

No.: 53/BC-CN

Can Tho, January 29, 2026

**REPORT ON CORPORATE GOVERNANCE
FOR THE YEAR 2025**
(Abridged Version)

(Pursuant to Circular No. 96/2020/TT-BTC dated November 16, 2020, of the Ministry of Finance)

To:

- State Securities Commission;
- Hanoi Stock Exchange.

- Listed company name: SOC TRANG WATER SUPPLY JOINT STOCK COMPANY
- Address of Head Office: No. 16 Nguyen Chi Thanh Street, Soc Trang Ward, Can Tho City
- Phone: (0299) 3820943 Fax: (0299) 3821278 Email: info.stwaco@gmail.com
- Charter capital: VND 158,631,330,000
- Stock code: STW
- Corporate governance model:
 - + General Meeting of Shareholders;
 - + Board of Directors (BOD);
 - + Board of Supervisors (BOS);
 - + General Director.
- The implementation of internal audit: The Company conducted independent audit.

I. Activities of the General Meeting of Shareholders

In 2025, the Company held 02 General Meetings of Shareholders. The results of these 02 meetings were published on the electronic information portal of the Company and disclosed in accordance with regulations:

1. On January 21, 2025, the Company held 2025 Extraordinary General Meeting of Shareholders. At the General Meeting, the Minutes of the General Meeting of Shareholders No. 01/2025/BB-ĐHĐCĐ dated January 21, 2025, and the Resolution of the General Meeting of Shareholders No. 01/2025/NQ-ĐHĐCĐ dated January 21, 2025, were approved, in which an approval rate of 100% based on the total voting shares of the attending shareholders.

2. On May 28, 2025, the Company held 2025 Annual General Meeting of Shareholders. At the General Meeting, the Minutes of the General Meeting of Shareholders No. 02/2025/BB-ĐHĐCĐ dated May 28, 2025, and the Resolution of the General Meeting of Shareholders No. 02/2025/NQ-ĐHĐCĐ dated May 28, 2025, were approved, in which

an approval rate of 64.1060% based on the total voting shares of the attending shareholders.

3. Contents of the Resolutions of General Meetings of Shareholders in 2025:

No.	Resolution	Date	Content
1	No. 01/2025/ NQ- ĐHĐCĐ	21/01/2025	<p>Approved:</p> <ul style="list-style-type: none"> - Dismissal of Mr. Tran Thanh Nhan from the Board of Supervisors for the term 2024-2029. - Election of Mr. Nguyen Van Ghip to the Board of Supervisors of Soc Trang Water Supply Joint Stock Company for the term 2024-2029 with the following information: <ul style="list-style-type: none"> + Date of Birth: 15/09/1969; ID Card No.: 094069012000. + Qualification: Water Supply and Drainage Engineer, College of Physics Education. - Proposal to review the responsibility of Mr. Nguyen Trong Kien and Mr. Duong Ngo Hiep regarding “Non-participation in activities of the Board of Directors for 06 consecutive months” as stipulated in Clause 3, Article 50 of the Charter of the Company. - Proposal to review the responsibility of Mr. Nguyen Minh Phuong regarding “Non-fulfillment of rights and obligations for 06 consecutive months” as stipulated in Clause 2, Article 65 of the Charter of Soc Trang Water Supply Joint Stock Company. - Assign the Board of Directors and the Board of Supervisors to continue monitoring and report to the General Meeting of Shareholders at the next meeting, and concurrently carry out procedures as recommended in Report No. 01/BC-HĐQT dated January 17, 2025 of the Board of Directors and Report No. 06/BC-BKS dated January 17, 2025 of the Board of Supervisors.
2	No. 02/2025/ NQ- ĐHĐCĐ	28/05/2025	<p>Approved:</p> <ul style="list-style-type: none"> - Report No. 271/BC-CN dated May 05, 2025 of the General Director on the summary of production and business results in 2024 and orientations for 2025. - Report No. 16/BC-HĐQT dated May 05, 2025 of the Board of Directors on operating and governance results in 2024 of the Board of Directors. - Report No. 07/BC-BKS dated May 05, 2025 of the Board of Supervisors on operating results in 2024 and operating plan for 2025 of the Board of Supervisors. - Proposal No. 17/TTr-HĐQT dated May 05, 2025 of the Board of Directors regarding the audited Financial Statements of 2024 of Soc Trang Water Supply Joint Stock Company. - Proposal No. 19/TTr-HĐQT dated May 05, 2025 of the Board of Directors regarding Business Plan for 2025.



