ward, Quang Ninh			
4.9	NBC	Pham Thu Huong			Brother of Mr. Pham Ba Tuoc - Board Member, Deputy Director				Group 24, Zone III, Ha Lam ward, Quang Ninh			

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						NSH Certificate Number (*)	Date of issue	Place of issue				
4.10	NBC	Tran Bang Tam			Mr. Pham Ba Tuoc's sister-in-law - Board Member, Deputy Director				Group 3, Zone IV, Ha Lam ward, Quang Ninh			
4.10	NBC	Tran Bang Tam			Mr. Pham Ba Tuoc's sister-in-law - Board Member, Deputy Director				Group 3, Zone IV, Ha Lam ward, Quang Ninh			
5	NBC	Dang Van Ngong	007C217006	Board Member					Group 7, Area 3, Ha Long ward, Quang Ninh			
5	NBC	Dang Van Ngong	007C217006	Board Member					Group 7, Area 3, Ha Long ward, Quang Ninh	100	0	
5.1	NBC	Dang Van Rau			Father of Mr. Dang Van Ngong - Board Member							Lost
5.2	NBC	Tran Thi Hoa			Mother of Mr. Dang Van Ngong - Board Member							Lost
5.3	NBC	Nguyen Danh Kien			Mr. Dang Van Ngong's father-in-law - Member of the Board of Directors							Lost
5.4	NBC	Nguyen Thi Nhung			Mr. Dang Van Ngong's mother-in-law - Member of the Board of Directors							Lost
5.5	NBC	Nguyen Thi Voc	006C27018		Wife of Mr. Dang Van Ngong - Board Member				Group 7, Area 3, Ha Long ward, Quang Ninh	100	0	
5.5	NBC	Nguyen Thi Voc	006C27018		Wife of Mr. Dang Van Ngong - Board Member				Group 7, Area 3, Ha Long ward, Quang Ninh			
5.6	NBC	Dang Nhu Trang			Son of Mr. Dang Van Ngong - Board Member				Group 7, Area 3, Ha Long ward, Quang Ninh			
5.6	NBC	Dang Nhu Trang			Son of Mr. Dang Van Ngong - Board Member				Group 7, Area 3, Ha Long ward, Quang Ninh			
5.7	NBC	Dang Dinh Thi			Son of Mr. Dang Van Ngong - Board Member				Group 7, Area 3, Ha Long ward, Quang Ninh			
5.7	NBC	Dang Dinh Thi			Son of Mr. Dang Van Ngong - Board Member				Group 7, Area 3, Ha Long ward, Quang Ninh			
5.8	NBC	Dang Van Hoa			Brother of Mr. Dang Van Ngong - Board Member				Dang culvert, Hong Son commune, Ha Noi			

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						NSH Certificate Number (*)	Date of issue	Place of issue				
5.9	NBC	Tran Thi Chien			Sister-in-law of Mr. Dang Van Ngong - Board Member				Dang culvert, Hong Son commune, Ha Noi			
5.9	NBC	Tran Thi Chien			Sister-in-law of Mr. Dang Van Ngong - Board Member				Dang culvert, Hong Son commune, Ha Noi			
5.10	NBC	Dang Van Phong			Brother of Mr. Dang Van Ngong - Board Member				Dang culvert, Hong Son commune, Ha Noi			
5.11	NBC	Pham Thi Tran			Mr. Dang Van Ngong's sister-in-law - Member of the Board of Directors				Dang culvert, Hong Son commune, Ha Noi			
5.11	NBC	Pham Thi Tran			Mr. Dang Van Ngong's sister-in-law - Member of the Board of Directors				Dang culvert, Hong Son commune, Ha Noi			
5.12	NBC	Dang Thi En			Brother of Mr. Dang Van Ngong - Board Member				Dang culvert, Hong Son commune, Ha Noi			
5.13	NBC	Tran Van Dong			Mr. Dang Van Ngong's brother-in-law - Member of the Board of Directors				Dang culvert, Hong Son commune, Ha Noi			
