

**TRUNG AN HI-TECH FARMING  
JOINT STOCK COMPANY**

No.: 01/2026/BCQT/TAR

**THE SOCIALIST REPUBLIC OF VIETNAM**  
**Independence - Freedom – Happiness**

Can Tho City, January 27, 2026

**REPORT ON CORPORATE GOVERNANCE  
(Year 2025)**

**To The State Securities Commission  
Ha Noi Stock Exchange**

- Name of company: TRUNG AN HI-TECH FARMING JOINT STOCK COMPANY
- Address of head office: 649A, QL91, Qui Thanh 1 Area, Thuan Hung Ward, Can Tho City, Vietnam
- Telephone: 02923.857.336 Fax: 02923.857.199  
Email: [sales@trunganrice.com](mailto:sales@trunganrice.com)
- Charter capital: 783,197,770,000 VND
- Stock symbol: TAR
- Governance model: General Meeting of Shareholders, Board of Directors, Board of Supervisors, and General Director.
- The implementation of internal audit: Implemented.

**I. Activities of the General Meeting of Shareholders**

In 2025, Trung An Hi-Tech Farming Joint Stock Company (TAR) held the Annual General Meeting of Shareholders (“GMS”) on June 24, 2025, and collected shareholder opinions in writing in accordance with the procedures stipulated in the Enterprise Law, the Company Charter, and other regulations applicable to listed companies. The GMS approved the Annual GMS Resolution 2025 and the GMS Resolution in the form of collecting shareholder opinions in writing, with the following specific contents:

No.	Resolution/Decision No.	Date	Content
1.	01/2025/NQ-ĐHĐCĐ/TAR	June 24, 2025	Report on the activities of the Board of Directors for 2024 and the operational plan for 2025;
			Report on the activities of the Board of Supervisors for 2024 and the operational plan for 2025;
			Report of the Company's General Director Board on the production and business activities in 2024 and the operational plan for 2025;

No.	Resolution/Decision No.	Date	Content
			The Company's audited financial statements for 2024 (separate and consolidated);
			Approving the profit distribution plan;
			Approving the selection of the unit to review the 2025 semi-annual financial statements and audit the 2025 annual financial statements;
			Approve remuneration for the Board of Directors and Board of Supervisors;
			Approve the dismissal of a member of the Board of Supervisors for the 2023 – 2028 term;
			Approve the supplementary election of members of the Board of Supervisors for the 2023 – 2028 term: - Ms. Nguyen Thi Ngoc Trang
2.	02/2025/NQ-ĐHĐCĐ/TAR	27/12/2025	Approve the dismissal of Ms. Huynh Nguyen Thuy Vy as a member of the Board of Supervisors for the 2023 - 2028 term
			Approve the Regulation on nomination, candidacy, and supplementary election of members of the Board of Supervisors for the 2023 – 2028 term
			Approve the List of Candidates for the Board of Supervisors for the 2023 - 2028 term
			Approve the supplementary election of Mr. Pham Tran Thanh Tan as a member of the Board of Supervisors for the 2023 - 2028 term

## II. Board of Directors (The Report for 2025):

### 1. Information about Member of the Board of Directors (BOD):

No.	Board of Directors' members	Position (Independent members of the Board of Directors, Non-executive members of the Board of Directors)	The date becoming/ceasing to be the member of the BOD	
			Date of appointment	Date of dismissal
1	Mr. Pham Thai Binh	Chairman of the BOD cum Deputy General Director	29/06/2023	



No.	Board of Directors' members	Position (Independent members of the Board of Directors, Non-executive members of the Board of Directors)	The date becoming/ceasing to be the member of the BOD	
			Date of appointment	Date of dismissal
2	Ms. Le Thi Tuyet	Non-executive members of the BOD	29/06/2023	-
3	Ms. Nguyen Le Bao Trang	Members of the BOD cum General Director	29/06/2023	-
4	Ms. Pham Le Khanh Han	Members of the BOD cum Deputy General Director	29/06/2023	-
5	Ms. Lu Le Tran	Independent members of the BOD	29/06/2023	-

## 2. Meetings of the Board of Directors:

No.	Board of Directors' members	No. of meetings attended by BOD	Attendance rate	Reason for absence	Note
1	Mr. Pham Thai Binh	15/15	100%	-	-
2	Ms. Le Thi Tuyet	15/15	100%	-	-
3	Ms. Nguyen Le Bao Trang	15/15	100%	-	-
4	Ms. Pham Le Khanh Han	15/15	100%	-	-
5	Ms. Lu Le Tran	15/15	100%	-	-

## 3. Supervising the Board of Management by the Board of Directors

In 2025, the Board of Directors (BOD) effectively performed its supervisory role over the executive operations of the Board of Management in a strict, timely, and continuous manner. The Board of Management regularly organized exchanges and discussions regarding important issues related to production and business activities and periodically reported work progress to the BOD. Consequently, coordination between the two management levels was maintained effectively, contributing to ensuring the Company's operations run stably, transparently, and in compliance with legal regulations.

Specifically, the BOD supervised and collaborated with the Board of Management on the following key matters:

- Supervised the preparation, submission, and timely disclosure of required periodic reports, such as the annual financial statements, quarterly financial statements, and annual reports in 2025.
- Ensured that the management and executive activities of the Board of Management were carried out effectively, strictly complying with legal regulations and aligning with the approved development

strategy.

- Monitored full compliance with regulations on corporate governance and information disclosure obligations, ensuring the provision of transparent, accurate, and timely information to shareholders, regulatory agencies, and affiliated persons.