No.	Resolution	Date	Content
			<ul style="list-style-type: none"> - Proposal No. 21/TTr-HĐQT dated May 05, 2025 of the Board of Directors regarding the Remuneration Settlement for the in 2024 and the Remuneration Settlement Plan for 2025 of the Board of Directors, Board of Supervisors. - Proposal No. 27/TTr-HĐQT dated May 20, 2025 of the Board of Directors regarding the Profit Distribution in 2024 and Profit Distribution Plan for 2025. - Proposal No. 28/TTr-HĐQT dated May 26, 2025 from the Board of Directors regarding the Investment Plan for water supply works in 2025. Improve water quality to meet standards in accordance to regulations. - Proposal No. 29/TTr-HĐQT dated May 26, 2025 from the Board of Directors regarding the Investment in the Water Plant project in Ward 5 with a capacity of 20,000 m³/day-night. - Proposal No. 30/TTr-HĐQT dated May 26, 2025 from the Board of Directors regarding the negotiation principle for the wholesale purchase of clean water. - Proposal No. 08/TTr-BKS dated May 05, 2025 from the Board of Supervisors regarding the Selection of an independent audit firm for Financial Statements of 2025.



II. Board of Directors

1. Information on Members of Board of Directors

No.	Members of Board of Directors	Position	The date becoming/ceasing to be the Member of Board of Directors	
			Date of appointment	Date of dismissal
1	Tran Anh Hoa	Chairman of the Board of Directors; Executive Member of Board of Directors	16/05/2024	
2	Dang Van Ngo	Executive Member of Board of Directors	16/05/2024	
3	Ong Hai Phuoc	Executive Member of Board of Directors	16/05/2024	
4	Duong Ngo Hiep	Non-executive Member of Board of Directors	16/05/2024	
5	Nguyen Trong Kien	Non-executive Member of Board of Directors	16/05/2024	

2. Meetings of Board of Directors

- person meetings: 06 meetings.
- Summary of BOD opinions via BOD mailbox for documents and proposals of

BOD and Board of Executives: 04 summary minutes were compiled.

No.	Members of Board of Directors	Number of meetings attended by Board of Directors	Attendance rate	Reasons for absence
1	Tran Anh Hoa	8/8	100%	
2	Dang Van Ngo	8/8	100%	
3	Ong Hai Phuoc	7/8	87,5%	Absent from 01 meeting due to urgent unexpected business
4	Duong Ngo Hiep	0/8	0%	Absent without clear reason
5	Nguyen Trong Kien	0/8	0%	Absent without clear reason

3. Supervision of the Board of Directors over Board of Executives

Pursuant to the Charter of the Company, the BOD has supervised the General Director through the monthly reports and preparation of the General Director on monthly business operation and revenue-expenditure plans for approval of the BOD; for matters beyond their authority, the General Director submits proposals for approval of the BOD.

4. Activities of sub-committees under the Board of Directors:

The Board of Directors has not established any sub-committees.

5. Resolutions/Decisions of the Board of Directors

No.	Resolution/Decision No.	Date	Content	Approval rate
1.	Resolution No. 07/2025/NQ-HĐQT	05/03/2025	Appointing Mr. Tran Thanh Nhan as Deputy General Director of the Company for the term 2024-2029	Approved by 100% of Members of the Board present at the meeting
2.	Resolution No. 08/2025/NQ-HĐQT	05/03/2025	Appointing Ms. Nguyen Ngoc My Hong as an additional member of the Secretariat of the Company	Approved by 100% of Members of the Board present at the meeting
3.	Resolution No. 09/2025/NQ-HĐQT	05/03/2025	Consolidating the Vote Counting Committee to record opinions from the Board of Directors and the Board of Supervisors through written and email consultations	Approved by 100% of Members of the Board present at the meeting
4.	Resolution No. 10/2025/NQ-HĐQT	05/03/2025	Terminating the operations of the Business Department	Approved by 100% of Members of the Board present at the meeting
5.	Resolution No. 11/2025/NQ-HĐQT	06/03/2025	Convening the 2025 Annual General Meeting of Shareholders	Approved by 100% of Members of the Board present at the meeting

No.	Resolution/Decision No.	Date	Content	Approval rate
6.	Resolution No. 12/2025/NQ-HĐQT	04/04/2025	Extending the convening time of the 2025 Annual General Meeting of Shareholders of Soc Trang Water Supply Joint Stock Company	60% approved, in which: - Approve: Mr. Hoa, Mr. Ngo, Mr. Phuoc. - No opinion: Mr. Kien, Mr. Hiep.
7.	Resolution No. 26/2025/NQ-HĐQT	20/05/2025	Implementing the contents approved at the meeting of the Board of Directors on May 20, 2025	
8.	Resolution No. 31/2025/NQ-HĐQT	13/06/2025	Approving the Salary Fund for 2025 of the Company	Approved by 100% of Members of the Board present at the meeting
9.	Resolution No. 32/2025/NQ-HĐQT	13/06/2025	Approving the profit distribution plan for 2024 of Sotraco Bottled Water Production Enterprise	60% approved, in which: - Approve: Mr. Hoa, Mr. Ngo, Mr. Phuoc. - No opinion: Mr. Kien, Mr. Hiep.
10.	Resolution No. 33/2025/NQ-HĐQT	13/06/2025	Approving the agreement on volume and wholesale unit price of clean water	60% approved, in which: - Approve: Mr. Hoa, Mr. Ngo, Mr. Phuoc. - No opinion: Mr. Kien, Mr. Hiep.
11.	Resolution No. 36/NQ-HĐQT	10/09/2025	Implementing the contents approved at the Board of Directors meeting on 10/09/2025	Approved by 100% of Members of the Board present at the meeting
12.	Resolution No. 37/NQ-HĐQT	10/09/2025	Issuing Regulation on Welfare Fund Management of the Company	Approved by 100% of Members of the Board present at the meeting
13.	Resolution No. 38/NQ-HĐQT	10/09/2025	Issuing Regulation on Reward Fund Management of the Company	Approved by 100% of Members of the Board present at the meeting
14.	Resolution No. 39/NQ-HĐQT	15/09/2025	Paying the cash dividend of 2024 to shareholders of the Company	Approved by 100% of Members of the Board present at the meeting