5.13	NBC	Tran Van Dong			Mr. Dang Van Ngong's brother-in-law - Member of the Board of Directors				Dang culvert, Hong Son commune, Ha Noi			
5.14	NBC	Nguyen Danh Bao			Mr. Dang Van Ngong's brother-in-law - Member of the Board of Directors				Kien An ward, Hai Phong			
5.15	NBC	Nguyen Thi Dam			Sister-in-law of Mr. Dang Van Ngong - Board Member				Kien An ward, Hai Phong			
5.15	NBC	Nguyen Thi Dam			Sister-in-law of Mr. Dang Van Ngong - Board Member				Kien An ward, Hai Phong			
5.16	NBC	Nguyen Danh Tan			Mr. Dang Van Ngong's brother-in-law - Member of the Board of Directors				A Sao commune, Hung Yen			
6	NBC	Nguyen Xuan Phung		Deputy Director					Group 25, Zone 3, Ha Lam ward, Quang Ninh			
6	NBC	Nguyen Xuan Phung		Deputy Director					Group 25, Zone 3, Ha Lam ward, Quang Ninh			
6.1	NBC	Nguyen Xuan De			Father of Mr. Nguyen Xuan Phung - Deputy Director				Ha Lam ward, Quang Ninh			

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						NSH Certificate Number (*)	Date of issue	Place of issue				
6.1	NBC	Nguyen Xuan De			Father of Mr. Nguyen Xuan Phung - Deputy Director				Ha Lam ward, Quang Ninh			
6.2	NBC	Pham Thi Duong			Mother of Mr. Nguyen Xuan Phung - Deputy Director				Ha Lam ward, Quang Ninh			
6.2	NBC	Pham Thi Duong			Mother of Mr. Nguyen Xuan Phung - Deputy Director				Ha Lam ward, Quang Ninh			
6.3	NBC	Luong Van Hiem			Father-in-law of Mr. Nguyen Xuan Phung - Deputy Director				Ha Lam ward, Quang Ninh			
6.4	NBC	Le Thi Chien			Mother-in-law of Mr. Nguyen Xuan Phung - Deputy Director				Ha Lam ward, Quang Ninh			
6.5	NBC	Luong Thi Huong			Wife of Mr. Nguyen Xuan Phung - Deputy Director				Ha Lam ward, Quang Ninh			
6.5	NBC	Luong Thi Huong			Wife of Mr. Nguyen Xuan Phung - Deputy Director				Ha Lam ward, Quang Ninh			
6.6	NBC	Nguyen Xuan Mai			Son of Mr. Nguyen Xuan Phung - Deputy Director				Ha Lam ward, Quang Ninh			
6.7	NBC	Nguyen Nhan Phong			Son of Mr. Nguyen Xuan Phung - Deputy Director				Ha Lam ward, Quang Ninh			
6.8	NBC	Nguyen Thi Thuy			Sister of Mr. Nguyen Xuan Phung - Deputy Director				Hon Gai ward, Quang Ninh			
6.8	NBC	Nguyen Thi Thuy			Sister of Mr. Nguyen Xuan Phung - Deputy Director				Hon Gai ward, Quang Ninh			
6.9	NBC	Nguyen Xuan Kieu			Brother of Mr. Nguyen Xuan Phung - Deputy Director				Ha Lam ward, Quang Ninh			
6.10	NBC	Nguyen Thi Hien			Sister-in-law of Mr. Nguyen Xuan Phung - Deputy Director				Ha Lam ward, Quang Ninh			
7	NBC	Le Quoc Khang	0001148432	Deputy Director					House number 304, group 20, area 2B, Ha Lam ward, Quang Ninh			
7.1	NBC	Le Chi Linh			Father of Mr. Le Quoc Khang - Deputy Director							Lost



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7.2	NBC	Dong Thi Ly			Mother of Mr. Le Quoc Khang - Deputy Director				Ha Lam ward, Quang Ninh			
7.3	NBC	Dao Thi Mai			Mother-in-law of Mr. Le Quoc Khang - Deputy Director				Cao Xanh ward, Quang Ninh			
