

Số: 64/CBTT-CKHP

No.: 64/CBTT-CKHP

V/v: CBTT báo cáo tình hình quản trị
Công ty năm 2025/ Announcement of
corporate governance report 2025

Hải Phòng, ngày 30 tháng 01 năm 2026

Hai Phong, January 30, 2026

CÔNG BỐ THÔNG TIN /INFORMATION DISCLOSURE

Kính gửi/To:

- Ủy ban Chứng khoán Nhà nước
- Sở Giao dịch Chứng khoán Việt Nam
- Sở Giao dịch Chứng khoán Hà Nội
- *State Securities Commission*
- *Vietnam Stock Exchange*
- *Hanoi Stock Exchange*

1. Tên tổ chức/Name of organization: **CTCP Chứng khoán Hải Phòng/ Hai Phong Securities Joint Stock Company**

2. Mã chứng khoán/Stock code: **HAC**

3. Địa chỉ trụ sở chính/ Head office address: **Số 7, lô 28A, Lê Hồng Phong, Phường Gia Viên, TP. Hải Phòng/ No. 7, Lot 28A, Le Hong Phong, Gia Viên Ward, Hai Phong City.**

4. Điện thoại/Tel.: 0225.3842335 Fax: 0225.3746266

5. Người thực hiện công bố thông tin/ Person authorized to disclose information:

Đoàn Thị Thuý

6. Địa chỉ/ Address: **Số 7, lô 28A, Lê Hồng Phong, Phường Gia Viên, TP. Hải Phòng/ No. 7, Lot 28A, Le Hong Phong, Gia Viên Ward, Hai Phong City.**

7. Loại thông tin công bố/ Type of information published: **Định kỳ/ Periodically**

8. Nội dung của thông tin công bố/ Contents of disclosure:

Báo cáo tình hình quản trị Công ty Cổ phần Chứng khoán Hải Phòng năm 2025

Report on the governance situation of Hai Phong Securities Joint Stock Company in 2025

9. Thông tin này đã được công bố trên trang thông tin điện tử của công ty vào ngày 30/01/2026 tại đường dẫn: <https://haseco.vn/tabaoct/bao-cao-quan-tri/>

This information was published on the company's website on January 30, 2026, as in the link: <https://haseco.vn/tabaoct/bao-cao-quan-tri/>



Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố/ *We hereby certify that the information provided is true and correct and we bear the full responsibility to the law.*

Tài liệu đính kèm/Attached documents:

Báo cáo số: 62/2026/BC-CKHP ngày
29/01/2026/ *Report No.:* 62/2026/BC-CKHP
dated January 29, 2026.

Nơi nhận/Recipient:

- Như trên/As above;
- Lưu: P.HCNS/ Archives: A&HR D.

Đại diện tổ chức

Organization representative

Người được Ủy quyền CBTT

Person authorized to disclose information

(Ký, ghi rõ họ tên, chức vụ, đóng dấu)

(Signature, full name, position, and seal)



Đoàn Thị Thúy



**HAI PHONG SECURITIES JOINT
STOCK COMPANY**

No.: 62/2026/BC-CKHP

SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

Hai Phong, January 29, 2026

**REPORT ON CORPORATE GOVERNANCE
(2025)**

To: - The State Securities Commission;
- Vietnam Stock Exchange;
- Hanoi Stock Exchange.

- Name of company: Hai Phong Securities Joint Stock Company
- Address of head office: No. 7, Lot 28A, Le Hong Phong Street, Gia Vien Ward, Hai Phong City.
- Telephone: 0225 3842335 Fax: 0225 3746266 Email: haseco@haseco.vn
- Charter capital: VND 1,291,810,960,000
- Stock symbol: HAC
- Governance model: The corporate governance model includes: the General Shareholders' Meeting, the Board of Directors, the Audit Committee reporting to the Board of Directors, and the General Director.
- The implementation of internal audit: Implemented.