- Reviewed and approved the 2025 business plan targets for submission to the General Meeting of Shareholders for approval.

- Successfully organized the annual General Meeting of Shareholders.

- Attended and provided input during periodic briefing meetings to promptly grasp the Company's operational status.

Furthermore, the BOD also directed the Board of Management to proactively supervise, review, and strictly follow legal procedures and processes for transactions involving affiliated persons as stipulated in Point c, Clause 46, Article 4 of the Securities Law. Concurrently, it ensured the full and accurate disclosure of relevant information on the stock market in accordance with current regulations.

#### **4. Activities of the Board of Directors' subcommittees**

The Board of Directors has not yet established its subcommittees. To ensure effective corporate governance activities, the Board of Directors appointed personnel to hold the Position of Company Governance Officer cum Company Secretary to support organizational work, record keeping, and connection between the Board of Directors and the Board of Management, shareholders, and related parties.

When necessary, the Board of Directors may mobilize the Company's management personnel to support the execution of specialized tasks serving the activities of the Board of Directors.

#### **5. Resolutions/Decisions of the Board of Directors (The Report for 2025):**

No.	Resolution/No. Decision	Date (dd/mm/yyyy)	Content	Approval rate
1.	01/2025/TAR/NQ-HĐQT	14/01/2025	Approve the policy for signing contracts and transactions with affiliated persons in 2025	100%
2.	02/2025/TAR/NQ-HĐQT	21/03/2025	Approve borrowing capital from the Vietnam Bank for Agriculture and Rural Development – HCMC Branch	100%
3.	03/2025/TAR/NQ-HĐQT	21/03/2025	Implement directives in BOD Meeting Minutes No. 02/2025/TAR/BB_HĐQT	100%
4.	04/2025/TAR/NQ-HĐQT	25/04/2025	Plan for organizing the 2025 Annual General Meeting of	100%



No.	Resolution/No. Decision	Date (dd/mm/yyyy)	Content	Approval rate
			Shareholders	
5.	05/2025/TAR/NQ-HĐQT	28/04/2025	Approve borrowing capital from the Vietnam Bank for Agriculture and Rural Development – HCMC Branch	100%
6.	06/2025/TAR/NQ-HĐQT	27/06/2025	Approve the selection of the auditing firm	100%
7.	07/2025/TAR/NQ-HĐQT	31/07/2025	Approval of the liquidation of the Company's vehicle	100%
8.	08/2025/TAR/NQ-HĐQT	06/08/2025	Approval of the policy for signing contracts and transactions between the Company and partners and customers	100%
9.	09/2025/TAR/NQ-HĐQT	07/08/2025	Approval of borrowing capital from Vietnam Bank for Agriculture and Rural Development (Agribank), Ho Chi Minh City Branch.	100%
10.	10/2025/TAR/NQ-HĐQT	17/11/2025	Approval of borrowing capital from Vietnam Bank for Agriculture and Rural Development (Agribank), Ho Chi Minh City Branch.	100%
11.	11/2025/TAR/NQ-HĐQT	19/11/2025	Approval of the dismissal of Mr. Pham Tran Thanh Tan from the Position of Company Governance Officer and the appointment of a new Company Governance Officer.	100%
12.	12/2025/TAR/NQ-HĐQT	20/11/2025	Approval of receiving the resignation letter of a Member of the Board of Supervisors and implementing the collection of shareholder opinions in writing to elect an additional Member of the Board of Supervisors for the 2023 – 2028 term	100%

No.	Resolution/No. Decision	Date (dd/mm/yyyy)	Content	Approval rate
13.	13/2025/TAR/NQ-HĐQT	21/11/2025	Replacing Board of Directors Resolution No. 12/2025/TAR/NQ-HĐQT dated November 20, 2025	100%
14.	14/2025/TAR/NQ-HĐQT	12/12/2025	Approval of the documents for collecting shareholder opinions in writing in 2025	100%
15.	15/2025/TAR/NQ-HĐQT	29/12/2025	Approval of changing the completion time for the transfer of Tay Do Hospital; Approval of changing the completion time for capital restructuring.	100%

### III. Board of Supervisors (Report 2025):

#### 1. Information about members of Board of Supervisors (“BOS”):

No.	Members of Board of Supervisors	Position	The date becoming to be the member of the BOS	Qualification
1	Ms. Huynh Nguyen Thuy Vy	Head of the BOS	Dismissed on 27/12/2025	Bachelor of Accounting
2	Mr. Nguyen Van Duc	Head of the BOS	Appointed on 29/06/2023	Chemical Engineer
3	Ms. Huynh Thi Ngoc Quyen	Member of the BOS	Dismissed on 24/06/2025	Master of Business Administration
4	Ms. Nguyen Thi Ngoc Trang	Member of the BOS	Appointed on 24/06/2025	Bachelor
5	Mr. Pham Tran Thanh Tan	Member of the BOS	Appointed on 27/12/2025	Bachelor of Information Technology

#### 2. Meetings of the Member of the Board of Supervisors:

No.	Member of the Board of Supervisors	No. of meetings attended	Attendance rate	Voting rate	Reasons for absence	Notes
1	Ms. Huynh Nguyen Thuy Vy	01	01/02	100%	-	Dismissed on 27/12/2025



No.	Member of the Board of Supervisors	No. of meetings attended	Attendance rate	Voting rate	Reasons for absence	Notes
2	Mr. Nguyen Van Duc	02	02/02	100%	-	-
3	Ms. Huynh Thi Ngoc Quyen	-	-	-	-	Dismissed on 24/06/2025
4	Ms. Nguyen Thi Ngoc Trang	02	02/02	100%	-	Appointed on 24/06/2025
5	Mr. Pham Tran Thanh Tan	01	01/02	100%	-	Appointed on 27/12/2025