7.4	NBC	Ha Thi Thuong			Wife of Mr. Le Quoc Khang - Deputy Director				House number 304, group 20, area 2B, Ha Lam ward, Quang Ninh			
7.5	NBC	Le Binh An			Son of Mr. Le Quoc Khang - Deputy Director				House number 304, group 20, area 2B, Ha Lam ward, Quang Ninh			Young
7.6	NBC	Le Hoai An			Son of Mr. Le Quoc Khang - Deputy Director				House number 304, group 20, area 2B, Ha Lam ward, Quang Ninh			Young
7.7	NBC	Ha Thanh Giang Thuy			Mr. Le Quoc Khang's Wife - Deputy Director				Hong Gai ward, Quang Ninh			
7.8	NBC	Ha Thi Kim Oanh			Wife of Mr. Le Quoc Khang - Deputy Director				Ha Long ward, Quang Ninh			
7.9	NBC	Ha Thi Phuong Thao			Wife of Mr. Le Quoc Khang - Deputy Director				Cao Xanh ward, Quang Ninh			
8	NBC	Pham Van Chuc		Deputy Director					Group 1, Area 6, Ha Long ward, Quang Ninh			
8.1	NBC	Pham Van Cam			Father of Mr. Pham Van Chuc - Deputy Director							Lost 2020
8.2	NBC	Tran Thi Rach			Mother of Mr. Pham Van Chuc - Deputy Director				Kim Loi village, Bac An Phu ward, Hai Phong city			
8.3	NBC	Le Trong Luc			Father-in-law of Mr. Pham Van Chuc - Deputy Director				Le Xa village, Bac An Phu ward, Hai Phong city			
8.4	NBC	Nguyen Thi Van			Mr. Pham Van Chuc's mother-in-law - Deputy Director				Le Xa village, Bac An Phu ward, Hai Phong city			
8.5	NBC	Le Thi Phuong	006C40282159		Wife of Mr. Pham Van Chuc - Deputy Director				Group 1, Area 6, Ha Long ward, Quang Ninh	1.210,00	0,03	
8.6	NBC	Pham Le Phuong Anh			Son of Mr. Pham Van Chuc - Deputy Director				Group 1, Area 6, Ha Long ward, Quang Ninh			



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8.7	NBC	Pham Le Ha Uyen			Son of Mr. Pham Van Chuc - Deputy Director				Group 1, Area 6, Ha Long ward, Quang Ninh			
8.8	NBC	Pham Van Dam			Brother of Mr. Pham Van Chuc - Deputy Director							Passed away in 2017
8.9	NBC	Pham Van Trien			Brother of Mr. Pham Van Chuc - Deputy Director				Kim Loi village, Bac An Phu ward, Hai Phong city			
8.10	NBC	Pham Van Thuat			Brother of Mr. Pham Van Chuc - Deputy Director				Kim Loi village, Bac An Phu ward, Hai Phong city			
8.11	NBC	Pham Van Luyen			Brother of Mr. Pham Van Chuc - Deputy Director							Passed away in 2024
8.12	NBC	Pham Van Chiem			Brother of Mr. Pham Van Chuc - Deputy Director				Kim Loi village, Bac An Phu ward, Hai Phong city			
8.13	NBC	Pham Thi Toan			Sister of Mr. Pham Van Chuc - Deputy Director				Kim Loi village, Bac An Phu ward, Hai Phong city			
8.14	NBC	Pham Thi Lien			Sister of Mr. Pham Van Chuc - Deputy Director				Kim Loi village, Bac An Phu ward, Hai Phong city			
8.15	NBC	Pham Thi Chuyen			Brother of Mr. Pham Van Chuc - Deputy Director				Tong Long village, Nam An Phu commune, Hai Phong city			
8.16	NBC	Mac Thi Xuan			Sister-in-law of Mr. Pham Van Chuc - Deputy Director				Kim Loi village, Bac An Phu ward, Hai Phong city			
8.17	NBC	Tu Thi Tuan			Sister-in-law of Mr. Pham Van Chuc - Deputy Director				Kim Loi village, Bac An Phu ward, Hai Phong city			