I. Activities of the General Meeting of Shareholders

Information on meetings and resolutions/decisions of the General Meeting of Shareholders (including resolutions of the General Meeting of Shareholders adopted through written ballots):

No.	Resolution/Decision Number	Day	Content
1	02/2025/NQ/ĐHĐCĐ-CKHP	June 26, 2025	Resolution of the 2025 Annual General Meeting of Shareholders

II. Board of Directors (2025 Report):

1. Information about the members of the Board of Directors:

No.	Board Member	Position (<i>Independent members of the Board of Directors, Non-executive members of the Board of Directors</i>)	The date becoming/ceasing to be the member of the Board of Directors	
			Date of appointment	Date of dismissal
1	Mr. Ninh Le Son Hai	Chairman of the Board		June 26, 2025
2	Ms. Nguyen Thi Mai	Executive Board Member		June 26, 2025

No.	Board Member	Position (<i>Independent members of the Board of Directors, Non-executive members of the Board of Directors</i>)	The date becoming/ceasing to be the member of the Board of Directors	
			Date of appointment	Date of dismissal
3	Ms. Ly Thi Thu Ha	Non-executive board members		June 26, 2025
4	Mr. Chu Viet Ha	Non-executive board members		June 26, 2025
5	Mr. Le Ngoc Hai	Non-executive board members		June 26, 2025
6	Mr. Dao Le Huy	The Chairman of the Board is not an executive.	June 26, 2025	
7	Ms. Ngo Thi Song Ngan	Executive Board Member	June 26, 2025	
8	Mr. Nguyen Tuan Anh	Independent Board Member	June 26, 2025	

2. Meetings of the Board of Directors:

No.	Board of Director' member	Number of meetings attended by Board of Directors	Attendance rate	Reasons for absence.
1	Mr. Ninh Le Son Hai	6/29	100%	The resignation takes effect from June 26, 2025, so subsequent meetings will not be attended.
2	Ms. Nguyen Thi Mai	6/29	100%	The resignation takes effect from June 26, 2025, so subsequent meetings will not be attended.
3	Ms. Ly Thi Thu Ha	6/29	100%	The resignation takes effect from June 26, 2025, so subsequent meetings will not be attended.
4	Mr. Chu Viet Ha	6/29	100%	The resignation takes effect from June 26, 2025, so subsequent meetings will not be attended.
5	Mr. Le Ngoc Hai	6/29	100%	The resignation takes effect from June 26, 2025, so subsequent meetings will not be attended.
6	Mr. Dao Le Huy	23/29	100%	Attend Board of Directors meetings from June 26, 2025.
7	Ms. Ngo Thi Song Ngan	23/29	100%	Attend Board of Directors meetings from June 26, 2025.
8	Mr. Nguyen Tuan Anh	23/29	100%	Attend Board of Directors meetings from June 26, 2025.

3. Supervising the Board of Management by the Board of Directors:

Based on the Company Charter and the Internal Regulations on Corporate Governance, the Board of Directors has conducted inspections and supervision of the General Director and the Executive

Board in managing business operations and implementing resolutions of the General Meeting of Shareholders and the Board of Directors in 2025 as follows:

- Supervision method: The Board of Directors supervises the General Director and the Executive Board in organizing, implementing, and executing resolutions of the General Meeting of Shareholders and the Board of Directors. At Board of Directors meetings, the Board reviews the implementation of resolutions, the quarterly and cumulative business performance, discusses and approves resolutions for the General Director and the Executive Board to use as a basis for implementation.
- Monitoring results: In the context of a global economy affected by inflation, interest rates, geopolitical conflicts, and trade negotiations worldwide, the Board of Directors acknowledges the efforts of the General Director and the Executive Board in overcoming difficulties affecting the Company's operations, complying with current legal regulations and the Company's operating rules.

