

No: 18../BCQT-NDW

P.Nam Dinh, Junary 19th, 2026

## **REPORT ON CORPORATE GOVERNANCE, 2025**

**To:**               **- THE STATE SECURITIES COMMISSION;**  
                          **- THE HA NOI STOCK EXCHANGE.**

- Name of company:           Nam Dinh Water Supply Joint Stock Company
- Address of headoffice:    No. 30 Cu Chinh Lan Street, Nam Dinh Ward, Ninh Binh Province, Vietnam
- Telephone:                 (0228) 3649.510   -   Fax: (0228) 3636.679
- Charter capital:            VND 343,117,480,000
- Stock symbol:             NDW
- Governance model:        General Meeting of Shareholders, Board of Directors, Board of Supervisors, General Director
- The implementation of internal audit: Not yet implemented (Not available)

### **I.       ACTIVITIES OF THE GENERAL MEETING OF SHAREHOLDERS**

Information on meetings, resolutions and decisions of the General Meeting of Shareholders (including the resolutions of the General Meeting of Shareholders approved in the form of written comments):

<b>No.</b>	<b>Resolution No.</b>	<b>Date</b>	<b>Content</b>
<b>1</b>	<b>01-2025/NQ-DHĐCĐ</b>	<b>25/04/2025</b>	<b>1- Approval of Reports</b> <ul style="list-style-type: none"><li>• Report on the Activities of the Board of Directors in 2024 and Implementation Plan for 2025</li><li>• Report on the activities of the Board of Supervisors for 2024</li><li>• Report on the production and business results in 2024 and the production and business plan for 2025</li></ul> <b>2- Approval of the Profit Distribution Plan and Cash Dividend Payment for 2024.</b> <b>3- Approval of the Compensation Plan for the Board of Directors, Supervisory Board, and Company Secretary for 2025</b> <b>4- Approval of the authorization for the Board of</b>

			<p>Directors to select the auditing firm for the financial report of 2025.</p> <p>5- Approval of the full text of the audited 2024 Financial Statements</p> <p>6- Approval of the investment implementation for the Clean Water Plant Construction Project in Hai Trung Commune, Hai Hau District, Nam Dinh Province.</p> <p>7- Approval of the policy to acquire the investment project for constructing a clean water plant in Hai Minh Commune, Hai Hau District, Nam Dinh Province</p>
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## II. BOARD OF DIRECTORS (2025):

### 1. Information about the members of the Board of Directors:

No.	Board of Directors' members	Position	The date becoming/ceasing to be the member of the Board of Directors	
			Date of appointment	Date of dismissal
1	Mr. Sy Long Nguyen	Chairman of the Board of Directors (Non-executive members of the Board of Directors)	July 3rd, 2024	
2	Mr. Van Hoa Dinh	Vice Chairman of the Board of Directors, General Director (Executive members of the Board of Directors)	July 11th, 2024	
3	Mr. Ngoc Chien Tran	Vice Chairman of the Board of Directors and Deputy General Director (Executive members of the Board of Directors)	July 3rd, 2024	
4	Mr. Huu Minh Do	Member of the Board of Directors and Deputy General Director (Executive members of the Board of Directors)	June 4 <sup>th</sup> , 2023	
5	Mr. Ngoc Bao Tran	Non-executive members of the Board of Directors	June 4 <sup>th</sup> , 2023	



6	Mrs. Hai Anh Kieu	Non-executive members of the Board of Directors	June 4 <sup>th</sup> , 2023	
7	Mr. Hong Duc Tran	Non-executive members of the Board of Directors	August 8 <sup>th</sup> , 2024	

**2. Meetings of the Board of Directors:**

No.	Board of Director' member	Number of meetings attended by Board of Directors	Attendance rate	Reasons for absence
1	Mr. Sy Long Nguyen	5/5	100%	
2	Mr. Van Hoa Dinh	5/5	100%	
3	Mr. Ngoc Chien Tran	5/5	100%	
4	Mr. Huu Minh Do	5/5	100%	
5	Mr. Ngoc Bao Tran	5/5	100%	
6	Mrs. Hai Anh Kieu	5/5	100%	
7	Mr. Hong Duc Tran	5/5	100%	

**3. Supervising the Board of Management by the Board of General Directors**

- Supervise the implementation of the Board of Directors' decisions.
- Monitor and direct the preparation of annual financial statements; report on the company's business situation; evaluate the management and operation of the company.
- Supervise the progress of the implementation of basic construction investment projects.
- Effectively implement investment projects.
- Ensure compliance with the company's charter, decisions of the General Shareholders' Meeting, Board of Directors, and internal management regulations approved by the Board of Directors.
- Strategic planning for the company's development;
- Planning for business production and construction investment.
- Customer management and development.

