

No.: 33/2026/TB – DWC

Dak Lak, February 10, 2026

NOTIFICATION

(Regarding the failure to meet the requirements for a public company)

**To: - State Securities Commission;
- Hanoi Stock Exchange.**

Based on the Securities Law No. 54/2019/QH14 dated November 26, 2019;

Based on the Law amending and supplementing a number of articles of the Securities Law, Accounting Law, Independent Auditing Law, State Budget Law, Law on Management and Use of Public Assets, Tax Management Law, Personal Income Tax Law, National Reserve Law, and Law on Handling Administrative Violations No. 56/2024/QH15 dated November 29, 2024;

Based on Circular No. 96/2020/TT-BTC dated November 16, 2020 guiding the disclosure of information on the securities market;

Based on Circular No. 19/2025/TT-BTC dated May 5, 2025 of the Ministry of Finance regulating the registration of public companies, cancellation of public company status, and reporting on audited contributed charter capital.

Issuer Name: DAK LAK WATER SUPPLY JOINT STOCK COMPANY

**Securities Name: DAK LAK WATER SUPPLY JOINT STOCK COMPANY
SHARES**

Securities Code: DWC

Head Office: 339 Ton Duc Thang Street, Tan An Ward, Dak Lak Province, Vietnam.

Telephone: 0262.3852.619

Securities Type: Common Stock

Par Value: VND 10,000/share

Exchange: UPCOM

According to the Securities Depository and Clearing Company, the company has a total of 373 shareholders:

Of which:

+ Major shareholders: 4 shareholders, holding 30,000,500 voting shares (representing 95.2%).

+ Shareholders who are not major shareholders: 369 shareholders, holding 1,519,500 voting shares (representing 4.8%).

Therefore, the shareholder structure does not meet one of the conditions stipulated in Point a, Clause 1, Article 32 of the Securities Law No. 54/2019/QH14, as amended and supplemented by Clause 11, Article 1 of Law No. 56/2024/QH15, specifically the condition: “...at least 10% of the voting shares are held by at least 100 investors who are not major shareholders.”

Dak Lak Water Supply Joint Stock Company respectfully informs the State Securities Commission and the Hanoi Stock Exchange.

Dak Lak Water Supply Joint Stock Company commits to continuing to fully fulfill all obligations as stipulated by current laws.

Thank you.

Recipients:

- *As above;*
- *Copies to: Board of Directors,*
Supervisory Board, Secretariat.

**LEGAL REPRESENTATIVE
CHAIRMAN OF THE BOARD OF
DIRECTORS**



Do Hoang Phuc