

MINISTRY OF FINANCE
STATE SECURITIES COMMISSION

SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

No: 159/QD-UBCK

Hanoi, March 18, 2026

DECISION

**Regarding the amendment of the Decision approving the establishment
of a securities company branch**

THE CHAIRPERSON OF THE STATE SECURITIES COMMISSION

Pursuant to the Law on Securities No. 54/2019/QH14, amended and supplemented by Law No. 56/2024/QH15;

Pursuant to Decree No. 155/2020/ND-CP dated December 31, 2020, of the Government detailing the implementation of several articles of the Law on Securities, amended and supplemented by Decree No. 245/2025/ND-CP dated September 11, 2025;

Pursuant to Decision No. 686/QD-BTC dated February 28, 2025, of the Minister of Finance regulating the functions, tasks, powers, and organizational structure of the State Securities Commission;

Pursuant to the Securities Business License No. 26/UBCK-GPHDKD dated December 19, 2006, issued by the Chairperson of the State Securities Commission to PetroVietnam Securities Incorporated;

Pursuant to the Decision approving the establishment of a securities company branch No. 916/QD-UBCK dated December 17, 2009, issued by the Chairperson of the State Securities Commission for PetroVietnam Securities Incorporated to establish PetroVietnam Securities Incorporated – Vung Tau Branch;

Based on the Request for updating branch location information due to changes in administrative boundaries submitted by PetroVietnam Securities Incorporated;

At the proposal of the Director of the Securities Business Management Department.

DECIDES:

Article 1. To amend the Decision approving the establishment of a securities company branch No. 916/QD-UBCK dated December 17, 2009, regarding the establishment of PetroVietnam Securities Incorporated – Vung Tau Branch with the following content:

Branch Location: 5th Floor, Silver Sea Building, No. 47 Ba Cu, Vung Tau Ward, Ho Chi Minh City.

Article 2. This Decision takes effect from the date of signing and is an integral part of Securities Business License No. 26/UBCK-GPHDKD dated December 19, 2006, and Decision No. 916/QD-UBCK dated December 17, 2009.

Article 3. The Chief of Staff, Director of the Securities Business Management Department, General Director of the Vietnam Stock Exchange, General Director of the Ho Chi Minh City Stock Exchange, General Director of the Hanoi Stock Exchange, General Director of the Vietnam Securities Depository and Clearing Corporation, PetroVietnam Securities Incorporated, and relevant parties are responsible for implementing this Decision.

Recipients:

- As stated in Article 3;
- Chairperson (for reporting);
- Archives: Admin, Business Management (10 copies).

**FOR THE CHAIRPERSON VICE
CHAIRPERSON**

(Signed and Stamped)

Le Thi Viet Nga