**4. Activities of the Board of Directors' subcommittees:**

None.

**5. Resolutions/Decisions of the Board of Directors (2025):**

No.	Resolution No.	Date	Content	Approval Ratio
1	01-2025/NQ-HĐQT	15/01/2025	Approval of the policy on signing and executing contracts/transactions with internal persons or related parties of internal persons under the authority of the Board of Directors in accordance	7/7

			with regulations.	
2	02-2025/NQ-HĐQT	28/2/2025	Approval of the record date for exercising shareholders' rights, the expected time, venue, and agenda of the 2025 Annual General Meeting of Shareholders.	7/7
3	03/NQ-HĐQT	17/4/2025	Approval of the contents to be submitted to the 2025 Annual General Meeting of Shareholders.	7/7
4	04/NQ-HĐQT	25/4/2025	Approval of the final list of shareholders entitled to receive the remaining 2024 cash dividend.	7/7
5	05/NQ-HĐQT	15/8/2025	Through a loan agreement for the Hai Minh Clean Water Plant Project.	7/7

### III. BOARD OF SUPERVISORS (2025):

#### 1. Information about members of Board of Supervisors

No.	Members of Board of Supervisors	Position	The date becoming /ceasing to be the member of the Board of Supervisors	Qualification
1	Mrs. Thi Luyen Nguyen	Head of the Supervisory Board	June 4 <sup>th</sup> , 2023	Bachelor of Economics
2	Mr. Van Ngon Nguyen	Member of the Supervisory Board	June 4 <sup>th</sup> , 2023	Bachelor in Tourism Management, Master of Business Administration
3	Mr. Tai Dinh Pham	Member of the Supervisory Board	August 8 <sup>th</sup> , 2024	Bachelor of Economics – Major in Construction Economics

#### 2. Meetings of Board of Supervisors

No.	Members of Board of Supervisors	Number of meetings attended	Attendance rate	Voting rate	Reasons for absence
1	Mrs. Thi Luyen Nguyen	5/5	100%	100%	
2	Mr. Van Ngon Nguyen	5/5	100%	100%	



3	Mr. Tai Dinh Pham	5/5	100%	100%	
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### **3. Supervising Board of Directors, Board of Management and shareholders by Board of Supervisors**

#### **❖ Regarding the Performance of the Board of Supervisors 's Functions and Duties**

The Board of Supervisors monitors the management and operational activities of the Board of Directors (BOD), the Board of Management (BOM) and the company's production and business activities by participating in and contributing feedback during BOD meetings, as well as reviewing quarterly and annual financial reports of the Company.

#### **❖ Results of Monitoring the Company's Operations and Financial Status**

- Verification of the Company's Annual Financial Report
- Assessment of the management effectiveness and operational performance of the BOD and BOM, as well as the Company's production and business situation through financial reports and regular reports from the BOD and BOM.

#### **❖ Control of Resolutions and Decisions of the Company's Board of Directors**

- The resolutions of the Board of Directors focused on directing the implementation of the Company's production and business plans and investment construction plans.
- The meeting format, content, minutes, resolutions, decisions, and documents of the Board of Directors comply with the Law on Enterprises and the Company's Charter.

#### **❖ Control of the Activities of the Board of Management**

- Implementation of the resolutions and decisions of the Board of Directors: The Board of Management has fully executed the contents according to the resolutions and decisions of the Board of Directors.
- Other matters were monitored by the Board of Supervisors through random sampling checks. Upon review, the Board of Supervisors found everything to be appropriate, with no detected violations.
- The regulations and rules of the Company are in line with the operational form of the joint-stock company and have been implemented effectively.

#### **❖ Shareholder Opinions**

2025, the Board of Supervisors did not receive any opinions, feedback, or proposals from shareholders regarding the Company's production and business activities.