8.18	NBC	Ngo Thi Hanh			Sister-in-law of Mr. Pham Van Chuc - Deputy Director				Kim Loi village, Bac An Phu ward, Hai Phong city			
8.19	NBC	Ngo Thi Tam			Sister-in-law of Mr. Pham Van Chuc - Deputy Director				Kim Loi village, Bac An Phu ward, Hai Phong city			
8.20	NBC	Nguyen Thi Tun			Sister-in-law of Mr. Pham Van Chuc - Deputy Director				Kim Loi village, Bac An Phu ward, Hai Phong city			
8.21	NBC	Tien Van Nhat			Mr. Pham Van Chuc's brother-in-law - Deputy Director							Passed away in 2023

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						NSH Certificate Number (*)	Date of issue	Place of issue				
8.22	NBC	Nguyen Van Than			Mr. Pham Van Chuc's brother-in-law - Deputy Director							Died 1992
8.23	NBC	Nguyen Huu Nghi			Mr. Pham Van Chuc's brother-in-law - Deputy Director				Tong Long village, Nam An Phu commune, Hai Phong City			
9	NBC	Truong Thuy Mai		Chief Accountant					Zone 5, Hong Gai ward, Quang Ninh	2.118	0	
9	NBC	Truong Thuy Mai		Chief Accountant					Zone 5, Hong Gai ward, Quang Ninh			
9.1	NBC	Truong Cong Dieu			Father of Ms. Truong Thuy Mai - Chief Accountant							Lost
9.2	NBC	Hoang Thi Be			Mother of Ms. Truong Thuy Mai - Chief Accountant				Zone 1, Hong Gai ward, Quang Ninh			
9.2	NBC	Hoang Thi Be			Mother of Ms. Truong Thuy Mai - Chief Accountant				Zone 1, Hong Gai ward, Quang Ninh			
9.3	NBC	Dao Duc Hy			Mrs. Truong Thuy Mai's father-in-law - Chief Accountant							Lost
9.4	NBC	Pham Thi Thanh Lien			Mother-in-law of Ms. Truong Thuy Mai - Chief Accountant							Lost
9.5	NBC	Dao Pham Hung			Husband of Ms. Truong Thuy Mai - Chief Accountant				Zone 5, Hong Gai ward, Quang Ninh			
9.5	NBC	Dao Pham Hung	006C035577		Husband of Ms. Truong Thuy Mai - Chief Accountant				Zone 5, Hong Gai ward, Quang Ninh	88	0	
9.6	NBC	Dao Mai Ly			Biological child of Ms. Truong Thuy Mai - Chief Accountant				Zone 5, Hong Gai ward, Quang Ninh			
9.7	NBC	Dao Huy Phuc			Biological child of Ms. Truong Thuy Mai - Chief Accountant				Zone 5, Hong Gai ward, Quang Ninh			
9.8	NBC	Truong Cong Quyen			Brother of Ms. Truong Thuy Mai - Chief Accountant				Zone 3, Hong Gai ward, Quang Ninh			
9.8	NBC	Truong Cong Quyen			Brother of Ms. Truong Thuy Mai - Chief Accountant				Zone 3, Hong Gai ward, Quang Ninh			



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						NSH Certificate Number (*)	Date of issue	Place of issue				
9.9	NBC	Dinh Thi Han			Sister-in-law of Ms. Truong Thuy Mai - Chief Accountant				Zone 3, Hong Gai ward, Quang Ninh			
9.9	NBC	Dinh Thi Han			Sister-in-law of Ms. Truong Thuy Mai - Chief Accountant				Zone 3, Hong Gai ward, Quang Ninh			
9.10	NBC	Truong Viet Bao			Brother of Ms. Truong Thuy Mai - Chief Accountant				Federal Republic of Germany			Settling abroad
9.11	NBC	Tran Thi Yen			Sister-in-law of Ms. Truong Thuy Mai - Chief Accountant				Federal Republic of Germany			Settling abroad
9.12	NBC	Truong Le Chi			Sister of Ms. Truong Thuy Mai - Chief Accountant				Zone 8, Ha Long ward, Quang Ninh			