### **3. Supervising Board of Directors, Board of Management and shareholders by Board of Supervisors:**

In 2025, the Board of Supervisors Implemented its full inspection and supervisory functions as stipulated in the Enterprise Law, the Company Charter, and relevant legal documents. The supervisory work focused on evaluating compliance with the law, internal regulations, and the execution of Resolutions of the 2025 Annual General Meeting of Shareholders, with the specific Content as follows:

- Reviewing the appropriateness and legality of Decisions issued on by the Board of Directors and the Board of General Directors related to the Company's management and operational activities;
- Supervising the organization and execution of Resolutions of the General Meeting of Shareholders by monitoring the implementation progress and results achieved by the Board of Directors and the Board of General Directors;
- Inspecting legal compliance during the Company's operations, ensuring that activities are carried out in accordance with current regulations.

Through these activities, the Board of Supervisors contributes to enhancing transparency, accountability, and corporate governance effectiveness throughout the Company's entire operating system.

### **4. The coordination among the Board of Supervisors, the Board of Management, Board of Directors and other managers:**

In 2025, the Board of Directors (BOD), the Board of Supervisors (Member of the Board of Supervisors), the Board of General Directors, and the Company's management departments consistently maintained close coordination in managing and operating the Company's production and business activities, as well as those of subsidiary units under TAR.

The Board of Supervisors proactively exchanged and periodically agreed with the Board of Directors on the inspection and supervision plan and Content, while effectively coordinating with the Board of Management during the implementation of supervisory activities according to their assigned functions and duties.

The Head of the Board of Supervisors was invited to attend regular meetings of the Board of



Directors and the Board of General Directors, thereby fully and promptly grasping the operational situation and key issues of the Company.

The opinions and recommendations of the Board of Supervisors sent to the Board of Directors and the Board of General Directors were fully received, responded to completely and on time, demonstrating a spirit of openness and high responsibility in corporate management and supervision.

**5. Other activities of the Board of Supervisors: None**

**IV. Board of Management**

No.	Members of Board of Management	Position	Date of Birth (dd/mm/yyyy)	Qualification	Date of appointment / dismissal of members of the Board of Management
1	Ms. Nguyen Le Bao Trang	General Director	26/02/1978	12/12	17/08/2023
2	Mr. Pham Thai Binh	Deputy General Director	05/10/1956	Intermediate Degree in Economic Management	17/08/2023
3	Ms. Pham Le Khanh Han	Deputy General Director	18/11/1981	Foreign Economics	12/05/2021

**V. Chief Accountant**

Name	Date of Birth	Qualification	Date of appointment/ dismissal
Ms. Pham Le Khanh Huyen	20/01/1978	Bachelor of Economics	Appointed on 04/05/2022

**VI. Training courses on corporate governance**

In 2025, the Members of the Board of Directors, the Board of Supervisors, the General Director, the Company Governance Officer, and management personnel participated in seminars, conferences, and specialized Training courses on corporate governance programs.

Through these programs, the members have regularly updated legal regulations and corporate governance practices, thereby contributing to enhancing knowledge, skills, and efficiency in the Company's management and administration, specifically as follows:

- The workshop titled *"For a Renewed General Meeting of Shareholders"* in 2025, co-organized by the Vietnam Institute of Directors (VIOD) in collaboration with the State Securities Commission (SSC), the Ho Chi Minh City Stock Exchange (HOSE), and the Hanoi Stock Exchange (HNX).
- The conference on *"Securities Law Compliance and Noting Common Errors in Preparing*



*Financial Statements*" organized by the State Securities Commission in collaboration with the Department of Accounting and Auditing Supervision – Ministry of Finance and the Vietnam Association of Certified Public Accountants (VACPA) to enhance the quality of information in the securities market and support public companies and listed companies before the preparation of their 2025 semi-annual financial statements.

– Workshop "Some Notes on Decree 245/2025/NĐ-CP dated September 11, 2025 amending and supplementing Decree 155/2020/NĐ-CP dated December 31, 2020" organized by the Vietnam Institute of Directors (VIOD) on September 25, 2025. The workshop featured sharing from representatives of the Securities Market Development Board, The State Securities Commission (SSC); Senior Experts & Lawyers specializing in Corporate Governance (CG) within the VIOD network, and representatives of listed enterprises.

**VII. The list of affiliated persons of the public company (Report for 2025) and transactions of affiliated persons of the Company**

1. The list of affiliated persons of the Company: *Appendix 01 attached*
2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, Insider and affiliated persons: *Appendix 02 attached*
3. Transaction between Insider of the Company, affiliated persons of Insider and the Company's subsidiaries in which the Company takes controlling power: **None**
4. Transactions between the Company and other objects:
  - 4.1. Transactions between the Company and companies where Board of Directors' members, Board of Supervisors' members, the General Director, and other managers have been or are currently founding members or Board of Directors' members, Chief Executive Officers within the last three (03) years (calculated as of December 31, 2025): *Appendix 03 attached*
  - 4.2. Transactions between the Company and companies where affiliated persons of Board of Directors' members, Board of Supervisors' members, the General Director, and other managers are Board of Directors' members, Chief Executive Officers: **None**
  - 4.3. Other transactions of the Company (If any) that may bring material or non-material benefits to Board of Directors' members, Board of Supervisors' members, the General Director, and other managers: **None**

**VIII. Share transactions of Insider and their affiliated persons (Report for 2025)**

1. The list of Insider and their affiliated persons: *Appendix 04 attached*
2. Transactions of Insider and affiliated persons with shares of the company: *Appendix 05 attached*