### **4. The coordination among the Board of Supervisors, the Board of Management, Board of Directors and other managers**

With a spirit of responsibility, collaboration, and cooperation in their activities, the the Board of Supervisors always coordinates, exchanges, and provides candid feedback to the Board of Directors and the Board of Management during meetings, as well as on the



resolutions and decisions of the Board of Directors and the Board of Management, in alignment with the goals of the Board of Directors and the established policies and regulations.

The Board of Supervisors has been provided with complete information regarding the decisions of the Board of Directors and the Board of Management, and has received support from the departments within the Company in providing documentation necessary for their oversight functions.

**5. Other activities of the Board of Supervisors:**

None.

**IV. BOARD OF MANAGEMENT**

No.	Members of Board of Management	Date of birth	Qualification	Date of appointment
1	Mr. Van Hoa Dinh	16/02/1970	Bachelor of Economics, Master's in Economic Management	July 11th, 2024
2	Mr. Ngoc Chien Tran	17/04/1966	Engineer in Construction, Major in Water Supply and Drainage	June 4 <sup>th</sup> , 2023
3	Mr. Huu Minh Do	21/01/1983	Master of Business Administration	June 4 <sup>th</sup> , 2023
4	Mr. Manh Hung Mai	12/6/1970	Bachelor of Economics – Major in Construction Economics	July 11th, 2024

**V. CHIEF ACCOUNTANT**

Name	Date of birth	Qualification	Date of appointment/dismissal
Mrs. Thi Hong Phan Tran	16/10/1974	Master of Business Administration	June 4 <sup>th</sup> , 2023

**VI. TRAINING COURSES ON CORPORATE GOVERNANCE**

The company continues to create all conditions for appointing staff to participate in training programs aimed at enhancing their knowledge of business management organized by the relevant authorities.

**VII. THE LIST OF AFFILIATED PERSONS OF THE PUBLIC COMPANY (SEMI-ANNUAL REPORT) AND TRANSACTIONS OF AFFILIATED PERSONS OF THE COMPANY**

1. The list of affiliated persons of the Company: *Appendix 01*
2. Transactions between the Company and its affiliated persons or between the

Company and its major shareholders, internal persons and affiliated persons:  
*Appendix 02*

3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power: None
4. Transactions between the Company and other objects
  - 4.1 Transactions between the Company and the company that its members of Board of Management, the Board of Supervisors, General Director have been founding members or members of Board of Directors, or CEOs in three (03) latest years (calculated at the time of reporting): *Appendix 03*
  - 4.2 Transactions between the Company and the company that its affiliated persons with members of Board of Directors, Board of Supervisors, General Director and other managers as a member of Board of Directors, General Director: None
  - 4.3. Other transactions of the Company (if any) may bring material or non- material benefits for members of Board of Directors, members of the Board of Supervisors, General Director and other managers: None.

**VIII. SHARE TRANSACTIONS OF INTERNAL PERSONS AND THEIR AFFILIATED PERSONS (ANNUAL REPORT)**

1. The list of internal persons and their affiliated persons: *Appendix 04*
2. Transactions of internal persons and affiliated persons with shares of the company: None.

**IX. OTHER SIGNIFICANT ISSUES: None**

**Recipients:**

- As above;
- Archived

**CHAIRMAN OF THE BOARD OF  
DIRECTORS**



**Sy Long Nguyen**



**APPENDIX 01: THE LIST OF AFFILIATED PERSONS OF THE COMPANY**

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
1	Mr. Sy Long Nguyen		Chairman of the Board of Directors			08/2024			Chairman of the Board of Directors
2	Mr. Van Hoa Dinh	059C027625	Vice Chairman of the Board of Directors and General Director.			07/2024			Vice Chairman of the Board of Directors and General Director.
3	Mr. Ngoc Chien Tran		Vice Chairman of the Board of Directors and Deputy General Director			04/2023			Vice Chairman of the Board of Directors, Deputy General Director.
4	Mr. Huu Minh Do		Member of the Board of Directors, Deputy General Director.			04/2023			Member of the Board of Directors, Deputy General Director.
5	Mr. Ngoc Bao Tran	005C1666686	Member of the Board of Directors			04/2023			Member of the Board of Directors