4. Activities of the Board of Directors' subcommittees:

Based on the Shareholders' General Meeting Resolution No. 02/2025/NQ/ĐHĐCĐ-CKHP approving the change in the organizational structure and operation of Hai Phong Securities Joint Stock Company and the Board of Directors' Resolution No. 15.2/2025/NQ-CKHP dated June 26, 2025, the Company's Audit Committee (AC) was established. Accordingly, Mr. Nguyen Tuan Anh – Independent Member of the Board of Directors – was elected Chairman of the AC, and Mr. Dao Le Huy – Chairman of the Board of Directors – is a member of the AC. The AC has developed and finalized its operating regulations in accordance with legal regulations and the Company's internal procedures/regulations, which have been approved and promulgated by the Board of Directors under Resolution No. 17.1/2025/NQ-CKHP dated July 1, 2025.

5. Resolutions/Decisions of the Board of Directors (2025 Report)

No.	Resolution/Decision Number	Date	Content	Approval rate
1	02/2025/NQ-CKHP	January 2, 2025	The relevant matters fall under the authority of the Board of Directors.	60%
2	02.1/2025/NQ-CKHP	January 2, 2025	Approval of providing advance payment services for securities sales to customers	60%
3	04.1/2025/NQ-CKHP	January 24, 2025	Through the transfer of investment	60%
4	04.2/2025/NQ-CKHP	January 24, 2025	Through the appointment of the Company's Deputy General Director.	60%
5	06.1/2025/NQ-CKHP	April 2, 2025	The relevant matters fall under the authority of the Board of Directors.	60%

No.	Resolution/Decision Number	Date	Content	Approval rate
6	06.2/2025/NQ-CKHP	April 2, 2025	The relevant matters fall under the authority of the Board of Directors.	100%
7	08/2025/NQ-CKHP	May 5, 2025	By finalizing the list of shareholders to hold the 2025 Annual General Meeting.	100%
8	10.3/2025/NQ-CKHP	May 28, 2025	Through the appointment of the Company's Deputy General Director.	100%
9	10.1/2025/NQ-CKHP	June 3, 2025	Planning for the 2025 Annual General Meeting of Shareholders	100%
10	10.2/2025/NQ-CKHP	June 3, 2025	The relevant matters fall under the authority of the Board of Directors.	100%
11	13/2025/NQ-CKHP	June 23, 2025	Through adjustments and additions to the documents for the 2025 Annual General Meeting of Shareholders.	100%
12	15.1/2025/NQ-CKHP	June 26, 2025	Election of the Chairman of the Board of Directors for the term 2021-2026; Change of the Company's legal representative.	100%
13	15.2/2025/NQ-CKHP	June 26, 2025	Issuing the Company Charter and regulations approved by the General Meeting of Shareholders in accordance with Resolution 02/2025/NQ/ĐHĐCĐ-CKHP and other matters within the authority of the Board of Directors.	100%
14	15.3/2025/NQ-CKHP	June 26, 2025	Issuing the Company Charter and regulations approved by the General Meeting of Shareholders in accordance with Resolution 02/2025/NQ/ĐHĐCĐ-CKHP and other matters within the authority of the Board of Directors.	100%
15	15.4/2025/NQ-CKHP	June 26, 2025	Approval of bond investments	100%
16	15.5/2025/NQ-HĐQT	June 26, 2025	Approval of the Proprietary Trading Plan of Hai Phong Securities Joint Stock Company	100%
17	17/2025/NQ-CKHP	July 1, 2025	Through investment in certificates of deposit	100%
18	17.1/2025/NQ-CKHP	July 1, 2025	Issuing the Regulations on the Operation of the Audit Committee	100%
19	19/2025/NQ-CKHP	July 1, 2025	Investment advance	100%
20	18/2025/NQ-CKHP	03/07/2025	Through the transfer of investment	100%
21	21/2025/NQ-CKHP	July 25, 2025	Delegate reporting system permissions and establish a permission system at all levels.	100%
22	23.1/2025/NQ-HĐQT	August 1, 2025	Issuing Regulations on the Organizational Structure of Hai Phong Securities Joint Stock Company	100%