III. Board of Supervisors

1. Information on Members of Board of Supervisors (BOS)

No.	Members of Board of Supervisors	Position	The date becoming/ceasing to be the Member of Board of Directors		Qualification
			Date of appointment	Date of dismissal	
1	Nguyen Hoai Bao Khanh	Head of the Board of	16/05/2024		Bachelor of Accounting

No	Members of Board of Supervisors	Position	The date becoming/ceasing to be the Member of Board of Directors		Qualification
			Date of appointment	Date of dismissal	
		Supervisors			
2	Tran Thanh Nhan	Member of the Board of Supervisors	16/05/2024	21/01/2025	Bachelor of Information Technology, Intermediate Degree in Corporate Accounting, Intermediate Degree in Water Supply and Drainage
3	Nguyen Minh Phuong	Member of the Board of Supervisors	16/05/2024		Master of Economic Management
4	Nguyen Van Ghip	Member of the Board of Supervisors	21/01/2025		Engineer in Water Supply and Drainage, College Degree in Physics Pedagogy

2. Meetings of Board of Supervisors

No.	Members of Board of Supervisors	Number of meetings attended by Board of Supervisors	Attendance rate	Reasons for absence
1	Nguyen Hoai Bao Khanh	2/2	100%	
2	Nguyen Van Ghip	2/2	100%	
3	Nguyen Minh Phuong	0/2	0%	Absent without clear reason

3. Supervision of the Board of Supervisors over the Board of Directors, Board of Executives, and shareholders

In the 2025, the Board of Supervisors observed:

+ The BOD held 08 meetings in proper order and procedure. However, the BOS noted that two members of the BOD, Mr. Duong Ngo Hiep and Mr. Nguyen Trong Kien, still did not attend the meetings, and the reason is unclear;

+ The BOD issued 14 Resolutions in accordance with regulations and within its authority;

+ Regarding the updating of information on insiders and related persons of insiders, the Company sent documents to Insiders, specifically Official Letter No. 378/CN-HDQT dated June 23, 2025, from the Chairman of the Board of Directors and Official Letter No. 03/CN-HC dated January 07, 2026, from the General Director.

+ Regarding the update of information on internal persons and related parties of

internal persons, the Company sent official letter No. 378/CN-HDQT of the Chairman of the BOD dated June 23, 2025 and No 03/CN-HC of the General Director dated January 07, 2026 to internal persons;

+ Regarding business operations, Board of Executives of the Company managed and performed duties in compliance with the Charter, Resolutions of General Meeting of Shareholders, and BOD;

+ The BOS fully participated in the meetings of the BOD to update the operational situation of the Company;

+ The BOS received no requests or feedback from shareholders regarding the governance and operations of the Company.

4. Coordination between the Board of Supervisors and the Board of Directors, Board of Executives, and other management personnel

The BOS received cooperation and favorable conditions from the BOD, Board of Executives, and functional departments of the Company in updating the operational situation, collecting information, and documents for inspection and supervision;

The Board of Supervisors maintains internal information exchange with the Board of Directors of the Company and the Board of Executives to ensure that the Company's operations are appropriate and serve the interests of shareholders.

5. Other activities of the Board of Supervisors

Organized regular and extraordinary meetings to assign duties and implement work according to assigned functions, duties, and authorities.

IV. Board of Executives

No.	Members of Board of Executives	Position	Date of Birth	Qualification	Date of appointment
1	Dang Van Ngo	General Director	June 10, 1965	Water Supply and Drainage Engineer	29/05/2024
2	Tran Anh Hoa	Deputy General Director	December 12, 1972	Bachelor of Law; Water Supply and Drainage Engineer	29/05/2024
3	Ong Hai Phuoc	Deputy General Director	November 05, 1965	Water Supply and Drainage Engineer	29/05/2024
4	Tran Thanh Nhan	Deputy General Director	January 03, 1976	Bachelor of Information Technology, Intermediate Business Accounting, Intermediate Water Supply and Drainage	05/03/2025

V. Chief Accountant

Full Name	Date of Birth	Qualification	Date of appointment
Vo Thi Phuong Dieu	June 08, 1981	Bachelor of Law Bachelor of Accounting	29/05/2024

VI. Corporate Governance Training:

The Company sent 03 individuals to attend the “Corporate Governance” course organized by the Institute for Human Resources and Business Development –University of Economics Ho Chi Minh City on June 26-27, 2025, including:

- Mr. Nguyen Hoai Bao Khanh, Head of Board of Supervisors of the Company;
- Mr. Dang Tri Dung, Person in charge of Corporate Governance;
- Ms. Nguyen Ngoc My Hong, Secretary of the Company.