**IX. Other significant issues: **None****

**Recipient:**

- As above;
- Archived: Clerk, Secretary

**CHAIRMAN OF THE BOARD OF DIRECTORS**

*(Signature, full name and seal)*



**PHAM THAI BINH**



# APPENDIX 01

## THE LIST OF AFFILIATED PERSONS OF THE COMPANY

(Attached to the Report On Corporate Governance for 2025, No. 01/2026/BCQT/TAR on January 27, 2026 of Trung An High-Tech Farming Joint Stock Company)

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	No.*, issued on, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason	Relationship with the Company/ Insider	Note
1	Novotech Trung Hung Co., Ltd	-	-			01/07/2012	-	-	Affiliated company	Currently suspending business operations
2	Viet Duc Vietnam Duc Export Rice Trading and Manufacturing Co., Ltd	-	-			07/08/2009	-	-	Affiliated company	Currently suspending business operations
3	Trung An Kien Giang High-Tech Farming Joint Stock Company	-	-			07/06/2016	-	-	Company's subsidiary	
3.1	Pham Thai Binh	-	-			07/06/2016	-	-	Chairman of the Board of Directors of the Company's subsidiary	

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	No.*, issued on, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason	Relationship with the Company/ Insider	Note
3.2	Nguyen Phuoc Nam	-	-			07/06/2016	-	-	Board of Directors' members	
3.3	Nguyen Thi Tham	-	-			07/06/2016	-	-	Board of Directors' members concurrently General Director of the Company's subsidiaries	
3.4	Huynh Nguyen Thuy Vy	-	-			07/06/2016	17/11/2025	Dismissal	Chief Accountant of the Company's subsidiaries	
3.5	Le Nhat Nam	-	-			17/11/2025	-	-	Chief Accountant of the Company's subsidiaries	
4	Trung An Rice Export Company Limited	-	-			20/05/2022	15/04/2025	The company dissolution was	Subsidiary Company	



No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	No.*, issued on, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason	Relationship with the Company/ Insider	Note
								completed on 15/04/2025		
4.1	Ly Trung Chi	-	-			20/05/2022	15/04/2025	-	Director of the Company's subsidiaries	
4.2	Tran Phan Nguyet Anh	-	-			20/05/2022	15/04/2025	-	Chief Accountant of the Company's subsidiaries	
5	Trung An Real Estate One Member Limited Liability Company	-	-			20/05/2022	07/05/2025	The company dissolution was completed as of 07/05/2025	Company's subsidiaries	
5.1	Pham Tran Thanh Tan	-	-			20/05/2022	07/05/2025	-	Director of the Company's subsidiaries	
5.2	Tran Phan Nguyet Anh	-	-			20/05/2022	07/05/2025	-	Chief Accountant of	

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	No.*, issued on, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason	Relationship with the Company/ Insider	Note
									the Company's subsidiaries	
6	Pham Thai Binh	-	Chairman of the BOD/ Deputy General Director			29/06/2023	-	-	Insider/Share holder owning more than 10%	
6.01	Le Thi Tuyet	-	Member of the BOD			29/06/2023	-	-	Wife	
6.02	Pham Le Khanh Huyen	-	Chief Accountant			29/06/2023	-	-	Daughter	
6.03	Pham Le Vu Linh	-	-			29/06/2023	-	-	Son	
6.04	Pham Le Khanh Han	-	Member of the BOD/ Deputy			29/06/2023	-	-	Daughter	



No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	No.*, issued on, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason	Relationship with the Company/ Insider	Note
			General Director							
6.05	Pham Huu Sau	-	-			29/06/2023	-	-	Younger Brother	
6.06	Pham Huu Tam	-	-			29/06/2023	-	-	Younger Brother	
6.07	Pham Huu Bich	-	-			29/06/2023	-	-	Younger Brother	
6.08	Huynh Thi Loan	-	-			29/06/2023	-	-	Sister-in-law	
6.09	Pham Huu Long	-	-			-	-	-	Older Brother	
6.10	Nguyen Van Thi	-	-			29/06/2023	-	-	Brother-in-law	

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	No.*, issued on, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason	Relationship with the Company/ Insider	Note
6.11	Pham Thi Lanh	-	-			29/06/2023	-	-	Younger Sister	
6.12	Pham Huu Bay	-	-			29/06/2023	-	-	Younger Brother	
6.13	Novotech Trung Hung Co., Ltd	-	-			29/06/2023	-	-	Director	
6.14	Viet Duc Vietnam Duc Export Rice Trading and Manufacturing Co., Ltd	-	-			29/06/2023	-	-	Director	
6.15	Trung An Kien Giang High-Tech Farming Joint Stock Company	-	-			29/06/2023	-	-	Chairman of the BOD	



No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	No.*, issued on, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason	Relationship with the Company/ Insider	Note
7	Le Thi Tuyet	-	Member of the Board of Directors			29/06/2023	-	-	Insider	
7.01	Pham Thai Binh	-	Chairman of the Board of Directors/ Deputy General Director			29/06/2023	-	-	Husband	
7.02	Pham Le Khanh Huyen	-	Chief Accountant			29/06/2023	-	-	Daughter	
7.03	Pham Le Vu Linh	-	-			29/06/2023	-	-	Son	
7.04	Pham Le Khanh Han	-	Member of the Board of Directors/ Deputy General			29/06/2023	-	-	Daughter	

