

DECISION

Regarding the amendment of the Decision approving the establishment of the securities company branch

THE CHAIRMAN OF THE STATE SECURITIES COMMISSION

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;

Pursuant to Decree No. 155/2020/ND-CP dated December 31, 2020, of the Government detailing the implementation of several articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/ND-CP dated September 11, 2025;

Pursuant to Decision No. 686/QD-BTC dated February 28, 2025, of the Minister of Finance regulating the functions, tasks, powers, and organizational structure of the State Securities Commission;

Pursuant to the Securities Business License No. 26/UBCK-GPHD KD dated December 19, 2006, issued by the Chairman of the State Securities Commission to PetroVietnam Securities Incorporated;

Pursuant to the Decision on the establishment of the securities company branch No. 268/QD-UBCK dated April 20, 2007, issued by the Chairman of the State Securities Commission approving PetroVietnam Securities Incorporated to establish the PetroVietnam Securities Incorporated – Ho Chi Minh City Branch;

Pursuant to the Dossier requesting the update of personal information of the Director of the Ho Chi Minh City Branch and the location of the Ho Chi Minh City Branch due to changes in administrative boundaries by PetroVietnam Securities Incorporated;

At the proposal of the Director of the Securities Business Management Department.

DECIDES:

Article 1. To amend the Decision on the establishment of the securities company branch No. 268/QD-UBCK dated April 20, 2007, issued by the Chairman of the State Securities Commission approving PetroVietnam Securities Incorporated to establish the PetroVietnam Securities Incorporated – Ho Chi Minh City Branch with the following content:

- Branch Director:

- + **Full name:** Luu Thi Viet Ha
- + **Nationality:** Vietnam
- + **Date of birth:** April 14, 1982
- + **Personal identification number:**

- **Branch location:** 1st Floor, PVFCCo Building, No. 43 Mac Dinh Chi Street, Sai Gon Ward, Ho Chi Minh City.

Article 2. This Decision takes effect from the date of signing and is an integral part of the Securities Business License No. 26/UBCK-GPHDKD dated December 19, 2006, issued by the Chairman of the State Securities Commission to PetroVietnam Securities Incorporated, and the Decision on the establishment of the securities company branch No. 268/QD-UBCK dated April 20, 2007, issued by the Chairman of the State Securities Commission approving PetroVietnam Securities Incorporated to establish the PetroVietnam Securities Incorporated – Ho Chi Minh City Branch.

Article 3. The Chief of Staff, the Director of the Securities Business Management Department, the General Director of the Vietnam Exchange, the General Director of the Ho Chi Minh City Stock Exchange, the General Director of the Hanoi Stock Exchange, the General Director of the Vietnam Securities Depository and Clearing Corporation, PetroVietnam Securities Incorporated, and relevant parties are responsible for implementing this Decision.

Recipient:

- As stated in Article 3;
- Chairman (for reporting);
- Archived: Clerical, Business Management Dept (10 copies).

FOR THE CHAIRMAN

VICE CHAIRMAN

(Signed and Sealed)

Le Thi Viet Nga