9.12	NBC	Truong Le Chi			Sister of Ms. Truong Thuy Mai - Chief Accountant				Zone 8, Ha Long ward, Quang Ninh			
9.13	NBC	Nguyen Quang Tung			Ms. Truong Thuy Mai's brother-in-law - Chief Accountant				Zone 8, Ha Long ward, Quang Ninh			
9.13	NBC	Nguyen Quang Tung			Ms. Truong Thuy Mai's brother-in-law - Chief Accountant				Zone 8, Ha Long ward, Quang Ninh			
10	NBC	Vu Thi Dung		Head of Supervisory Board					Apartment S1 -08A 12, The Saphiere apartment building, Hong Gai ward, Quang Ninh			
10.1	NBC	Vu Van Cuong			Father of Ms. Vu Thi Dung - Head of the Board of Supervisors				Zone 8, Ha Long ward, Quang Ninh			
10.2	NBC	Trinh Thi Ha			Mother of Ms. Vu Thi Dung - Head of the Board of Supervisors				Zone 8, Ha Long ward, Quang Ninh			
10.3	NBC	Do Vu Minh Anh			Biological child of Ms. Vu Thi Dung - Head of the Board of Supervisors				Apartment S1 -08A 12, The Saphiere apartment building, Hong Gai ward, Quang Ninh			
10.4	NBC	Do Ha Anh			Biological child of Ms. Vu Thi Dung - Head of the Board of Supervisors				Apartment S1 -08A 12, The Saphiere apartment building, Hong Gai ward, Quang Ninh			
10.5	NBC	Vu Thi Hue			Sister of Ms. Vu Thi Dung - Head of the Board of Supervisors				Cam Trung ward, Quang Ninh			
10.6	NBC	Duong Duc Phu			Sister-in-law of Ms. Vu Thi Dung - Head of the Board of Supervisors				Cam Trung ward, Quang Ninh			



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						NSH Certificate Number (*)	Date of issue	Place of issue				
10.7	NBC	Vu Thi Thanh			Sister of Ms. Vu Thi Dung - Head of the Board of Supervisors				Ha Long ward, Quang Ninh			
10.8	NBC	Nguyen Van Minh			Sister-in-law of Ms. Vu Thi Dung - Head of the Board of Supervisors				Ha Long ward, Quang Ninh			
11	NBC	Nguyen Tien Nhuong	008C330428	Board of Supervisors					Group 4, Area 2, Hong Gai ward, Quang Ninh	61	0	
11	NBC	Nguyen Tien Nhuong	008C330428	Board of Supervisors					Group 4, Area 2, Hong Gai ward, Quang Ninh			
11.1	NBC	Nguyen Tien Hao			Father of Mr. Nguyen Tien Nhuong - Board of Supervisors				Ha Long ward, Quang Ninh			
11.1	NBC	Nguyen Tien Hao			Father of Mr. Nguyen Tien Nhuong - Board of Supervisors				Ha Long ward, Quang Ninh			
11.2	NBC	Vu Thi Dang			Biological mother of Mr. Nguyen Tien Nhuong - Board of Supervisors				Ha Long ward, Quang Ninh			
11.2	NBC	Vu Thi Dang			Biological mother of Mr. Nguyen Tien Nhuong - Board of Supervisors				Ha Long ward, Quang Ninh			
11.3	NBC	Doan Van Phang			Father-in-law of Mr. Nguyen Tien Nhuong - Board of Supervisors				Group 4, Area 2, Hong Gai ward, Quang Ninh			
11.4	NBC	Doan Thi Thanh			Wife of Mr. Nguyen Tien Nhuong - Board of Supervisors				Group 4, Area 2, Hong Gai ward, Quang Ninh			
11.5	NBC	Nguyen Anh Duc			Son of Mr. Nguyen Tien Nhuong - Board of Supervisors				Group 4, Area 2, Hong Gai ward, Quang Ninh			
11.6	NBC	Nguyen Duc Hoang			Son of Mr. Nguyen Tien Nhuong - Board of Supervisors				Group 4, Area 2, Hong Gai ward, Quang Ninh			
