

**JOINT STOCK COMPANY
HA TAY TRADE**

Number: 01/BCQT-HTT

**SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness**

Hanoi , January 28 , 2026

**REPORT ON THE GOVERNANCE OF LISTED COMPANIES
(2025)**

Dear: - State Securities Commission
- Hanoi Stock Exchange

- Name of listing company: Ha Tay Trading Joint Stock Company
- Address of head office: 2nd Floor, 89 Phung Hung Building, Ha Dong District, Hanoi
- But Phone : 04 3354 7252 Fax: 04 3311 3052 Email: congtycptmhatay@gmail.com
- Charter capital: 200,000,000,000 VND
- Stock code : HTT

I. Activities of the General Meeting of Shareholders

Information on meetings and resolutions/decisions of the General Meeting of Shareholders (including resolutions of the General Meeting of Shareholders adopted through written ballot) :

No.	Resolution/Decision Number	Date	Content

II. Board of Management (2025) / Semi-annual/annual reports:

1. Information about the members of the Board of Management :

No .	Board of Directors Member	Position	Day becoming a member of the Board of Management	Number of Board of Directors meetings attended	Meeting attendance rate/ Percentage	Reasons for absence from the meeting.
1	Mr. Dao Van Chien	Chairman of the Board	June 23, 2018	1	100%	
2	Mr. Nguyen	Board	June 23,	1	100%	

	Duc Dinh	Member	2018			
3	Ms. Tran Thu Phuong	Board Member	June 23, 2018	1	100%	
4	Mr. Tran Van Cong	Board Member	June 23, 2018	1	100%	
5	Ms. Dinh Thi Thuy Hang	Board Member	June 23, 2018	1	100%	

2. Supervision by the Board of Directors over *the General Director*:

The Board of Directors regularly monitors closely and provides timely support to the operational activities of the General Director's Board and the General Director's support staff.

3. Activities of *the Board of Directors' committees* : Do not have

4. Resolutions/Decisions of the Board of Directors (2025)/ *Resolutions/Decisions of the Board of Directors (Semi-annual/annual reports)*:

No.	Resolution /Decision No.	Date	Content
1	Resolution No. 01.225/NQ-HDQT/HTT	January 22, 2025	The meeting unanimously approved the business and production plan for the first quarter of 2025 and the maintenance plan for the buildings at 7 Tran Phu and 89 Phung Hung.
2	No. 02.225/NQ-HDQT/HTT	June 23, 2025	The Business Production Plan for the second quarter of 2025 was unanimously approved.
3	Resolution No. 03.225/NQ-HDQT/HTT	September 10, 2025	The Business Production Plan for the third quarter of 2025 was unanimously approved.
4	Resolution No. 04.225/NQ-HDQT/HTT	October 16, 2025	The Business Production Plan for the fourth quarter of 2025 was unanimously approved.

III. Supervisory Board (2025) (Semi-annual/annual reports):

1. Information about the members of the Supervisory Board :

No.	Supervisor y Board Member	Position	Start date as a member of the Supervisory Board	Number of Supervisory Board meetings attended	Meeting attendance rate	Reasons for not attending the meeting.
1	Le Thi	Head of	June 23, 2018	1	100%	

	Mai	the Supervis ory Board				
2	Nguyen Thi Hong Hanh	Supervis ory Board Member	June 23, 2018	1	100%	
3	Vu Thi Thanh Thuy	Supervis ory Board Member	June 23, 2018	1	100%	

2. Supervisory activities of the Supervisory Board over the Board of Directors, the Executive Management Board, and shareholders :

The Supervisory Board has conducted inspections and supervised compliance with the provisions of the Enterprise Law and the Company's charter in managing and operating all of the Company's business activities.

The Supervisory Board regularly monitors the activities of the Board of Directors and the General Management Board closely.

