



CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM  
Độc lập - Tự do - Hạnh phúc

No: 12/2026/CV-SBS

V/v Finalizing the list of shareholders for the 2026  
Annual General Meeting.

Hochiminh City, March 04, 2026

## NOTIFICATION

(Regarding the final registration date for the 2026 Annual General Meeting of Shareholders)

**To: Vietnam Securities Depository and Clearing Corporation (VSDC)**

Name of Securities Registration Organization: **SBS Securities Joint Stock Company**

Trading Name: SBS Securities Joint Stock Company

Head Office: 40 Pham Ngoc Thach Street, Xuan Hoa Ward, Ho Chi Minh City

Telephone: (84-28) 62 68 68 68 Fax: (84-28) 62 55 59 57

**We hereby inform the Vietnam Securities Depository and Clearing Corporation (VSDC) of the last registration date for compiling the list of shareholders for the following securities:**

Securities Name: Shares of SBS Securities Joint Stock Company

Securities Code: SBS

Securities Type: - Common shares: 146,607,600 shares

- Voting/Redemption/Dividend preferred shares...: None

Par value: VND 10,000/share

Exchange: UPCOM

Last registration date: March 25, 2026

### **1. Reasons and Purpose (clearly state the details of the implementation)**

- To hold the 2026 Annual General Meeting of Shareholders

### **2. Specific Details:**

- Implementation Ratio:

+ For common shares: 1 share – 1 voting right

+ For preferred voting shares: None

- Implementation Time: Expected in April 2026

- Expected Location: Head Office of SBS Securities Joint Stock Company, 40 Pham Ngoc Thach Street, Xuan Hoa Ward, Ho Chi Minh City

- The following information pertains to the Annual General Meeting of Shareholders:

a. Reports from the Board of Directors, Supervisory Board, and Executive Board

b. Audited financial statements of the Company for 2025 and business plan for 2026

c. Other matters within the authority of the General Meeting of Shareholders to approve.



We request that VSDC compile and send to our company a list of securities holders as of the aforementioned final registration date via VSDC's electronic communication portal.

**Recipient:**

- As above;
- Hanoi Stock Exchange;
- Storage TH room.

**LEGAL REPRESENTATIVE  
CHIEF EXECUTIVE OFFICER**



**DUONG MANH HUNG**

**\* Attached document**

- Resolution No. 03/2026/NQ-HĐQT dated March 4, 2026, of the Board of Directors regarding the closing of the shareholder list for the Annual General Meeting.