VII. List of the related parties of the Company and transactions between related parties of the Company with the Company itself

1. List of the related parties of the Company: (Attached Appendix 1)
2. Transactions between the Company and related parties, or between the Company and major shareholders, and between the Company and internal persons: (Attached Appendix 2)
3. Transactions between the internal persons, related parties of internal persons of the Company, and subsidiaries, or companies controlled by the Company: None
4. Transactions between the Company and other parties
 - 4.1. Transactions between the Company and companies where members of the Board of Directors, members of the Board of Supervisors, or Directors (General Directors) have been or are founding members or members of the Board of Directors, Directors (General Directors) within the last three (03) years (as of the report date): None.
 - 4.2. Transactions between the Company and companies where related parties of members of the Board of Directors, members of the Board of Supervisors, or Directors (General Directors) are members of the Board of Directors, Directors (General Directors): None.
 - 4.3. Other transactions of the Company (if any) that may bring material or non-material benefits to members of the Board of Directors, members of the Board of Supervisors, Directors (General Directors), and other management personnel: None.

VIII. Share transactions of internal persons and related parties of internal persons

1. List of internal persons and related parties of internal persons: (Attached Appendix 3)
2. Transactions of internal persons and related parties regarding shares of the Company: (Attached Appendix 4)

IX. Other matters to note:

This report is prepared and disclosed concurrently in Vietnamese and English. In case of any discrepancy or differing interpretation between the Vietnamese and English information, the Vietnamese information shall be applied.

Recipients:

- As above;
- Board of Directors, Board of Supervisors, Board of Executives;
- Archived: Office, Administration.

ON BEHALF OF THE BOARD OF DIRECTORS
CHAIRMAN



Tran Anh Hoa

**Appendix 1: List of the related parties of the Company**

(Attached to Report No. ...53.../BC-CN dated January/29./2026 of Soc Trang Water Supply Joint Stock Company)

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Business Registration Certificate, ID Card No., Date of Issue Place of Issue	Head office address/ Contact address	Start date as a related parties	End date as a related parties	Reason	Relation with the Company
1	Soc Trang City Provincial People's Committee		State Shareholder			28/12/2017			State Shareholder
2	VBIC Vietnam Joint Stock Company		Major Shareholder			07/07/2020			Major Shareholder
3	Nghiêm Thi Le Hang		Major Shareholder			23/08/2023			Major Shareholder
4	Tran Anh Hoa		Chairman of the Board of Directors Deputy General Director			28/12/2017			
4.1	Tran Kiem Hung								Father
4.2	Do Thi Quang								Mother
4.3	Nguyen Ngoc My Hong		Secretary of the Company						Wife
4.4	Tran Anh Huy								Son

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Business Registration Certificate, ID Card No., Date of Issue Place of Issue	Head office address/ Contact address	Start date as a related parties	End date as a related parties	Reason	Relation with the Company
4.5	Tran Minh Huy								Son
4.6	Tran Anh Hong								Sister
4.7	Bui Khanh Hung								Brother-in-law
4.8	Tran Thuy Hong								Sister
4.9	Le Hoang Vu								Brother-in-law
4.10	Tran Anh Hien		Director of Enterprise						Brother
4.11	Nguyen Kim Loan								Sister-in-law
4.12	Tran Phuong Hong								Sister
4.13	Nguyen Viet Ba								Brother-in-law
4.14	Nguyen Ngoc Hien								Father-in-law
4.15	Nguyen Ngoc Kiem								Mother-in-law
4.16	Soc Trang City Provincial People's Committee		State Shareholder			27/12/2017			Mr. Tran Anh Hoa acts as the capital representative of the

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Business Registration Certificate, ID Card No., Date of Issue Place of Issue	Head office address/ Contact address	Start date as a related parties	End date as a related parties	Reason	Relation with the Company
									state capital portion
5	Dang Van Ngo		Member of the Board of Directors, General Director			28/12/2017			
5.1	Dang Van Bay								Father
5.2	Tran Thi Xuan								Mother
5.3	Le Thi Phuong Dung								Wife
5.4	Dang Nhu Y		Deputy Director of Enterprise						Daughter
5.5	Tran Hong Trong								Son-in-law
5.6	Dang Nhu Ngoc								Daughter
5.7	Ly Co Minh Quan								Son-in-law
6	Ong Hai Phuoc		Member of the Board of Director			01/10/2018			

