

**STANLEY BROTHERS SECURITIES  
INCORPORATION**

**THE SOCIALIST REPUBLIC OF VIETNAM  
Independence - Freedom - Happiness**

No: 049/2026/CV-SBSI

Hanoi, January 26<sup>th</sup>, 2026

Ref: Approval of the results of the private placement of  
shares, changes to the charter capital, and  
amendments to the Company Charter.

**EXTRAORDINARY DISCLOSURE**

**To: The State Securities Commission;  
Vietnam Exchange;  
Ha Noi Stock Exchange;  
Ho Chi Minh Stock Exchange.**

**1. Name of organization: Stanley Brothers Securities Incorporation**

- Stock code: VUA
- Broker code: 088
- Address: 9th Floor, ROX Tower, No. 54A, Nguyen Chi Thanh, Lang Ward, Hanoi City
- Tel: (+84) 24 3377 6699 Fax: (+84) 24 3373 6699
- E-mail: cbtt@sbsi.vn
- Website: <https://sbsi.vn>

**2. Content of published information:**

Resolution of the Board of Directors No. 007/2026/NQ-HĐQT dated January 26<sup>th</sup>, 2026 approving the results of the implementation of the private placement of shares to professional investors in accordance with Resolution of the 1<sup>st</sup> Extraordinary General Meeting of Shareholders in 2025 No. 02/2025/NQ-DHĐCĐ dated October 14<sup>th</sup>, 2025 of Stanley Brothers Securities Incorporation.

3. This information has been published on the company's website on 26/01/2026 at the link:  
<https://sbsi.vn/vi-vn/ve-sbsi/bai-viet/quan-he-co-dong/cong-bo-thong-tin/E21>

We hereby confirm that the information disclosed above is true and accurate, and we take full legal responsibility for the disclosed information.

**Attached documents:**  
- Reso.007/NQ-HĐQT

**Recipients:**  
- As above.  
- Archives: CBTT.

**Organization representative**  
Legal representative  
(Signature, full name, position, and seal)



**General Director**  
**Nguyen Tien Dung**



No.: *07*.../2026/NQ-HDQT

Hanoi, *26/01*..... 2026

**RESOLUTION**

*Re: Approval of the results of private placement, change of charter capital and amendment of the Company's Charter*

**BOARD OF DIRECTORS OF STANLEY BROTHERS SECURITIES  
INCORPORATION**

- Pursuant to the Law on Enterprises No. 59/2020/QH14 approved by the National Assembly of the Socialist Republic of Vietnam on June 17, 2020 and guiding documents, amending and supplementing documents;
- Pursuant to the Law on Securities No. 54/2019/QH14 approved by the National Assembly of the Socialist Republic of Vietnam on November 26, 2019 and its guiding documents, amendments and supplements;
- Pursuant to the Government's Decree No. 155/2020/ND-CP dated December 31, 2020 detailing the implementation of a number of articles of the Securities Law;
- Pursuant to the Government's Decree No. 245/2025/ND-CP dated September 11, 2025 amending and supplementing a number of articles of Decree No. 155/2020/ND-CP dated December 31, 2020;
- Pursuant to the Charter of Stanley Brothers Securities Incorporation;
- Pursuant to the Resolution of the 1st Extraordinary General Meeting of Shareholders in 2025 No. 02/2025/NQ-ĐHDCĐ dated October 14, 2025 of Stanley Brothers Securities Joint Stock Company;
- Pursuant to the Resolution of the Board of Directors No. 17/2025/NQ-HDQT dated 26/11/2025, supplemented by the Resolution of the Board of Directors No. 25/2025/NQ-HDQT dated 22/12/2025 on approving the implementation of the private placement plan to increase charter capital;
- Pursuant to Official Letter No. 186/UBCK-QLKD dated 08/01/2026 on the dossier of registration for private placement of shares of the Company;
- Pursuant to the Minutes of the meeting No. *07*.../2026/BB-HDQT of the Board of Directors of the Company on *26/01/2026*... on the approval of the results of the private placement of shares, changes in charter capital and amendments to the Company's Charter;

**RESOLVED THAT**

**Article 1:** Approving the results of the private placement of shares to professional investors according to the Resolution of the 1st Extraordinary General Meeting of Shareholders in 2025 No. 02/2025/NQ-ĐHDCĐ dated October 14, 2025 of Stanley Brothers Securities Joint Stock Company, specifically as follows:





- Total number of shares registered for private placement to professional investors: 166,100,000 shares;
- Total number of shares distributed: 166,100,000 shares, accounting for 100% of the total number of shares registered for offering;
- Offering price: 10,000 VND/share;
- Total proceeds from the offering: 1,661,000,000,000 VND (*In words: One thousand six hundred and sixty-one billion VND*);
- Transfer restrictions: Shares issued privately to professional securities investors are restricted for transferring for at least 01 year from the end of the offering (except for court/arbitral decisions or inheritance as per law)
- List of Investors Buying Stocks

No.	Investor Name	Number of the Business Registration Certificate or other equivalent documents/Identity card/Citizen identity card/Passport	Eligibility		Number of shares owned before the offering	Number of shares distributed	Total shares owned after the offering	Ownership ratio after the offering (%)
			Strategic Investor/Professional Investor	Foreign investors/economic organizations with foreign investors holding more than 50% of charter capital/Domestic investors				
1	Gen Cons Vietnam Construction Investment Joint Stock Company	0106831501	Professional Investor	Domestic Investors	8.352.880	40.926.600	49.279.480	24,640%
2	Nam Quang Infrastructure Development and Investment Joint Stock Company	0800274112	Professional Investor	Domestic Investors	8.348.680	40.906.000	49.254.680	24,627%
3	Thanh Vinh Real Estate Development Investment Joint Stock Company	2901953072	Professional Investor	Domestic Investors	6.564.909	42.435.000	48.999.909	24,500%
4	VIPICO COMPANY LIMITED	0104033560	Professional Investor	Domestic Investors	6.217.790	25.832.400	32.050.190	16,025%
5	Trong Hung Trading and Service Joint Stock Company	0110334132	Professional Investor	Domestic Investors	0	8.000.000	8.000.000	4,000%
6	Hung Thinh Construction Real Estate Joint Stock Company	0107073787	Professional Investor	Domestic Investors	0	8.000.000	8.000.000	4,000%
Total					29.484.259	166.100.000	195.584.259	97,792%





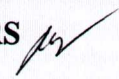
**Article 2:** To approve the increase of the charter capital of Stanley Brothers Securities Incorporation from VND 339,000,000,000 (*In words: Three hundred and thirty-nine billion VND*) to VND 2,000,000,000,000 (*In words: Two trillion VND*).

**Article 3.-** Authorize the General Director to carry out procedures for depository registration, registration of additional number of issued shares, adjustment of enterprise registration certificates, adjustment and amendment of the Charter according to the new charter capital.

**Article 4.-** This Resolution takes effect from the date of its signing. Members of the Board of Directors, the Board of Directors and relevant departments shall be responsible for the implementation of this Resolution.

**Recipients:**

- As in Article 4;
- Save the Company;
- Office of the Board of Directors;

ON BEHALF OF BOARD OF DIRECTORS  
CHAIRMAN OF THE BOARD OF  
DIRECTORS 



**HO LE VIET HUNG**