No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
6	Mrs. Hai Anh Kieu		Member of the Board of Directors			04/2023			Member of the Board of Directors
7	Mr. Hong Duc Tran	026CA17282	Member of the Board of Directors			08/2024			Member of the Board of Directors
8	Mrs. Thi Luyen Nguyen	033CC24921	Head of the Supervisory Board			04/2023			Head of the Supervisory Board
9	Mr. Van Ngon Nguyen	033CC24923	Member of the Supervisory Board			04/2023			Member of the Supervisory Board
10	Mr. Tai Dinh Pham	033CC24926	Member of the Supervisory Board			8/2024			Member of the Supervisory Board
11	Mrs. Thi Hong Phan Tran	033CC24928	Chief Accountant			04/2023			Chief Accountant
12	Mr. Manh Hung Mai		Deputy General Director			07/2024			Deputy General Director
13	Mr. Van Trung Vu		Person in charge of company			8/2024			Person in charge of company

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
			administration						administration
14	Ninh Binh Provincial People's Committee					12/2017			Major shareholder
15	Tien Phong Plastic Joint Stock Company					12/2017			Major shareholder
16	SETFIL Water Treatment Equipment Joint Stock Company.					12/2017			Major shareholder
17	Nam Dinh Tourism Joint Stock Company					12/2017			Major shareholder



**APPENDIX 02: TRANSACTIONS BETWEEN THE COMPANY AND ITS AFFILIATED PERSONS OR BETWEEN THE COMPANY AND ITS MAJOR SHAREHOLDERS, INTERNAL PERSONS AND AFFILIATED PERSONS**

No.	Name of organization/ individual	Relationship with the Company	NSH No.* date of issue, place of issue	Address	Time of transactions with the Company	Resolution No. or Decision No. approved by General Meeting of Shareholders/ Board of Directors (if any, specifying date of issue)	Content, quantity, total value of transaction	Note
1	SETFIL Water Treatment Equipment Joint Stock Company	Major Shareholder, Related Party of Insiders (Ms. Kieu Hai Anh – Member of the Board of Directors of Nam Dinh Water Supply Joint Stock Company, and General Director of SETFIL Water Treatment Equipment Joint Stock Company)	0102145558, first issued on January 19, 2007, at the Hanoi Department of Planning and Investment	Room 107, Building 19, Thanh Xuan Bac Ward, Thanh Xuan District, Hanoi City, Vietnam	January, 2025	01-2025/NQ-HĐQT dated January 15th, 2025	- Content Contract: Installation of treatment tank cluster, clean water storage tank, pump station facility, process pipeline, power supply for the treatment cluster, and overall electrical system for the pump station - Value: VND 8.9 billion	
2					April, 2025	01-2025/NQ-HĐQT dated January 15th, 2025	- Contract: Commercial Contract - Content: Procurement of 40 Dataloggers - Value: VND 795,872,000	

Note: NSH No.: ID card No./Passport No. (As for individuals) or Business Registration Certificate No., License on operations or equivalent legal documents (As for organisations).

**APPENDIX 03: TRANSACTIONS BETWEEN THE COMPANY AND THE COMPANY THAT ITS MEMBERS OF BOARD OF MANAGEMENT, THE BOARD OF SUPERVISORS, DIRECTOR (GENERAL DIRECTOR) HAVE BEEN FOUNDING MEMBERS OR MEMBERS OF BOARD OF DIRECTORS, OR CEOS IN THREE (03) LATEST YEARS (CALCULATED AT THE TIME OF REPORTING).**

No.	Name of organization/ individual	Relationship with the Company	NSH No.* date of issue, place of issue	Address	Time of transactions with the Company	Resolution No. or Decision No. approved by General Meeting of Shareholders/ Board of Directors (if any, specifying date of issue)	Content, quantity, total value of transaction	Note
1	SETFIL Water Treatment Equipment Joint Stock Company	Major Shareholder, Related Party of Insiders (Ms. Kieu Hai Anh – Member of the Board of Directors of Nam Dinh Water Supply Joint Stock Company, and General Director of SETFIL Water Treatment Equipment Joint Stock Company)	0102145558, first issued on January 19, 2007, at the Hanoi Department of Planning and Investment	Room 107, Building I9, Thanh Xuan Bac Ward, Thanh Xuan District, Hanoi City, Vietnam	January, 2025	01-2025/NQ-HDQT dated January 15th, 2025	- Content Contract: Installation of treatment tank cluster, clean water storage tank, pump station facility, process pipeline, power supply for the treatment cluster, and overall electrical system for the pump station - Value: VND 8.9 billion	
2					April, 2025	01-2025/NQ-HDQT dated January 15th, 2025	- Contract: Commercial Contract - Content: Procurement of 40 Dataloggers - Value: VND 795,872,000	