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Business Registration Certificate, ID Card No., Date of Issue Place of Issue	Head office address/ Contact address	Start date as a related parties	End date as a related parties	Reason	Relation with the Company
			s, Deputy General Director						
6.1	Ong Hung								Father
6.2	Quach Thi Kiem								Mother
6.3	Le Thi Yen Ngoc								Wife
6.4	Ong Hai Nguyen		Deputy Director of Enterprise						Son
6.5	Chau Tran Quynh Trang								Daughter-in-law
6.6	Ong Thi Lang								Sister
6.7	Ong Hai Chau								Sister
6.8	Ong Van Bau								Brother-in-law
6.9	Ong Hai Khen								Brother
6.10	Duong Thi Thao								Sister-in-law
6.11	Ong Ngoc Sen								Sister
6.12	Ong Phat Tai		Director of Enterprise						Brother

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Business Registration Certificate, ID Card No., Date of Issue Place of Issue	Head office address/ Contact address	Start date as a related parties	End date as a related parties	Reason	Relation with the Company
			se						
6.13	Mai Tiet Anh								Sister-in-law
6.14	Ong Tai Thuan								Brother
6.15	Tran Ngoc Thuy								Sister-in-law
6.16	Can Tho City People's Committee (formerly Soc Trang Provincial People's Committee)		State Shareholder			27/12/2017			Mr. Ong Hai Phuoc acts as the capital representative of the State
7	Nguyen Trong Kien		Member of the Board of Directors			16/05/2024			
7.1	Nguyen Trong Yen								Father
7.2	Dao Thi Lien								Mother
7.3	Pham Thi Huong								Wife
7.4	Nguyen Quang Vinh								Son
7.5	Nguyen Khanh Vy								Daughter
7.6	VBIC Vietnam Joint Stock Company					07/07/2020			Mr. Nguyen

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No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Business Registration Certificate, ID Card No., Date of Issue Place of Issue	Head office address/ Contact address	Start date as a related parties	End date as a related parties	Reason	Relation with the Company
9.4	Nguyen Van Dang								Brother-in-law
9.5	Tran Kim Phuoc								Sister
9.6	Huynh Van Hien								Brother-in-law
9.7	Quach Thi Nguyet Dao								Wife
9.8	Tran Quy Khiem								Son
9.9	Tran Tuan Kiet								Son
9.10	Quach Binh So								Father-in-law
9.11	Lam Thi Nghiem								Mother-in-law
9.12	Trade Union of Soc Trang Water Supply Joint Stock Company					13/01/2025			Mr. Tran Thanh Nhan acts as the capital representative of the Trade Union
10	Vo Thi Phuong Dieu		Chief Accountant			10/01/2018			

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Business Registration Certificate, ID Card No., Date of Issue Place of Issue	Head office address/ Contact address	Start date as a related parties	End date as a related parties	Reason	Relation with the Company
10.1	Vo Ba Duong								Father
10.2	Duong Thi Lang								Mother
10.3	Chau Van Sanh								Father-in-law
10.4	Tran Toi Ty								Mother-in-law
10.5	Chau Nhut Thanh								Husband
10.6	Chau Ngoc Mai								Daughter
10.7	Vo Thi Phuong Thao								Sister
10.8	Nguyen Loc Em								Brother-in-law
10.9	Vo Thi Phuong Ngan								Sister
11	Nguyen Hoai Bao Khanh		Head of the Board of Supervisors			16/05/2024			
11.1	Le Thi Duy Diep								Mother
11.2	Doan Van Dong								Father-in-law
11.3	Le Thi Phuong								Mother-in-law
11.4	Doan Thi Hoai Thu								Wife

[illegible]

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Business Registration Certificate, ID Card No., Date of Issue Place of Issue	Head office address/ Contact address	Start date as a related parties	End date as a related parties	Reason	Relation with the Company
12.11	Tran Thi Dung								Mother-in-law
12.12	Van Thi Thu								Wife
12.13	Nguyen Van Tuong Vy								Daughter
12.14	Nguyen Van Hoang Huy								Son
12.15	Ha Minh Tien								Son-in-law
13	Nguyen Minh Phuong		Member of the Board of Supervisors			16/05/2024			
The Company sent Official Letters No. 378/CN-HDQT dated 23/06/2025 from the Chairman of the Board of Directors and Official Letter No. 03/CN-HC dated 07/01/2026 from the General Director of the Company regarding the request to provide information on internal persons and related parties of internal persons. However, as of the reporting date, Mr. Nguyen Minh Phuong has not provided the information for updating.									
14	Dang Tri Dung		Person in charge of Corporate Governance, Secretary, Head of the HR/Ad			29/05/2024			

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Business Registration Certificate, ID Card No., Date of Issue Place of Issue	Head office address/ Contact address	Start date as a related parties	End date as a related parties	Reason	Relation with the Company
			min Departm ent of the Compan y						
14.1	Dang Van Be								Father
14.2	Van Ngoc Tham								Mother
14.3	Nghiem Thuy Duong								Wife
14.4	Dang Diem Quynh								Daughter
14.5	Dang Huy Hoang								Son
14.6	Dang Thi Cam Tu								Sister
14.7	Van Quoc Tam								Brother-in-law
14.8	Dang Thi Xuan Dao								Sister
14.9	Nguyen Van Sy								Brother-in-law
14.10	Dang Thi Thuy Lieu								Sister
14.11	Dang Trung Dung		Director of the Enterpris e						Brother