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	No.*, issued on, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason	Relationship with the Company/ Insider	Note
			Director							
7.05	Le Thi Mai Xinh	-	-			29/06/2023	-	-	Elder Sister	
7.06	Le Mai Tuoi	-	-			29/06/2023	-	-	Elder Sister	
7.07	Nguyen Kim Long	-	-			29/06/2023	-	-	Elder brother-in-law	
7.08	Nguyen Huu Nhan	-	-			29/06/2023	-	-	Younger brother-in-law	
7.09	Le Thi Phuong Nhi	-	-			29/06/2023	-	-	Younger Sister	



No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	No.*, issued on, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason	Relationship with the Company/ Insider	Note
7.10	Phan Van Tri	-	-			29/06/2023	-	-	Younger brother-in-law	
8	Lu Le Tran	-	Board of Directors' members			29/06/2023	-	-	Insider	
8.01	Truong Van Be Sau	-	-			29/06/2023	-	-	Husband	
8.02	Truong Kha Tu	-	-			29/06/2023	-	-	Child	
8.03	Truong Kha Ai	-	-			29/06/2023	-	-	Child	
8.04	Lu Van Tai	-	-			-	-	-	Father	

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	No.*, issued on, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason	Relationship with the Company/ Insider	Note
8.05	Tran Thi Nguyen Suong	-	-			-	-	-	Mother	
9	Pham Le Khanh Han	-	Member of the Board of Directors/ Deputy General Director			29/06/2023	-	-	Insider	
9.01	Pham Thai Binh	-	Chairman of the BOD/ Deputy General Director			29/06/2023	-	-	Father	
9.02	Le Thi Tuyet	-	Member of the BOD			29/06/2023	-	-	Mother	
9.03	Pham Le Vu Linh	-	-			29/06/2023	-	-	Older Brother	
9.04	Pham Le Khanh Huyen	-	Chief Accountant			29/06/2023	-	-	Elder Sister	





No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	No.*, issued on, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason	Relationship with the Company/ Insider	Note
9.05	Nguyen Pham My Quan	-	-			29/06/2023	-	-	Daughter	
9.06	Nguyen Pham Hanh Quan	-	-			29/06/2023	-	-	Daughter	
10	Nguyen Le Bao Trang	-	Member of the Board of Directors cum General Director			29/06/2023	-	-	Insider	
10.1	Nguyen Kim Long	-	-			29/06/2023	-	-	Father	
10.2	Le Mai Tuoi	-	-			29/06/2023	-	-	Mother	
10.3	Nguyen Quang Tuan	-	-			29/06/2023	-	-	Husband	

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	No.*, issued on, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason	Relationship with the Company/ Insider	Note
10.4	Nguyen Vu Hai Anh	-	-			29/06/2023	-	-	Child	
10.5	Nguyen Vu Bao Anh	-	-			29/06/2023	-	-	Child	
11	Huynh Nguyen Thuy Vy	-	Head of the Board of Supervisors			29/06/2023	27/12/2025	Dismissal	Insider	
11.1	Huynh Long Thanh	-	-			29/06/2023	27/12/2025	-	Father	
11.2	Nguyen Thi Thuy	-	-			29/06/2023	27/12/2025	-	Mother	
11.3	Huynh Thanh Phat	-	-			29/06/2023	27/12/2025	-	Sibling	



No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	No.*, issued on, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason	Relationship with the Company/ Insider	Note
11.4	Nguyen Truong Son	-	-			29/06/2023	27/12/2025	-	Husband	
11.5	Nguyen Truong An	-	-			29/06/2023	27/12/2025	-	Child	
11.6	Nguyen Truong Khang	-	-			29/06/2023	27/12/2025	-	Child	
11.7	Nguyen Van Kha	-	-			29/06/2023	27/12/2025	-	Father-in-law	
11.8	Vo Thi Tang	-	-			29/06/2023	27/12/2025	-	Mother-in-law	
11.9	Trung An Kien Giang High-Tech Farming Joint Stock Company	-	-			29/06/2023	27/12/2025	-	Chief Accountant	Dismissed on November 17, 2025

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	No.*, issued on, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason	Relationship with the Company/ Insider	Note
12	Nguyen Van Duc	-	Member of the BOS			29/06/2023	-	-	Insider	
12.1	Nguyen Thi Thanh Hang	-	-			29/06/2023	-	-	Mother	
12.2	Nguyen Van Thien	-	-			29/06/2023	-	-	Father	
13	Huynh Thi Ngoc Quyen	-	Member of the BOS			26/06/2024	24/06/2025	Dismissal	Insider	
13.1	Le Thi Ngoc Huong	-	-			26/06/2024	24/06/2025		Ba	



No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	No.*, issued on, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason	Relationship with the Company/ Insider	Note
13.2	Huynh Minh Tam	-	-			26/06/2024	24/06/2025		Mother	
14	Nguyen Thi Ngoc Trang	-	Member of the BOS			24/06/2025	-	-	Insider	
14.1	Nguyen Kim Tan	-	-			24/06/2025	-	-	Father	
14.2	Le Thi Ngoc Bich	-				24/06/2025	-	-	Mother	
14.3	Nguyen Le Thanh	-	-			24/06/2025	-	-	Younger Sibling	
14.4	Chung Nguyen Bao Ngan	-	-			24/06/2025	-	-	Child	

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	No.*, issued on, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason	Relationship with the Company/ Insider	Note
15	Pham Tran Thanh Tan	-	Member of the Board of Supervisors			01/06/2023	-	-	Insider	
15.1	Pham Tan Dung	-	-			01/06/2023	-	-	Father	
15.2	Tran Thi Thuy Huong	-	-			01/06/2023	-	-	Mother	
15.3	Pham Tran Thuy An	-	-			01/06/2023	-	-	Sister	
15.4	Lac Yen Binh	-	-			01/06/2023	-	-	Wife	