**APPENDIX 04: THE LIST OF INTERNAL PERSONS AND THEIR AFFILIATED PERSONS**

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
<b>1</b>	<b>Mr. Sy Long Nguyen</b>		Chairman of the Board of Directors					201,209	0.58	
<b>1.1</b>	<b>Affiliated organizations</b>									
<b>a</b>	Ninh Binh Provincial People's Committee							16,984,315	49.5	Representative of state-owned capital
<b>1.2</b>	<b>Affiliated person:</b>									
<b>a</b>	Ngoc Ho Nguyen							0	0	Father
<b>b</b>	Thi Dao Dinh							0	0	Mother
<b>c</b>	Thi Lan Do							0	0	Mother-in-law

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
d	Thi Hien Hoang							0	0	Wife
e	Hung Thinh Nguyen							0	0	Offspring
f	Ngoc Bao Nguyen							0	0	Offspring
g	Ngoc Hung Nguyen							0	0	Older brother
h	Thi Hoa Nguyen							0	0	Older sister



No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
i	Thi Nhiem Nguyen							0	0	Younger sibling
k	Thi Thanh Van Nguyen							0	0	Younger sibling
n	Thi Thuy Bui							0	0	sister-in-law
m	Mai Huy Dinh							0	0	Brother-in-law
o	Minh Tuan Pham							0	0	brother-in-law
p	Van Nam Nguyen							0	0	brother-in-law

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
2	Mr. Ngoc Chien Tran		Vice Chairman of the Board of Directors, Deputy General Director					7,200	0.02	
2.1	Affiliated organizations: None									
2.2	Affiliated person:									
a	Ngoc Tho Tran							0	0	Father
b	Thi Nguu Tran							0	0	Mother
c	Ngoc Giao Pham							0	0	Father-in-law





No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
d	Thi Thu Hang Pham							0	0	Wife
e	Thi Thuy Huyen Tran							0	0	Offspring
f	Khanh Duong Tran							0	0	Offspring
g	Thi Kim Thu Tran							0	0	Older sister
h	Van Phuong Nguyen							0	0	Brother-in-law

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
i	Thi Tuyet Nhung Tran							0	0	Younger sibling
k	Van Tuyen Le							0	0	Brother-in-law
m	Thi Kim Loan Tran							0	0	younger sibling
n	Xuan Hung Duong							0	0	Brother-in-law
3	Mr. Van Hoa Dinh		Vice Chairman of the Board of Directors and General Director.					122,176	0.36	
3.1	Affiliated									



No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
	<b>organizations:</b>									
a	Nam Dinh Tourism Joint Stock Company							6,347,673	18.50	Major shareholder
<b>3.2</b>	<b>Affiliated person:</b>									
a	Van Nam Dinh							0	0	Older brother
b	Thi Son Dinh							0	0	Older sister
c	Van Duong Dinh							0	0	Older brother
d	Van Quy Dinh							0	0	Older brother

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
e	Thi Hang Dinh							0	0	Younger sister
f	Thi Hong Ha Tran							0	0	Sister-in-law
g	Tuan Vinh Bui							0	0	Brother-in-law
h	Thi Luyen Nguyen							0	0	Sister-in-law
i	Thi Thu Dung Pham							0	0	Sister-in-law
l	Cong Minh Dang							0	0	Brother-in-law

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
n	Thi Yen Do							0	0	Mother-in-law
m	Thi Ngoc Oanh Tran							0	0	Wife
o	Thi Thu Dinh							0	0	Offspring
p	Thi Ha My Dinh							0	0	Offspring
q	Ha Phuong Dinh							0	0	Offspring



No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
r	Lan Phuong Dinh							0	0	Offspring
4	Mrs. Hai Anh Kieu		Member of the Board of Directors					0	0	
4.1	Affiliated organizations									
a	SETFIL Water Treatment Equipment Joint Stock Company							1,726,267	5.03	Major shareholder
5.2	Affiliated person:									