[illegible]

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Business Registration Certificate, ID Card No., Date of Issue Place of Issue	Head office address/ Contact address	Start date as a related parties	End date as a related parties	Reason	Relation with the Company
15.10	Nguyen Ngoc Hiep								Sister
15.11	Tran Thu Ba								Sister-in-law
15.12	Tran Kiem Hung								Father-in-law
15.13	Do Thi Quang								Mother-in-law



Appendix 2: Transactions between the Company and related parties, or between the Company and major shareholders, and between the Company and internal persons

(Attached to Report No. 53/BC-CN dated January./29./2026 of Soc Trang Water Supply Joint Stock Company)

No.	Name of organization/individual	Relation with the Company	ID Card No.*, date of issue, place of issue	Head office address/ Contact address	Transaction date	Resolution/Decision No. approved by the General Meeting of Shareholders/Board of Directors...	Content, quantity, total value of transaction
1	Soc Trang Water Joint Stock Company	Associates	2200680885	D4 Street, An Nghiep Industrial Park, Soc Trang Ward, Can Tho City	From January 01, 2025 to December 31, 2025	Resolution No. 02/NQ-HĐQT dated 10/01/2020 of the Board of Directors regarding the approval of the adjustment of clean water purchase prices from Soc Trang Water Joint Stock Company	Wholesale purchase and sale contract of clean water with a total transaction value of VND 15,451,991,550



Appendix 3: List of internal persons and related parties of internal persons
(Attached to Report No. 53/BC-CN dated January/29/2026 of Soc Trang Water Supply Joint Stock Company)

No.	Full Name	Securities trading account (if any)	Position at the Company (if any)	Owner certificate (ID Card, Business Registration Certificate)	Number of shares held at the end of the period	Percentage of shares held at the end of the period (%)	Notes
1	Tran Anh Hoa		Chairman of the Board of Directors Deputy General Director		7.300	0,046	
1.1	Tran Kiem Hung				0	0	Father
1.2	Do Thi Quang				0	0	Mother
1.3	Nguyen Ngoc My Hong		Secretary of the Company		1.600	0,01	Wife
1.4	Tran Anh Huy				0	0	Son
1.5	Tran Minh Huy				0	0	Son
1.6	Tran Anh Hong				0	0	Sister
1.7	Bui Khanh Hung				0	0	Brother-in-law
1.8	Tran Thuy Hong				0	0	Sister
1.9	Le Hoang Vu				0	0	Brother-in-law
1.10	Tran Anh Hien		Director of the Enterprise		5.000	0,032	Brother
1.11	Nguyen Kim Loan				0	0	Sister-in-law
1.12	Tran Phuong Hong				0	0	Sister
1.13	Nguyen Viet Ba				0	0	Brother-in-law

No.	Full Name	Securities trading account (if any)	Position at the Company (if any)	Owner certificate (ID Card, Business Registration Certificate)	Number of shares held at the end of the period	Percentage of shares held at the end of the period (%)	Notes
1.14	Nguyen Ngoc Hien				0	0	Father-in-law
1.15	Nguyen Ngoc Kiem				0	0	Mother-in-law
1.16	Can Tho City People's Committee (formerly Soc Trang Provincial People's Committee)		State Shareholder		7.772.935	49	Mr. Tran Anh Hoa acts as the capital representative of 3,886,468 shares (24.5%)
2	Dang Van Ngo		Member of the Board of Directors, General Director		7.900	0,05	
2.1	Dang Van Bay				0	0	Father
2.2	Tran Thi Xuan				0	0	Mother
2.3	Le Thi Phuong Dung				0	0	Wife
2.4	Dang Nhu Y		Deputy Director of the Enterprise		218.000	1,3743	Daughter
2.5	Tran Hong Trong				2.300	0,015	Son-in-law
2.6	Dang Nhu Ngoc				0	0	Daughter
2.7	Ly Co Minh Quan				0	0	Son-in-law
3	Ong Hai Phuoc		Member of the Board of Directors, Deputy General Director		8.500	0,054	
3.1	Ong Hung				0	0	Father
3.2	Quach Thi Kiem				0	0	Mother
3.3	Le Thi Yen Ngoc				0	0	Wife