No.	Resolution/Decision Number	Date	Content	Approval rate
23	23.3/2025/NQ-HĐQT	August 1, 2025	Issuing internal regulations of the Company	100%
24	23.2/2025/NQ-HĐQT	August 11, 2025	Dismissal and appointment of the Head of Internal Audit Department	100%
25	25/2025/NQ-HĐQT	August 25, 2025	Through the plan of using borrowed capital from credit institutions.	100%
26	27/2025/NQ-HĐQT	September 1, 2025	Issuing internal company documents	100%
27	29/2025/NQ-HĐQT	September 9, 2025	Through the approval of a credit limit at National Commercial Bank.	100%
28	31/2025/NQ-HĐQT	September 10, 2025	Approval of bond investments	100%
29	33/2025/NQ-CKHP	September 25, 2025	Implement the plan for issuing shares privately.	100%
30	35/2025/NQ-CKHP	September 26, 2025	Through the registration documents for the private placement of shares.	100%
31	37/2025/NQ-HĐQT	September 30, 2025	Through investment in certificates of deposit	100%
32	37.1/2025/NQ-HĐQT	September 30, 2025	Through the decision to advance investment	100%
33	39/2025/NQ-HĐQT	October 8, 2025	Approval of bond investments	100%
34	41/2025/NQ-HĐQT	October 13, 2025	Dismissal and appointment of the Head of Internal Audit Department	100%
35	43/2025/NQ-HĐQT	October 15, 2025	Appointment of General Director	100%
36	45/2025/NQ-HĐQT	October 24, 2025	Through credit limit approval at Vietnam Joint Stock Commercial Bank for Industry and Trade.	100%
37	47/2025/NQ-HĐQT	October 24, 2025	Through credit limit approval at the Electricity Corporation's General Finance Company.	100%
38	51/2025/NQ-HĐQT	November 13, 2025	Through credit limit approval at the Vietnam Investment and Development Bank (BIDV).	100%
39	53/2025/NQ-HĐQT	November 19, 2025	Through investment in certificates of deposit	100%
40	52.2/2025/NQ-HĐQT	November 28, 2025	Issuing regulations on salaries, bonuses, and benefits for employees.	100%
41	55/2025/NQ-HĐQT	December 5, 2025	Through credit limit approval at Vietnam Maritime Commercial Bank.	100%
42	57/2025/NQ-HĐQT	December 10, 2025	Through debt instrument investments	100%
43	57.1/2025/NQ-HĐQT	December 15, 2025	Through debt instrument investments	100%
44	57.2/2025/NQ-HĐQT	December 16, 2025	Through investment in Certificates of Deposit	100%

No.	Resolution/Decision Number	Date	Content	Approval rate
45	59/2025/NQ-HĐQT	December 15, 2025	Approval of the proprietary trading plan of Hai Phong Securities Joint Stock Company.	100%

III. Board of Supervisors/Audit Committee (2025 Report):

1. Information about members of Board of Supervisors or Audit Committee:

No.	Members of Board of Supervisors/ Audit Committee	Position	The date becoming/ceasing to be the member of the Board of Supervisors/ Audit Committee	Qualification
1	Mr. Pham Minh Hieu	Former Head of the Supervisory Board	He will no longer be the head of the Supervisory Board from June 26, 2025.	Bachelor of Finance
2	Mr. Nguyen Trung Kien	Former Member of the Supervisory Board	No longer a member of the Supervisory Board as of June 26, 2025.	Bachelor of Finance and Banking
3	Mr. Le Tuan	Former Member of the Supervisory Board	No longer a member of the Supervisory Board as of June 26, 2025.	Master of Economics
4	Mr. Nguyen Tuan Anh	Chairman of the Audit Committee	Appointment of the Chairman of the Audit Committee on June 26, 2025	Master of Law
5	Mr. Dao Le Huy	Member of the Audit Committee	Appointment of members of the Audit Committee on June 26, 2025	Master of Finance

2. Meetings of Board of Supervisors or Audit Committee

2.1 Supervisory Board Meeting

No.	Supervisory Board Member	Number of meetings attended	Meeting attendance rate	Voting rate	Reasons for absence
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1	Mr. Pham Minh Hieu	1/1	100%	100%	Dismissal effective June 26, 2025
2	Mr. Nguyen Trung Kien	1/1	100%	100%	Dismissal effective June 26, 2025
3	Mr. Le Tuan	1/1	100%	100%	Dismissal effective June 26, 2025