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
a	Van Ha Kieu							0	0	Father
b	Thi Ngoc Tu Vu							0	0	Mother
c	Van Tu Pham							0	0	Father-in-law
d	Thi Bich Thuy Le							0	0	Mother-in-law
e	Viet Anh Pham							0	0	Husband

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
f	Viet Truong Phuc Pham							0	0	Offspring
g	Viet Bao Han Pham							0	0	Offspring
5	Mr. Bao Ngoc Tran		Member of the Board of Directors					0	0	
5.1	Affiliated organizations									



No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
a	Tien Phong Plastic Joint Stock Company							4,632,086	13.50	Major shareholder
b	Simple Investment Joint Stock Company							0	0	
c	Tien Phong Equipment Technology Joint Stock Company							0	0	
d	Tien Phong Plastic South Joint Stock Company							0	0	
e	Tien Phong Land Company Limited							0	0	

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
f	Central Tien Phong Plastic Company Limited							0	0	
g	Tien Phong Packing Joint Stock Company							0	0	
5.2	<b>Affiliated person:</b>									
a	Thi Nga Pham							0	0	Mother
b	Bao Ngoc Tran							0	0	Younger sibling
c	Thi Thu Thao To							0	0	Wife



No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
d	Khanh Linh Tran							0	0	Offspring
e	Ngoc Bao Nam Tran							0	0	Offspring
f	Van Lien To							0	0	Father-in-law
g	Tien Dung Vo							0	0	Brother-in-law
6	Mr. Huu Minh Do		Member of the Board of Directors, Deputy General Director					6,200	0.018	
6.1	Affiliated organizations: None									





No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
6.2	Affiliated person:									
a	Huu Thong Do							0	0	Father
b	Thi Tinh Dinh							0	0	Mother
c	Van Dung Tran							0	0	Father-in-law
d	Thi Bich Thao Tran							55.105	0,16	Wife
e	Nguyen Hoang Do							0	0	Offspring
f	Tue Man Do							0	0	Offspring
g	Thi Van Do							0	0	Younger sibling

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
h	Thi Thanh Do							2,800	0.008	Younger sibling
i	Van Truong Dinh							0	0	Brother-in-law
l	Minh Hieu Pham							100	0.0003	Brother-in-law
7	Mr. Hong Duc Tran		Member of the Board of Directors					6,300	0.018	
7.1	Affiliated organizations: None									
7.2	Affiliated person:									
a	Thi Thanh Huong Tran							0	0	Older sister

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
b	Hai Cuong Bui							0	0	Brother-in-law
c	Trong Nghia Tran							0	0	Younger sibling
d	Thi Kim Yen Tran							0	0	Sister-in-law
e	Van Hien Bui							0	0	Father-in-law
f	Thi Minh Yen Doan							0	0	Mother-in-law



No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
g	Thi Huong Bui							0	0	Wife
h	Thi Bao Thoa Tran							0	0	Offspring
i	Thi Bao Chau Tran							0	0	Offspring
8	Mr. Manh Hung Mai		Member of the Board of Directors					7,600	0.02	
8.1	Affiliated organizations: None									
8.2	Affiliated person:									

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
a	Mr. Trung Cuong Mai							0	0	Father
b	Mrs. Thi Thuy Tran							0	0	Mother
c	Mr. Khac Vu Do							0	0	Father-in-law
d	Mrs. Thi Mai Truong							0	0	Mother-in-law
e	Mrs. Thi Van Anh Do		Staff					6,500	0.019	Wife
f	Mr. Trung Kien Mai							0	0	Offspring
g	Mr. Trung Duc Mai							0	0	Offspring

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
h	Mrs. Thi To Oanh Mai		Staff					6,500	0.019	Younger sister
i	Mr. Dinh Son Vu							0	0	Brother-in-law
k	Mrs. Thi Thuy Ngan Mai		Staff					7,200	0.02	Younger sister
n	Mr. Cong Luong Nguyen		Staff					7,200	0.02	Brother-in-law
m	Mr. Trung Tien Mai		Deputy Director of the Water Loss Prevention Branch					6,200	0.018	Younger brother