11.7	NBC	Nguyen Tien Dung			Brother of Mr. Nguyen Tien Nhuong - Board of Supervisors				Group 30, K3, Bai Chay ward, Quang Ninh			
11.8	NBC	Pham Thi Ha			Sister-in-law of Mr. Nguyen Tien Nhuong - Board of Supervisors				Group 30, K3, Bai Chay ward, Quang Ninh			
11.9	NBC	Nguyen Tien Duong			Brother of Mr. Nguyen Tien Nhuong - Board of Supervisors				Group 30, K3, Bai Chay ward, Quang Ninh			



No.	Stock code	Full name (*)	Securities trading account (if any)	Position in the company (if any)	Relationship with insiders	NSH Certificate (*) (CCCD/Passport/Business Registration Certificate)			Head office address/Contact address	Number of shares owned at the end of the period	End of period share ownership ratio (%)	Notes (regarding the absence of NSH Certificate number and other notes)
						NSH Certificate Number (*)	Date of issue	Place of issue				
11.10	NBC	Do Thi Mai			Sister-in-law of Mr. Nguyen Tien Nhuong - Board of Supervisors				Group 30, K3, Bai Chay ward, Quang Ninh			
11.10	NBC	Do Thi Mai			Sister-in-law of Mr. Nguyen Tien Nhuong - Board of Supervisors				Group 30, K3, Bai Chay ward, Quang Ninh			
11.11	NBC	Nguyen Thi Dung			Sister of Mr. Nguyen Tien Nhuong - Board of Supervisors				Ha Long ward, Quang Ninh			
11.11	NBC	Nguyen Thi Dung			Sister of Mr. Nguyen Tien Nhuong - Board of Supervisors				Ha Long ward, Quang Ninh			
11.12	NBC	Pham Van Quang			Mr. Nguyen Tien Nhuong's brother-in-law - Board of Supervisors				Ha Long ward, Quang Ninh			
11.12	NBC	Pham Van Quang			Mr. Nguyen Tien Nhuong's brother-in-law - Board of Supervisors				Ha Long ward, Quang Ninh			
11.13	NBC	Nguyen Thi Luong			Brother of Mr. Nguyen Tien Nhuong - Board of Supervisors				Ha Lam ward, Quang Ninh			
11.13	NBC	Nguyen Thi Luong			Brother of Mr. Nguyen Tien Nhuong - Board of Supervisors				Ha Lam ward, Quang Ninh			
11.14	NBC	Tran Cong Thai			Mr. Nguyen Tien Nhuong's brother-in-law - Board of Supervisors				Ha Lam ward, Quang Ninh			
11.15	NBC	Nguyen Tien Tam			Brother of Mr. Nguyen Tien Nhuong - Board of Supervisors				Ha Long ward, Quang Ninh			
11.16	NBC	Nguyen Thi Quynh Mai			Mr. Nguyen Tien Nhuong's sister-in-law - Board of Supervisors				Mon bay area, Ha Long ward, Quang Ninh			
12	NBC	Do Thi Thanh Huyen		Board of Supervisors					Group 6, Area 5, Ha Long ward, Quang Ninh	2.118	0	
12	NBC	Do Thi Thanh Huyen		Board of Supervisors					Group 6, Area 5, Ha Long ward, Quang Ninh			
12.1	NBC	Do Dinh Dat			Father of Ms. Do Thi Thanh Huyen - Board of Supervisors							Lost
12.2	NBC	Dinh Thi Thanh			Mother of Ms. Do Thi Thanh Huyen - Board of Supervisors				Group 3, Area 2, Hong Gai ward, Quang Ninh			



No.	Stock code	Full name (*)	Securities trading account (if any)	Position in the company (if any)	Relationship with insiders	NSH Certificate (*) (CCCD/Passport/Business Registration Certificate)			Head office address/Contact address	Number of shares owned at the end of the period	End of period share ownership ratio (%)	Notes (regarding the absence of NSH Certificate number and other notes)