No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	No.*, issued on, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason	Relationship with the Company/ Insider	Note
15.5	Lac Chan Huy	-	-			01/06/2023	-	-	Father-in-law	
15.6	Tran Thi Cam Huong	-	-			01/06/2023	-	-	Mother-in-law	
15.7	Pham Bach Hy	-	-			01/06/2023	-	-	Child	
15.8	Trung An Real Estate Business Single-Member Limited Liability Company	-	-			01/06/2023	07/05/2025	Completed the company dissolution starting from Date 07/05/2025	Legal Representative	
16	Pham Le Khanh Huyen	-	Chief Accountant			29/06/2023	-	-	Insider	

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	No.*, issued on, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason	Relationship with the Company/ Insider	Note
16.1	Pham Thai Binh	-	Chairman of the BOD/ Deputy General Director			29/06/2023	-	-	Father	
16.2	Le Thi Tuyet	-	Member of the BOD			29/06/2023	-	-	Mother	
16.3	Pham Le Vu Linh	-	-			29/06/2023	-	-	Younger Brother	
16.4	Pham Le Khanh Han	-	Member of the BOD/ Deputy General Director			29/06/2023	-	-	Younger Sister	
16.5	Phan Ngoc Khanh	-	-			29/06/2023	-	-	Child	



No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	No. *, issued on, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason	Relationship with the Company/ Insider	Note
16.6	Phan Nam Khanh	-	-			29/06/2023	-	-	Child	
17	Truong Kha Tu	-	Person in charge of Corporate Governance			19/11/2025	-	-	Insider	
17.1	Truong Van Be Sau	-	-			19/11/2025	-	-	Father	
17.2	Lu Le Tran	-	Member of the Board of Directors			19/11/2025	-	-	Mother	
17.3	Truong Kha Ai	-	-			19/11/2025	-	-	Younger Sibling	

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	No.*, issued on, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason	Relationship with the Company/ Insider	Note
17.4	Truong Tu Ngoc	-	-			19/11/2025	-	-	Child	

*Note: NSH No.: ID card No./Passport No. (As for individuals) or Business Registration Certificate No., License on Operations or equivalent legal documents (As for organisations).*





**APPENDIX 02:**

**TRANSACTIONS BETWEEN THE COMPANY AND ITS AFFILIATED PERSONS OR BETWEEN THE COMPANY AND ITS MAJOR SHAREHOLDERS, INTERNAL PERSONS AND AFFILIATED PERSONS**

*(Attached to the Report on Corporate Governance for 2025, No. 01/2026/BCQT/TAR on January 27, 2026  
of Trung An Hi-Tech Farming Joint Stock Company)*

No.	Organization/Individual Name	Relationship with the Company	Enterprise Registration Certificate No.*, Date of Issue, Issuing Authority	Head Office Address/Contact Address	Transaction Date with the Company	Resolution/Decision No. of the General Meeting of Shareholders/Board of Directors... for approval (if applicable, specify the date of issuance)	Transaction Details, Quantity, Total Value (excluding VAT)	Note
1	Trung An Kien Giang High-Tech Farming Joint Stock Company	Company's subsidiary				Board of Directors Resolution No. 01/2025/TAR/NQ-HDQT dated January 14, 2025	Drying processing services: 35,413,594 VND Purchase of paddy, rice, broken rice, bran, by-products, packaging: 65,247,621,550 VND Sale of paddy and rice: 173,446,663,000 VND Warehouse rental services, milling, drying, color sorting, and polishing processing: 1,347,518,400 VND	

*Note: NSH No.: ID card No./Passport No. (As for individuals) or Business Registration Certificate No., License on operations or equivalent legal documents (As for organisations).*

### APPENDIX 03

**TRANSACTIONS BETWEEN THE COMPANY AND THE COMPANY THAT ITS MEMBERS OF BOARD OF MANAGEMENT, THE BOARD OF SUPERVISORS, GENERAL DIRECTOR HAVE BEEN FOUNDING MEMBERS OR MEMBERS OF BOARD OF DIRECTORS, OR CEOS IN THREE (03) LATEST YEARS (AS OF 31/12/2025)**

*(Attached to the Report on Corporate Governance for 2025, No. 01/2026/BCQT/TAR on January 27, 2026 of Trung An Hi-Tech Farming Joint Stock Company)*

No.	Organization/ Individual Name	Relationship with the Company	Business registration certificate No., date of issue, place of issue	Head office address/contact address	Transaction Date with the Company	Transaction Details, Quantity, Total Value (excluding VAT)	Notes
1	An Tho Production Trading Co., Ltd.				Year 2025	Sale of paddy and rice: 613,334,186,000 VND  Warehouse rental services: 895,000,000 VND  Purchase of goods: 922,938,524 VND	

*Note: NSH No.: ID card No./Passport No. (As for individuals) or Business Registration Certificate No., License on operations or equivalent legal documents (As for organisations).*



# APPENDIX 04

## THE LIST OF INTERNAL PERSONS AND THEIR AFFILIATED PERSONS

(Attached to the Report on Corporate Governance for 2025, No. 01/2026/BCQT/TAR on January 27, 2026  
of Trung An Hi-Tech Farming Joint Stock Company)