2.2 Meeting of the Audit Committee:

No.	Member of the Audit Committee	Number of meetings attended	Meeting attendance rate	Voting rate	Reasons for absence.
1	Mr. Nguyen Tuan Anh	2/2	100%	100%	
2	Mr. Dao Le Huy	2/2	100%	100%	

3. Supervising Board of Directors, Board of Management and shareholders by Board of Supervisors or Audit Committee:

In the first half of 2025, the Supervisory Board conducted oversight activities over the Board of Directors, the General Director's Office, and shareholders. From June 26, 2025, the Audit Committee was established under the Company's new governance model, replacing the Supervisory Board and performing oversight functions from that date. The activities of the Audit Committee (formerly the Supervisory Board) were as follows:

- Supervise and review the management and implementation of the 2025 profit plan by the Board of Directors and the Executive Board, and verify the legality and reasonableness of business activities during the year in accordance with the spirit of the 2025 Annual General Meeting of Shareholders resolution .
- Review and monitor the issuance of resolutions and decisions by the Board of Directors and the Executive Board .
- Review the reasonableness, legality, integrity, and level of care in the organization of accounting, statistics, and financial reporting. Examine the Company's financial statements for 2024, the first six months of 2025, and the third quarter of 2025 .
- Inspect the operational status at the company's headquarters and two branches .

4. The coordination among the Board of Supervisors, Audit Committee, the Board of Management, Board of Directors and other managers:

The Supervisory Board (formerly the Board of Directors before June 26, 2025) always works closely with the activities of the Board of Directors, the Executive Management Board, and other management personnel, including:

- Provide feedback to help improve the company's business operations .
- The Executive Board always facilitates and promptly responds to information requests to support the monitoring needs of the Audit Committee.
- Always coordinate closely with members of the Board of Directors, members of the Executive Board, and relevant management personnel within the framework of performing its supervisory function.

5. Other activities of the Board of Supervisors and Audit Committee (if any) : None

IV. Executive Board (Board of Management) (2025 report)

No.	Executive Board Member	Date of birth	Qualification	Date of appointment/dismissal of Executive Board members
1	Mr. Ninh Le Son Hai		Bachelor of Information Technology	Effective June 26, 2025: Appointed as Acting General Director From October 15, 2025: Appointment as General Director
2	Ms. Nguyen Thi Nguyet		Bachelor's Degree in Finance and Banking	July 27, 2023: Appointed as Chief Executive Officer (CEO) June 26, 2025: Dismissed from the position of Chief Executive Officer (CEO)
3	Ms. Ngo Thi Song Ngan		Bachelor of Finance and Banking	Effective May 28, 2025: Appointment as Deputy General Director
4	Ms. Nguyen Thi Mai		Bachelor of Accounting and Auditing	October 1, 2024: Appointed as Chief Financial Officer. April 2, 2025: Dismissed as Chief Financial Officer.
5	Ms. Vu Thi Thanh Nga		Bachelor of Economics	May 1, 2020: Appointed as Chief Accountant

V. Chief Accountant (2025 report)

Full name	Date of birth	Qualification	Date of appointment/dismissal
Ms. Vu Thi Thanh Nga		Bachelor of Economics	May 1, 2020: Appointed as Chief Accountant

VI. Training courses on corporate governance:

Training courses on corporate governance that members of the Board of Directors, members of the Supervisory Board, the CEO, other management officers, and the Company Secretary have

participated in in accordance with corporate governance regulations : In 2025, a representative of the Company's Executive Board participated in the Risk Management course for Securities Companies.

VII. The list of affiliated persons of the public company (2025 Report) and transactions of affiliated persons of the Company.