3. Coordination of activities between the Supervisory Board and the Board of Directors, the Executive Management Board, and other management personnel :

The Supervisory Board has received cooperation and support from the Board of Directors, the General Director, and the functional departments of the Company in updating the Company's operational status and collecting information and documents to serve its inspection and supervision work.

IV. Training on corporate governance :

Training on leadership skills for members of the Board of Directors.

V. List of related parties of the listed company as stipulated in Clause 34, Article 6 of the Securities Law (2025 Report) and transactions of related parties of the company with the Company itself :

1. List of company associates :

No.	Name of organization/individual	Securities trading account (if any)	Job title at the company (if any)	NSH Certificate Number*, Date of Issue, Place of <i>Issue</i>	Head office address/ Contact address	The starting point is when the person is involved.	The point at which one is no longer involved.	Reason
1	Dao Van Chien		Chairman of the Board and CEO					
	biological father							
	Mother: Dong Thi Tan							
	Wife: Vu Thi Xuan							
	Boy: Dao Vu Thang							
	Daughter: Dao Hieu Hanh							
	Younger sister: Dao Thi Cam							
2	Tran Thu Phuong		Board Member					
	Biological father: Tran Van Giap							

	Biological mother: Nguyen Thi Khuong							
	Husband: Vu Xuan Tung							
	Younger sister: Tran Hai Thanh							
	Younger brother: Tran Truong Giang							
	Daughter: Vu Hong Nhung							
	Son: Vu Dong Bach							
3	Tran Van Cong		Board Member					
	Wife: Nguyen Thi Kim Lan							
	Son: Tran Duc Thang							
	Daughter: Tran Minh Ngoc							
	Older brother: Tran Quang Tho							
	Older sister: Tran Thi Thanh							
	Older sister: Tran Thi Thuc							

	Younger sister: Tran Thi Huong							
	Younger sister: Tran Thi Hoa							
	Younger sister: Tran Thi Hue							
4	Nguyen Duc Dinh		Board Member and Deputy General Director					
	Wife: Nguyen Thi Kim Loan							
	Son: Nguyen Duc Viet							
	Son: Nguyen Duc Hung							
	Older brother: Nguyen Duc Dinh							
	Older brother: Nguyen Duc Doan							
5	Dinh Thi Thuy Hang		Board Member					
	Biological father: Dinh Van Viet							
	Biological mother: Dinh Thi Cuc							

	Older brother: Dinh Van Hoan							
	Older sister: Dinh Thi Huong							
	Husband: Nguyen Hoang Long							
	Son: Nguyen Hoang Anh Duc							
	Daughter: Nguyen Hoang Thai An							
6	Le Thi Mai		Head of the Supervisory Board					
	Mother: Le Thi Thuy							
	Younger brother: Le Tien Truong							
7	Nguyen Thi Hong Hanh		Member of the Supervisory Board					
	Biological father: Nguyen Hong Lung							
	Biological mother: Nguyen Thi Thuan							
	Younger sister: Nguyen Thi Hong							

	Thuy							
	Husband: Le Thanh Luan							
	Biological child: Le Hai Linh							
	Biological child: Le Tien Loc							
8	Van Thi Thanh Thuy		Member of the Supervisory Board					
	Biological father: Van Ngoc Phu							
	Biological mother: Van Thi Loan							
9	Dinh Thi Thuy Hang		Chief Accountant					
	Biological father: Dinh Van Viet							
	Biological mother: Dinh Thi Cuc							
	Older brother: Dinh Van Hoan							
	Older sister: Dinh Thi Huong							
	Husband: Nguyen							

	Hoang Long							
	Son: Nguyen Hoang Anh Duc							
	Daughter: Nguyen Hoang Thai An							

2. Transactions between the company and its related parties; or between the company and major shareholders, insiders, or related parties of insiders : None
3. Transactions between insiders of listed companies , related parties of insiders, and subsidiaries or companies controlled by listed companies : None
4. Transactions between the company and other parties : None