No.	Full Name	Securities trading account (if any)	Position at the Company (if any)	Owner certificate (ID Card, Business Registration Certificate)	Number of shares held at the end of the period	Percentage of shares held at the end of the period (%)	Notes
3.4	Ong Hai Nguyen		Deputy Director of the Enterprise		2.700	0,017	Son
3.5	Chau Tran Quynh Trang				0	0	Daughter-in-law
3.6	Ong Thi Lang				0	0	Sister
3.7	Ong Hai Chau				0	0	Sister
3.8	Ong Van Bau				0	0	Brother-in-law
3.9	Ong Hai Khen				0	0	Brother
3.10	Duong Thi Thao				0	0	Sister-in-law
3.11	Ong Ngoc Sen				0	0	Sister
3.12	Ong Phat Tai		Director of the Enterprise		10.500	0,066	Brother
3.13	Mai Tiet Anh				0	0	Sister-in-law
3.14	Ong Tai Thuan				0	0	Brother
3.15	Tran Ngoc Thuy				0	0	Sister-in-law
3.16	Can Tho City People's Committee (formerly Soc Trang Province People's Committee)		State Shareholder		7.772.935	49	Mr. Ong Hai Phuoc acts as the capital representative of 3,886,467 shares (24.5%)
4	Nguyen Trong Kien		Member of the Board of Directors		0	0	
4.1	Nguyen Trong Yen				0	0	Father
4.2	Dao Thi Lien				0	0	Mother
4.3	Pham Thi Huong				0	0	Wife

No.	Full Name	Securities trading account (if any)	Position at the Company (if any)	Owner certificate (ID Card, Business Registration Certificate)	Number of shares held at the end of the period	Percentage of shares held at the end of the period (%)	Notes
4.4	Nguyen Quang Vinh				0	0	Son
4.5	Nguyen Khanh Vy				0	0	Daughter
4.6	VBIC Vietnam Joint Stock Company				3.803.213	23,98	Mr. Nguyen Trong Kien acts as the capital representative of VBIC Vietnam Joint Stock Company
The Company sent Official Letters No. 378/CN-HĐQT dated 23/06/2025 from the Chairman of the Board of Directors and Official Letter No. 03/CN-HC dated 07/01/2026 from the General Director of the Company regarding the request to provide information on internal persons and related parties of internal persons. However, as of the reporting date, Mr. Nguyen Trong Kien has not provided the information for updating.							
5	Duong Ngo Hiep		Member of the Board of Directors		0	0	
The Company sent Official Letters No. 378/CN-HĐQT dated 23/06/2025 from the Chairman of the Board of Directors and Official Letter No. 03/CN-HC dated 07/01/2026 from the General Director of the Company regarding the request to provide information on internal persons and related parties of internal persons. However, as of the reporting date, Mr. Duong Ngo Hiep has not provided the information for updating.							
6	Tran Thanh Nhan		Deputy General Director		43.900	0,277	
6.1	Tran Du Chen				0	0	Father
6.2	Lam Thi Xieu				0	0	Mother
6.3	Tran Kim Phuong				0	0	Sister
6.4	Nguyen Van Dang				0	0	Brother-in-law
6.5	Tran Kim Phuoc				0	0	Sister
6.6	Huynh Van Hien				0	0	Brother-in-law
6.7	Quach Thi Nguyet Dao				0	0	Wife

No.	Full Name	Securities trading account (if any)	Position at the Company (if any)	Owner certificate (ID Card, Business Registration Certificate)	Number of shares held at the end of the period	Percentage of shares held at the end of the period (%)	Notes
6.8	Tran Quy Khiem				0	0	Son
6.9	Tran Tuan Kiet				0	0	Son
6.10	Quach Binh So				0	0	Father-in-law
6.11	Lam Thi Nghiem				0	0	Mother-in-law
6.12	Trade Union of Soc Trang Water Supply Joint Stock Company				7.932	0,05	Mr. Tran Thanh Nhan acts as the capital representative of the Trade Union
7	Vo Thi Phuong Dieu		Chief Accountant		200	0,001	
7.1	Vo Ba Duong				0	0	Father
7.2	Duong Thi Lang				0	0	Mother
7.3	Chau Van Sanh				0	0	Father-in-law
7.4	Tran Toi Ty				0	0	Mother-in-law
7.5	Chau Nhut Thanh				0	0	Husband
7.6	Chau Ngoc Mai				0	0	Daughter
7.7	Vo Thi Phuong Thao				0	0	Sister
7.8	Nguyen Loc Em				0	0	Brother-in-law
7.9	Vo Thi Phuong Ngan				0	0	Sister
8	Nguyen Hoai Bao Khanh		Head of Board of Supervisors		2.000	0,0126	
8.1	Le Thi Duy Diep				0	0	Mother
8.2	Doan Van Dong				0	0	Father-in-law



No.	Full Name	Securities trading account (if any)	Position at the Company (if any)	Owner certificate (ID Card, Business Registration Certificate)	Number of shares held at the end of the period	Percentage of shares held at the end of the period (%)	Notes
8.3	Le Thi Phuong				0	0	Mother-in-law
8.4	Doan Thi Hoai Thu				2.000	0,0126	Wife
8.5	Nguyen Dang Khoi				0	0	Son
8.6	Nguyen Hoang Anh Thu				0	0	Sister
8.7	Nguyen Hoang Tung				0	0	Brother-in-law
9	Nguyen Van Ghip		Member of the Board of Supervisors Deputy Head of HR-Admin Department		5.000	0,032	
9.1	Nguyen Van Nang				0	0	Father
9.2	Bui Thi Hue				0	0	Mother
9.3	Nguyen Hoang				0	0	Brother
9.4	Nguyen Thi Hong Y				0	0	Sister
9.5	Lam Van Son				0	0	Brother-in-law
9.6	Nguyen Van Thuy				0	0	Brother
9.7	Nguyen Van Trieu				0	0	Brother
9.8	Nguyen Hong Van				0	0	Sister
9.9	Tran Vu Trang				0	0	Brother-in-law
9.10	Van Ngoc Kinh				0	0	Father-in-law