No.	Name of organization/ individual	Securities trading account (if any)	Position at the company (if any)	No. ID card/ Passport/ Business Registration Certificate	Date of Issue of ID card / Business Registration (dd/mm/yyyy)	Place of Issue ID card /Business Registration	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1	Pham Thai Binh	-						11,000,000	14%	Insider
1.01	Le Thi Tuyet	-						-	-	Wife
1.02	Pham Le Khanh Huyen	-						-	-	Daughter
1.03	Pham Le Vu Linh	-						-	-	Son

No.	Name of organization/ individual	Securities trading account (if any)	Position at the company (if any)	No. ID card/ Passport/ Business Registration Certificate	Date of Issue of ID card / Business Registration (dd/mm/yyyy)	Place of Issue ID card /Business Registration	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1.04	Pham Le Khanh Han	-						-	-	Daughter
1.05	Pham Huu Sau	-						-	-	Younger Brother
1.06	Pham Huu Tam	-						-	-	Younger Brother
1.07	Pham Huu Bich	-						-	-	Younger Brother
1.08	Huynh Thi Loan	-						-	-	Sister-in-law
1.09	Pham Huu Long	-						-	-	Older Brother
1.10	Nguyen Van Thi	-						-	-	Brother-in-law



No.	Name of organization/ individual	Securities trading account (if any)	Position at the company (if any)	No. ID card/ Passport/ Business Registration Certificate	Date of Issue of ID card / Business Registration (dd/mm/yyyy)	Place of Issue ID card /Business Registration	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1.11	Pham Thi Lanh	-						-	-	Younger Sister
1.12	Pham Huu Bay	-						-	-	Younger Brother
1.13	Novotech Trung Hung Co., Ltd	-						-	-	Director
1.14	Viet Duc Vietnam Duc Export Rice Trading and Manufacturing Co., Ltd	-						-	-	Director
1.15	Trung An Kien Giang High-Tech Farming Joint Stock Company	-						-	-	Chairman of the BOD

No.	Name of organization/ individual	Securities trading account (if any)	Position at the company (if any)	No. ID card/ Passport/ Business Registration Certificate	Date of Issue of ID card / Business Registration (dd/mm/yyyy)	Place of Issue ID card /Business Registration	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
2	Le Thi Tuyet	-						-	-	Insider
2.01	Pham Thai Binh	-						11,000,000	14%	Husband
2.02	Pham Le Khanh Huyen	-						-	-	Daughter
2.03	Pham Le Vu Linh	-						-	-	Son
2.04	Pham Le Khanh Han	-						-	-	Daughter
2.05	Le Thi Mai Xinh	-						-	-	Elder Sister
						administrative				





No.	Name of organization/ individual	Securities trading account (if any)	Position at the company (if any)	No. ID card/ Passport/ Business Registration Certificate	Date of Issue of ID card / Business Registration (dd/mm/yyyy)	Place of Issue ID card /Business Registration	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
2.06	Le Mai Tuoi	-						-	-	Elder Sister
2.07	Nguyen Kim Long	-						-	-	Brother-in- Law
2.08	Nguyen Huu Nhan	-						-	-	Brother-in-law
2.09	Le Thi Phuong Nhi	-						-	-	Younger Sister
2.10	Phan Van Tri	-						-	-	Brother-in-law

No.	Name of organization/ individual	Securities trading account (if any)	Position at the company (if any)	No. ID card/ Passport/ Business Registration Certificate	Date of Issue of ID card / Business Registration (dd/mm/yyyy)	Place of Issue ID card /Business Registration	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
3	Lu Le Tran	-	Deputy administrative					726	-	Insider
3.01	Truong Van Be Sau	-						-	-	Husband
3.02	Truong Kha Tu	-						-	-	Child
3.03	Truong Kha Ai	-						-	-	Child
3.04	Lu Van Tai	-						-	-	Father
3.05	Tran Thi Nguyen Suong	-						-	-	Mother
4	Pham Le Khanh Han	-						-	-	Insider

No.	Name of organization/ individual	Securities trading account (if any)	Position at the company (if any)	No. ID card/ Passport/ Business Registration Certificate	Date of Issue of ID card / Business Registration (dd/mm/yyyy)	Place of Issue ID card /Business Registration	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
4.01	Pham Thai Binh	-						11,000,000	14%	Father
4.02	Le Thi Tuyet	-						-	-	Mother
4.03	Pham Le Vu Linh	-						-	-	Older Brother
4.04	Pham Le Khanh Huyen	-						-	-	Elder Sister
4.05	Nguyen Pham My Quan	-						-	-	Child



No.	Name of organization/ individual	Securities trading account (if any)	Position at the company (if any)	No. ID card/ Passport/ Business Registration Certificate	Date of Issue of ID card / Business Registration (dd/mm/yyyy)	Place of Issue ID card /Business Registration	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
4.06	Nguyen Pham Hanh Quan	-						-	-	Child
5	Nguyen Le Bao Trang	-						1,128,300	1.44%	Insider
5.1	Nguyen Kim Long	-						-	-	Father
5.2	Le Mai Tuoi	-						-	-	Mother
5.3	Nguyen Quang Tuan	-						-	-	Husband
5.4	Nguyen Vu Hai Anh	-						-	-	Child

No.	Name of organization/ individual	Securities trading account (if any)	Position at the company (if any)	No. ID card/ Passport/ Business Registration Certificate	Date of Issue of ID card / Business Registration (dd/mm/yyyy)	Place of Issue ID card /Business Registration	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
5.5	Nguyen Vu Bao Anh	-						-	-	Child
6	Huynh Nguyen Thuy Vy	-						-	-	Insider (dismissed on 27/12/2025)
6.1	Huynh Long Thanh	-						-	-	Father
6.2	Nguyen Thi Thuy	-						-	-	Mother
6.3	Huynh Thanh Phat	-						-	-	Younger sibling
6.4	Nguyen Truong Son	-						145	-	Husband