1. The list of affiliated persons of the Company

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason	Relationship with the Company
1	Ninh Le Son Hai		Former Chairman of the Board of Directors; General Director			September 21, 2024		Dismissal of the Chairman of the Board of Directors on June 26, 2025; Appointment of the Acting General Director on June 26, 2025 ; From October 15, 2025: Appointment as General Director.	
2	Chu Viet Ha		Former Board Member			September 21, 2024	June 26, 2025	Dismissal date: June 26, 2025	
3	Le Ngoc Hai		Former Board Member			September 21, 2024	June 26, 2025	Dismissal date: June 26, 2025	
4	Nguyen Thi Mai		Former Board Member			September 21, 2024	June 26, 2025	Dismissal date: June 26, 2025	
5	Ly Thi Thu Ha		Former Board Member			September 21, 2024	June 26, 2025	Dismissal date: June 26, 2025	

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason	Relationship with the Company
6	Hoang Thi Bao Ngoc		Former Head of Administration and Company Secretary			October 15, 2024	June 26, 2025	Dismissal date: June 26, 2025	
7	Pham Minh Hieu		Former Head of the Supervisory Board			September 21, 2024	June 26, 2025	Dismissal date: June 26, 2025	
8	Nguyen Trung Kien		Former Board Member			September 21, 2024	June 26, 2025	Dismissal date: June 26, 2025	
9	Le Tuan		Former Board Member			September 21, 2024	June 26, 2025	Dismissal date: June 26, 2025	
10	Nguyen Thi Nguyet		Former Chief Executive Officer			July 27, 2023	June 26, 2025	Dismissal date: June 26, 2025	
11	Dao Le Huy		Chairman of the Board of Directors; Member of the Audit Committee			June 26, 2025		Appointment dated June 26, 2025	
12	Ngo Thi Song Ngan		Board Member; Deputy General Director; Person in charge of administration and Company Secretary			June 26, 2025		Appointment dated June 26, 2025	

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason	Relationship with the Company
13	Nguyen Tuan Anh		Board of Directors; Chairman of the Audit Committee			June 26, 2025		Appointment dated June 26, 2025	
14	Nguyen Dieu Linh		Head of Internal Audit Department			October 13, 2025		Appointment dated October 13, 2025	
15	Doan Thi Thuy		Authorized Information Disclosure Officer			October 15, 2024		Appointed on October 15, 2024	
16	Vu Thi Thanh Nga		Chief Accountant			May 1, 2020		Appointed on May 1, 2020	

Note: NSH No.: ID card No./Passport No. (As for individuals) or Business Registration Certificate No., License on Operations or equivalent legal documents (As for organisations).

2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons: *None*

3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power: *None*

4. Transactions between the Company and other objects.

4.1. Transactions between the Company and the company that its members of Board of Management, the Board of Supervisors, General Director have been founding members or members of Board of Directors, or CEOs in three (03) latest years (calculated at the time of reporting): *None*

4.2. Transactions between the Company and the company that its affiliated persons with members of Board of Directors, Board of Supervisors, Director (General Director) and other managers as a member of Board of Directors, General Director: None

4.3. Other transactions of the Company (if any) may bring material or non- material benefits for members of Board of Directors, members of the Board of Supervisors, Director (General Director) and other managers: None

VIII. Share transactions of internal persons and their affiliated persons (2025 Report)

1. The list of internal persons and their affiliated persons as of December 31, 2025

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No., date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1	Dao Le Huy		Chairman of the Board of Directors; Member of the Audit Committee			0	0	
1.01	Dao Ngoc Duoc					0	0	Father
1.02	Le Thi Thang					0	0	Mother
1.03	Nguyen Tu Quyen					0	0	Wife
1.04	Dao Nhat Minh					0	0	Son
1.05	Dao Tung Lam					0	0	Son
1.06	Dao Le Hang					0	0	Older sister
1.07	Dao Ngoc Hung					0	0	Older brother
1.08	Nguyen Trong Quang					0	0	Father-in-law