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
o	Mrs. Thi Tam Nguyen		Staff					6,000	0.017	Sister-in-law
9	Mrs. Thi Luyen Nguyen		Head of the Supervisory Board					55,705	0.16	
9.1	Affiliated organizations: None									
9.2	Affiliated person:									
a	Thi Tua Truong							0	0	Mother
b	Manh Ha Nguyen							55,605	0.16	Husband
c	Manh Hai Nguyen							0	0	Offspring



No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
d	Manh Dung Nguyen							0	0	Offspring
e	Huy Lieu Nguyen							0	0	Older brother
f	Thuy Lieu Nguyen							5,700	0.016	Older sister
g	Ngoc Luyen Nguyen							25,802	0.075	Younger sibling
h	Minh Dien Do							6,400	0.018	Sister-in-law
i	Hai Nhan Ly							0	0	Sister-in-law

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
K	Thi Minh Chau Le							0	0	Daughter-in-law
10	Mr. Van Ngon Nguyen		Member of the Supervisory Board					95,509	0.278	
10.1	Affiliated organizations: None									
10.2	Affiliated person:									
a	Van Kiem Nguyen							0	0	Father
b	Thi Xiem Vu							0	0	Mother
c	The Quyen Nguyen							0	0	Older brother
d	Linh Giang Dang							0	0	Sister-in-law

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
e	Manh Tuyen Nguyen							0	0	Older brother
f	Thi My Nghe Trinh							0	0	Sister-in-law
g	Thi Hong Linh Pham							0	0	Mother-in-law
h	Thi Phong Lan Nguyen							0	0	Wife
i	Linh Trang Nguyen							0	0	Offspring
k	Ha My Nguyen		Still a child					0	0	Offspring
n	Viet Duc Nguyen		Still a child					0	0	Offspring

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
11	Mr. Tai Dinh Pham		Member of the Supervisory Board					106,910	0.31	
11.1	Affiliated organizations: None									
11.2	Affiliated person:									
a	Thi Gai Tran							0	0	Mother-in-law
b	Thi Thanh Thao Vu							6,300	0.0018	Wife
c	Thi Thu Huong Pham							0	0	Offspring
d	Thi Thu Hien Pham							0	0	Offspring



No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
e	Tai Son Pham							0	0	Older brother
f	Thi Chuc Pham							0	0	Sister-in-law
g	Thi Ha Pham							0	0	Older sister
h	Cong Do Tran							0	0	Brother-in-law
i	Tai Ninh Pham							0	0	Older brother
k	Thi To Tong							0	0	Sister-in-law

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
l	Thi Hoe Pham							0	0	Younger sister
m	Van Vien Bui							0	0	Brother-in-law
12	Mrs. Thi Hong Phan Tran		Chief Accountant					105,381	0.307	
12.1	Affiliated organizations: None									
12.2	Affiliated person:									
a	Thi Loc Tran							0	0	Mother
b	Thi Hong Van Tran							10,700	0,03	Older sister
								10,700	0.03	

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
c	Trung Quyet Pham							0	0	Brother-in-law
d	Thi Minh Thu Tran							7,100	0.02	Younger sibling
e	Van Thinh Nguyen							6,300	0.018	Brother-in-law
f	Thi Nhu Quynh Tran							0	0	Younger sibling
g	Hong Thang Ta							0	0	Brother-in-law
h	Thi Nhu Hoa Tran							0	0	Younger sibling

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
i	Quoc Khanh Tran							0	0	Brother-in-law
k	Van Minh Tran							0	0	Husband
n	Tuan Anh Tran							0	0	Offspring
m	Ngoc Anh Tran							0	0	Offspring
13	Mr. Van Trung Vu		Person in charge of company administration					6,700	0.019	
13.1	Affiliated organizations: None									



No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
13.2	<b>Affiliated person:</b>									
a	Van Binh Vu							0	0	Father
b	Thi Hong Dang							0	0	Mother
c	Thi Khen Nguyen							0	0	Mother-in-law
d	Thi Thanh Tra Luong							6,600	0.019	Wife
e	Minh Duc Vu							0	0	Offspring
f	Thuy Duong Vu							0	0	Offspring

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
g	Thi Thuy Vu							0	0	Younger sister
h	Van Phuong Mai							0	0	Brother-in-law
i	Van Chinh Vu							0	0	Younger brother
k	Thi Hang Nguyen							0	0	Sister-in-law