No.	Name of organization/ individual	Securities trading account (if any)	Position at the company (if any)	No. ID card/ Passport/ Business Registration Certificate	Date of Issue of ID card / Business Registration (dd/mm/yyyy)	Place of Issue ID card /Business Registration	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
6.5	Nguyen Truong An	-						-	-	Child
6.6	Nguyen Truong Khang	-						-	-	Child
6.7	Nguyen Van Kha	-						-	-	Father-in-law
6.8	Vo Thi Tang	-						-	-	Mother-in-law
6.9	Trung An Kien Giang High-Tech Farming Joint Stock Company	-						-	-	Chief Accountant (dismissed on November 17, 2025)
7	Nguyen Van Duc	-						-	-	Insider



No.	Name of organization/ individual	Securities trading account (if any)	Position at the company (if any)	No. ID card/ Passport/ Business Registration Certificate	Date of Issue of ID card / Business Registration (dd/mm/yyyy)	Place of Issue ID card /Business Registration	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
7.1	Nguyen Thi Thanh Hang	-						-	-	Mother
7.2	Nguyen Van Thien	-						-	-	Father
8	Huynh Thi Ngoc Quyen	-						-	-	<b>Insider</b> (dismissed on June 24, 2025)
8.1	Le Thi Ngoc Huong	-						-	-	Father
8.2	Huynh Minh Tam	-						-	-	Mother

No.	Name of organization/ individual	Securities trading account (if any)	Position at the company (if any)	No. ID card/ Passport/ Business Registration Certificate	Date of Issue of ID card / Business Registration (dd/mm/yyyy)	Place of Issue ID card /Business Registration	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
9	Nguyen Thi Ngoc Trang	-						-	-	Insider
9.01	Nguyen Kim Tan	-						-	-	Father
9.02	Le Thi Ngoc Bich	-						-	-	Mother
9.03	Nguyen Le Thanh	-						-	-	Younger Sibling
9.04	Chung Nguyen Bao Ngan	-						-	-	Child
10	Pham Tran Thanh Tan	-						-	-	Insider

No.	Name of organization/ individual	Securities trading account (if any)	Position at the company (if any)	No. ID card/ Passport/ Business Registration Certificate	Date of Issue of ID card / Business Registration (dd/mm/yyyy)	Place of Issue ID card /Business Registration	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
10.1	Pham Tan Dung	-						-	-	Ba
10.2	Tran Thi Thuy Huong	-						-	-	Mother
10.3	Pham Tran Thuy An	-						-	-	Elder Sister
10.4	Lac Yen Binh	-						-	-	Wife
10.5	Lac Chan Huy	-						-	-	Father-in-law



No.	Name of organization/ individual	Securities trading account (if any)	Position at the company (if any)	No. ID card/ Passport/ Business Registration Certificate	Date of Issue of ID card / Business Registration (dd/mm/yyyy)	Place of Issue ID card /Business Registration	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
10.6	Tran Thi Cam Huong	-						-	-	Mother-in-law
10.7	Pham Bach Hy	-						-	-	Child
10.8	Trung An Real Estate Business Company Limited <i>(Dissolution of the company was completed on May 7, 2025)</i>	-						-	-	Legal Representative
11	Pham Le Khanh Huyen	-						-	-	Insider
11.01	Pham Thai Binh	-						11.000.000	14%	Father

No.	Name of organization/ individual	Securities trading account (if any)	Position at the company (if any)	No. ID card/ Passport/ Business Registration Certificate	Date of Issue of ID card / Business Registration (dd/mm/yyyy)	Place of Issue ID card /Business Registration	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
11.02	Le Thi Tuyet	-						-	-	Mother
11.03	Pham Le Vu Linh	-						-	-	Younger Brother
11.04	Pham Le Khanh Han	-						-	-	Younger Sister
11.05	Phan Ngoc Khanh	-						-	-	Child
11.06	Phan Nam Khanh	-						-	-	Child
12	Trương Kha Tu	-						-	-	Insider

No.	Name of organization/ individual	Securities trading account (if any)	Position at the company (if any)	No. ID card/ Passport/ Business Registration Certificate	Date of Issue of ID card / Business Registration (dd/mm/yyyy)	Place of Issue ID card /Business Registration	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
12.1	Truong Van Be Sau	-						-	-	Father
12.2	Lu Le Tran	-						-	-	MOTHER
12.3	Truong Kha Ai	-						-	-	YOUNGER SIBLING



No.	Name of organization/ individual	Securities trading account (if any)	Position at the company (if any)	No. ID card/ Passport/ Business Registration Certificate	Date of Issue of ID card / Business Registration (dd/mm/yyyy)	Place of Issue ID card /Business Registration	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
12.4	Truong Tu Ngọc	-						-	-	CHILD

**APPENDIX 05:**

**TRANSACTIONS OF INTERNAL PERSONS AND AFFILIATED PERSONS WITH SHARES OF THE COMPANY**

*(Attached to the Report on Corporate Governance for 2025, No. 01/2026/BCQT/TAR on January 27, 2026  
of Trung An Hi-Tech Farming Joint Stock Company)*

No.	Transaction executor	Relationship with internal persons	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reasons for increasing, decreasing (buying, selling, converting, rewarding, etc.)
			Number of shares	Percentage	Number of shares	Percentage	
1	Nguyen Le Bao Trang – General Director cum Member of the Board of Directors	-	0	0	1.128.300	1,44%	Buying