No.	Full Name	Securities trading account (if any)	Position at the Company (if any)	Owner certificate (ID Card, Business Registration Certificate)	Number of shares held at the end of the period	Percentage of shares held at the end of the period (%)	Notes
9.11	Tran Thi Dung				0	0	Mother-in-law
9.12	Van Thi Thu				0	0	Wife
9.13	Nguyen Van Tuong Vy				0	0	Daughter
9.14	Nguyen Van Hoang Huy				0	0	Son
9.15	Ha Minh Tien				0	0	Son-in-law
10	Nguyen Minh Phuong		Member of the Board of Supervisors		637.301	4,02	
The Company sent Official Letters No. 378/CN-HĐQT dated 23/06/2025 from the Chairman of the Board of Directors and Official Letter No. 03/CN-HC dated 07/01/2026 from the General Director of the Company regarding the request to provide information on internal persons and related parties of internal persons. However, as of the reporting date, Mr. Nguyen Minh Phuong has not provided the information for updating.							
11	Dang Tri Dung		Person in charge of administration, Secretary, Head of the Company's HR-Admin Department		4.900	0,031	
11.1	Dang Van Be				0	0	Father
11.2	Van Ngoc Tham				0	0	Mother
11.3	Nghiem Thuy Duong				0	0	Wife
11.4	Dang Diem Quynh				0	0	Daughter
11.5	Dang Huy Hoang				0	0	Son
11.6	Dang Thi Cam Tu				0	0	Sister
11.7	Van Quoc Tam				0	0	Brother-in-law

No.	Full Name	Securities trading account (if any)	Position at the Company (if any)	Owner certificate (ID Card, Business Registration Certificate)	Number of shares held at the end of the period	Percentage of shares held at the end of the period (%)	Notes
11.8	Dang Thi Xuan Dao				0	0	Sister
11.9	Nguyen Van Sy				0	0	Brother-in-law
11.10	Dang Thi Thuy Lieu				1.200	0,008	Sister
11.11	Dang Trung Dung		Director of the Enterprise		100	0,0006	Brother
11.12	Nghiem Xuan Thuy				0	0	Father-in-law
11.13	Quach Bich Lam				0	0	Mother-in-law
12	Nguyen Ngoc My Hong		Secretary of the Company Staff of HR-Admin Department		1.600	0,01	
12.1	Nguyen Ngoc Hien				0	0	Father
12.2	Nguyen Ngoc Kiem				0	0	Mother
12.3	Tran Anh Hoa		Chairman of the Board of Directors Deputy General Director		7.300	0,046	Husband
12.4	Tran Anh Huy				0	0	Son
12.5	Tran Minh Huy				0	0	Son
12.6	Nguyen Ngoc My Hanh				0	0	Sister
12.7	Nguyen Ngoc Hieu				0	0	Brother
12.8	Nguyen Ngoc My Huong				0	0	Sister

No.	Full Name	Securities trading account (if any)	Position at the Company (if any)	Owner certificate (ID Card, Business Registration Certificate)	Number of shares held at the end of the period	Percentage of shares held at the end of the period (%)	Notes
12.9	Nguyen Ngoc Hung				0	0	Brother
12.10	Nguyen Ngoc Hiep				0	0	Brother
12.11	Tran Thu Ba				0	0	Sister-in-law
12.12	Tran Kiem Hung				0	0	Father-in-law
12.13	Do Thi Quang				0	0	Mother-in-law



Appendix 4: Transactions of Insiders and Related Persons regarding Company Shares

(Attached to Report No.: 53/BC-CN dated January./29./2026 of Soc Trang Water Supply Joint Stock Company)

No.	Transacting Person/Entity	Relationship with Insider	Number of Shares Held at the Beginning of the Period		Number of Shares Held at the End of the Period		Reason for Increase or Decrease
			Number of Shares	Percentage	Number of Shares	Percentage	
1	Joint Stock Company VBIC Vietnam	Related Person of Mr. Nguyen Trong Kien – Member of the Board of Directors	3.013.213	18,995%	3.803.213	23,98%	Purchase
2	Nguyen Quang Mai	Belongs to the group of investors related to Mr. Nguyen Trong Kien – Member of the Board of Directors	0	0%	160.000	1,009%	Purchase
3	Nguyen Minh Phuong	Member of the Board of Supervisors	801.401	5,05%	637.301	4,02%	Sale