

DECISION

Regarding the amendment of the Decision approving the establishment of the securities company transaction office

THE CHAIRMAN OF THE STATE SECURITIES COMMISSION

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;

Pursuant to Decree No. 155/2020/ND-CP dated December 31, 2020, of the Government detailing the implementation of several articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/ND-CP dated September 11, 2025;

Pursuant to Decision No. 686/QD-BTC dated February 28, 2025, of the Minister of Finance regulating the functions, tasks, powers, and organizational structure of the State Securities Commission;

Pursuant to the Securities Business License No. 26/UBCK-GPHDKD dated December 19, 2006, issued by the Chairman of the State Securities Commission to PetroVietnam Securities Incorporated;

Pursuant to the Decision approving the establishment of the securities company transaction office No. 815/QD-UBCK dated November 26, 2009, issued by the Chairman of the State Securities Commission approving PetroVietnam Securities Incorporated to establish the PetroVietnam Securities Incorporated – Transaction Office No. 02;

Pursuant to the Dossier requesting the update of information on the location of Transaction Office No. 02 due to changes in administrative boundaries by PetroVietnam Securities Incorporated;

At the proposal of the Director of the Securities Business Management Department.

DECIDES:

Article 1. To amend the Decision approving the establishment of the securities company transaction office No. 815/QD-UBCK dated November 26, 2009, issued by the Chairman of the State Securities Commission approving PetroVietnam Securities Incorporated to establish the PetroVietnam Securities Incorporated – Transaction Office No. 02 with the following content:

- **Location of the transaction office:** 1st Floor, Petro Vietnam Building, No. 18 Lang Ha Street, Giang Vo Ward, Hanoi City.

Article 2. This Decision takes effect from the date of signing and is an integral part of the Securities Business License No. 26/UBCK-GPHDKD dated December 19, 2006, issued by the Chairman of the State Securities Commission to PetroVietnam Securities Incorporated, and the Decision approving the establishment of the securities company transaction office No. 815/QD-UBCK dated November 26, 2009.

Article 3. The Chief of Staff, the Director of the Securities Business Management Department, the General Director of the Vietnam Exchange, the General Director of the Ho Chi Minh City Stock Exchange, the General Director of the Hanoi Stock Exchange, the General Director of the Vietnam Securities Depository and Clearing Corporation, PetroVietnam Securities Incorporated, and relevant parties are responsible for implementing this Decision.

Recipient:

- As stated in Article 3;
- Chairman (for reporting);
- Archived: Clerical, Business
Management Dept (10 copies).

FOR THE CHAIRMAN

VICE CHAIRMAN

(Signed and Sealed)

Le Thi Viet Nga