						NSH Certificate Number (*)	Date of issue	Place of issue				
12.3	NBC	Nguyen Ngoc Dam			Father-in-law of Ms. Do Thi Thanh Huyen - Board of Supervisors				Group 6, Area 5, Ha Long ward, Quang Ninh			
12.4	NBC				Mother-in-law of Ms. Do Thi Thanh Huyen - Board of Supervisors							Lost
12.5	NBC	Nguyen Ngoc Minh			Husband of Ms. Do Thi Thanh Huyen - Board of Supervisors				Group 6, Area 5, Ha Long ward, Quang Ninh			
12.6	NBC	Nguyen Thi Ngoc Mai			Biological child of Ms. Do Thi Thanh Huyen - Board of Supervisors				Group 6, Area 5, Ha Long ward, Quang Ninh			
12.7	NBC	Do Duy Anh			Sister of Ms. Do Thi Thanh Huyen - Board of Supervisors				Group 3, Area 2, Hong Gai ward, Quang Ninh			
12.8	NBC	Do Hoang Minh			Sister of Ms. Do Thi Thanh Huyen - Board of Supervisors				Group 3, Area 2, Hong Gai ward, Quang Ninh			
12.9	NBC	Tran Thi My			Sister-in-law of Ms. Do Thi Thanh Huyen - Board of Supervisors				Group 3, Area 2, Hong Gai ward, Quang Ninh			
13	NBC	Luu Anh Duc	006C029234	Company Secretary, Authorized Person to Disclose Information...					Group 9, Zone 7, Ha Long ward, Quang Ninh	66	0	
13	NBC	Luu Anh Duc	002C148618	Company Secretary, Authorized Person to Disclose Information...					Group 9, Zone 7, Ha Long ward, Quang Ninh	32	0	
13	NBC	Luu Anh Duc	008C330359	Company Secretary, Authorized Person to Disclose Information...					Group 9, Zone 7, Ha Long ward, Quang Ninh			
13.1	NBC	Luu Van Trong			Father of Mr. Luu Anh Duc - Company Secretary, Authorized Person to Disclose Information...							Lost
13.2	NBC	Tran Thi Nu			Mr. Luu Anh Duc's biological mother - Company Secretary, Authorized Person to Disclose				675 Tran Thai Tong, Nam Dinh ward, Ninh Binh			
13.2	NBC	Tran Thi Nu			Mr. Luu Anh Duc's biological mother - Company Secretary, Authorized Person to Disclose				675 Tran Thai Tong, Nam Dinh ward, Ninh Binh			
13.3	NBC	Nguyen Xuan Hung			Mr. Luu Anh Duc's father-in-law - Company Secretary, Authorized Person to Disclose Information...				Cua Ong ward, Quang Ninh			



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						NSH Certificate Number (*)	Date of issue	Place of issue				
13.3	NBC	Nguyen Xuan Hung			Mr. Luu Anh Duc's father-in-law - Company Secretary, Authorized Person to Disclose Information...				Cua Ong ward, Quang Ninh			
13.4	NBC	Nguyen Thi Huong			Mr. Luu Anh Duc's mother-in-law - Company Secretary, Authorized Person to Disclose				Cua Ong ward, Quang Ninh			
13.4	NBC	Nguyen Thi Huong			Mr. Luu Anh Duc's mother-in-law - Company Secretary, Authorized Person to Disclose				Cua Ong ward, Quang Ninh			
13.5	NBC	Nguyen Thi Thu Huyen			Wife of Mr. Luu Anh Duc - Company Secretary, Authorized Person to Disclose Information...				Group 9, Zone 7, Ha Long ward, Quang Ninh	174	0	