VI. Insider and related-party stock transactions (2025 Report) :
1. List of insiders and related parties of insiders :

No.	Full <i>name</i>	Securities trading account (if any)	Job title at the company (if any)	ID/Passport number, date of issue, place of issue	Contact address	Number of shares held at the end of the period (CP)	Ending stock ownership ratio	Note
1	Dao Van Chien		Chairman of the Board and CEO			4,364,130	21.82%	
	biological father							
	Mother: Dong Thi Tan					0	0	
	Wife: Vu Thi Xuan					0	0	
	Boy: Dao Vu Thang					0	0	
	Daughter: Dao Hieu Hanh					0	0	
	Younger sister: Dao Thi Cam					0	0	
2	Tran Thu Phuong		Board Member			0	0	
	Biological father: Tran Van Giap					0	0	

	Biological mother: Nguyen Thi Khuong					0	0	
	Husband: Vu Xuan Tung					100	0.0005%	
	Younger sister: Tran Hai Thanh					100	0.0005%	
	Younger brother: Tran Truong Giang					100	0.0005%	
	Daughter: Vu Hong Nhung					0	0	
	Son: Vu Dong Bach					0	0	
3	Tran Van Cong		Board Member			160,000	0.8%	
	Wife: Nguyen Thi Kim Lan					0	0	
	Son: Tran Duc Thang					0	0	
	Daughter: Tran Minh Ngoc					0	0	
	Older brother: Tran Quang Tho					0	0	
	Older sister: Tran Thi Thanh					0	0	

	Older sister: Tran Thi Thuc					0	0	
	Younger sister: Tran Thi Huong					0	0	
	Younger sister: Tran Thi Hoa					0	0	
	Younger sister: Tran Thi Hue					0	0	
4	Nguyen Duc Dinh		Board Member			1,000	0.005 %	
	Wife: Nguyen Thi Kim Loan					0	0	
	Son: Nguyen Duc Viet					0	0	
	Son: Nguyen Duc Hung					0	0	
	Older brother: Nguyen Duc Dinh					0	0	
	Older brother: Nguyen Duc Doan					0	0	
5	Dinh Thi Thuy Hang		Board Member			0	0	
	Biological father: Dinh Van Viet					0	0	

	Biological mother: Dinh Thi Cuc					0	0	
	Older brother: Dinh Van Hoan					0	0	
	Older sister: Dinh Thi Huong					0	0	
	Husband: Nguyen Hoang Long					0	0	
	Son: Nguyen Hoang Anh Duc					0	0	
	Daughter: Nguyen Hoang Thai An					0	0	
6	Le Thi Mai		Head of the Supervisory Board			0	0	
	Mother: Le Thi Thuy					0	0	
	Younger brother: Le Tien Truong					0	0	
7	Nguyen Thi Hong Hanh		Member of the Supervisory Board			0	0	

	Biological father: Nguyen Hong Lung					100	0.0005%	
	Biological mother: Nguyen Thi Thuan					100	0.0005%	
	Younger sister: Nguyen Thi Hong Thuy					100	0.0005%	
	Husband: Le Thanh Luan					0	0	
	Biological child: Le Hai Linh					0	0	
	Biological child: Le Tien Loc					0	0	
8	Van Thi Thanh Thuy		Member of the Supervisory Board			0	0%	
	Biological father: Van Ngoc Phu					0	0	
	Biological mother: Van Thi Loan					0	0	
9	Dinh Thi Thuy Hang		Chief Accountant			0	0	

	Biological father: Dinh Van Viet					0	0	
	Biological mother: Dinh Thi Cuc					0	0	
	Older brother: Dinh Van Hoan					0	0	
	Older sister: Dinh Thi Huong					0	0	
	Husband: Nguyen Hoang Long					0	0	
	Son: Nguyen Hoang Anh Duc					0	0	
	Daughter: Nguyen Hoang Thai An					0	0	

2. Insider and related party transactions involving shares of listed companies : None
important issues to note : None

CHAIRMAN OF THE BOARD



DAO VAN CHIEN