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No., date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1.09	Lai Thi Diu					0	0	Mother-in-law
1.10	Nguyen Hong Quan					0	0	sister-in-law
2	Ngo Thi Song Ngan	012C011997	Board of Directors; Deputy General Director; Person in charge of administration; Company Secretary			0	0	
2.01	Ngo Truong Thi					0	0	Father
2.02	Nguyen Thi Mai Dung					0	0	Mother
2.03	Dao Quang Duy					0	0	Husband
2.04	Dao Quang Anh					0	0	Child
2.05	Dao Thi Ngan Giang					0	0	Child
2.06	Dao Thi Hoang Giang					0	0	Child
2.07	Ngo Thi Hong Ha					0	0	Younger sister
2.08	Bui Anh Duc					0	0	Brother-in-law
2.09	Dao Quang Tuyen					0	0	Father-in-law

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No., date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
2.10	Ngo Thi Thanh					0	0	Mother-in-law
3	Nguyen Tuan Anh	012C634568	Independent member of the Board of Directors; Chairman of the Audit Committee			0	0	
3.01	Nguyen Van Duyen					0	0	Father
3.02	Nguyen Thanh Thuy					0	0	Mother
3.03	Vu Chau Hanh					0	0	Wife
3.04	Nguyen Vu Chau Anh					0	0	Daughter
3.05	Nguyen Anh Vu					0	0	Boy
3.06	Nguyen Anh Tuan					0	0	Younger brother
3.07	Tran Huong Ly					0	0	sister-in-law
3.08	Vu The Viet					0	0	Father-in-law
3.09	Nguyen Thi Hai					0	0	Mother-in-law
4	Ninh Le Son Hai	012C363636	General Director			0	0	
4.01	Ninh Anh Tuan					0	0	Father

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No., date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
4.02	Le Thi Tan					0	0	Mother
4.03	Tran Thi Giang Van					0	0	Wife
4.04	Ninh Vu Minh Tam					0	0	Child
4.05	Ninh Trach Son					0	0	Child
4.06	Ninh Gia Khanh					0	0	Child
4.07	Ninh Le Son Ha					0	0	Younger brother
4.08	Nguyen Thi Thu Hang					0	0	sister-in-law
4.09	Tran Khac Khuong					0	0	Father-in-law
4.10	Nguyen Thi Lien					0	0	Mother-in-law
5	Doan Thi Thuy	012C0 08585	Authorized Information Disclosure Officer			1,251	0.004 %	
5.01	Doan Ba Ngoan					0	0	father
5.02	Pham Thi Loan					0	0	Mother
5.03	Duong Thanh Luong					0	0	Father-in-law

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No., date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
5.04	Hoang Thi Dung					0	0	Mother-in-law
5.05	Duong Hoang Long					0	0	Husband
5.06	Duong Nhu Hoang An					0	0	Child
5.07	Doan Thi Thuy					0	0	Older sister
5.08	Doan Thi Mai					0	0	Older sister
6	Vu Thi Thanh Nga	012C036985	Chief Accountant			2008	0.007 %	
6.01	Vu Van Vinh					10	0.0000 34%	Father
6.02	Pham Thi Lan					0	0	Mom
6.03	Vu Thi Kim Loan					0	0	Older sister
6.04	Vu Thuy Hang					0	0	Younger sister

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No., date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
6.05	Vu Anh Tuan					0	0	Younger brother
6.06	Hoang Gia Han					0	0	Child
6.07	Hoang Nguyet Minh					0	0	Child
6.08	Hoang Tue Minh					0	0	Child
7	Nguyen Dieu Linh	012C012507	Head of Internal Audit Department			0	0	
7.01	Nguyen Huu Toan					0	0	Father
7.02	Tran Thi Hoa					0	0	Mother

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No., date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
7.03	Tran Ngoc Thien					0	0	Husband
7.04	Tran Ngoc Han					0	0	Daughter
7.05	Tran Tuan Anh					0	0	Son
7.06	Nguyen Thi Tuyet Mai					0	0	Older sister
7.07	Tran Thanh Tung					0	0	Father-in-law

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No., date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
7.08	Dao Thi Be					0	0	Mother-in-law

2. Transactions of internal persons and affiliated persons with shares of the company: None

IX. Other significant issues: None

Recipient:

- As above;

- Archived: AO.

CHAIRMAN OF THE BOARD OF DIRECTORS



NGUYEN TUAN ANH