13.5	NBC	Nguyen Thi Thu Huyen	002C148767		Wife of Mr. Luu Anh Duc - Company Secretary, Authorized Person to Disclose Information...				Group 9, Zone 7, Ha Long ward, Quang Ninh			
13.6	NBC	Luu Thu Uyen			Son of Mr. Luu Anh Duc - Company Secretary, Authorized Person to Disclose Information...				Group 9, Zone 7, Ha Long ward, Quang Ninh			
13.7	NBC	Luu Thu Huong			Son of Mr. Luu Anh Duc - Company Secretary, Authorized Person to Disclose Information...				Group 9, Zone 7, Ha Long ward, Quang Ninh			Young
13.8	NBC	Tran Anh Tuan			Brother of Mr. Luu Anh Duc - Company Secretary, Authorized Person to Disclose Information...				675 Tran Thai Tong, Nam Dinh ward, Ninh Binh			
13.9	NBC	Nguyen Thi Thanh Hoa			Mr. Luu Anh Duc's brother-in-law - Company Secretary, Authorized Person to Disclose				Cua Ong ward, Quang Ninh			
13.9	NBC	Nguyen Thi Thanh Hoa			Mr. Luu Anh Duc's brother-in-law - Company Secretary, Authorized Person to Disclose				Cua Ong ward, Quang Ninh			
14	NBC	Vu Thi Minh Dung		Internal Auditor					Group 57, Zone 6, Ha Lam ward, Quang Ninh			
14	NBC	Vu Thi Minh Dung		Internal Auditor					Group 57, Zone 6, Ha Lam ward, Quang Ninh			
14.1	NBC	Vu Dinh Thinh			Father of Ms. Vu Thi Minh Dung - In charge of internal audit				Hong Gai ward, Quang Ninh			
14.1	NBC	Vu Dinh Thinh			Father of Ms. Vu Thi Minh Dung - In charge of internal audit				Hong Gai ward, Quang Ninh			
14.2	NBC	Vu Thi Thuy			Mother of Ms. Vu Thi Minh Dung - In charge of internal audit				Truc Ninh commune, Ninh Binh			



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						NSH Certificate Number (*)	Date of issue	Place of issue				
14.2	NBC	Vu Thi Thuy			Mother of Ms. Vu Thi Minh Dung - In charge of internal audit				Truc Ninh commune, Ninh Binh			
14.3	NBC	Hoang Van Kien			Husband of Ms. Vu Thi Minh Dung - In charge of internal audit				Group 57, Zone 6, Ha Lam ward, Quang Ninh			
14.3	NBC	Hoang Van Kien			Husband of Ms. Vu Thi Minh Dung - In charge of internal audit				Group 57, Zone 6, Ha Lam ward, Quang Ninh			
14.4	NBC	Hoang Vu Thuy Duong			Biological child of Ms. Vu Thi Minh Dung - In charge of internal audit				Group 57, Zone 6, Ha Lam ward, Quang Ninh			
14.5	NBC	Hoang Vu Thai Hung			Biological child of Ms. Vu Thi Minh Dung - In charge of internal audit				Group 57, Zone 6, Ha Lam ward, Quang Ninh			
14.6	NBC	Vu Thi Minh Thanh			Sister of Ms. Vu Thi Minh Dung - In charge of internal audit				Ha Lam ward, Quang Ninh			
14.6	NBC	Vu Thi Minh Thanh			Sister of Ms. Vu Thi Minh Dung - In charge of internal audit				Ha Lam ward, Quang Ninh			
14.7	NBC	Vu Xuan Thuoc			Ms. Vu Thi Minh Dung's brother-in-law - Internal Audit Manager				Ha Lam ward, Quang Ninh			
14.7	NBC	Vu Xuan Thuoc			Ms. Vu Thi Minh Dung's brother-in-law - Internal Audit Manager				Ha Lam ward, Quang Ninh			
15	NBC	Vietnam National Coal - Mineral Industries Group							No. 3 Duong Dinh Nghe, Yen Hoa, Ha noi	24,049,431	65	

AUTHORIZED PERSON TO DISCLOSURE INFORMATION
COMPANY SECRETARY



Luu Anh Duc