

**ORIENTAL SHIPPING AND TRADING
JOINT STOCK COMPANY**

**THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness**

No: 42/TB-NOS

Hanoi, April 7th, 2026

*Re: Notice of failure to meet public company
eligibility requirements*

**To: The State Securities Commission (SSC)
 Hanoi Stock Exchange (HNX) (HNX)
 The Vietnam Securities Depository and Clearing Corporation (VSDC)**

1. GENERAL COMPANY INFORMATION

- The Company Name: ORIENTAL SHIPPING AND TRADING JOINT STOCK COMPANY
- The English Name: ORIENTAL SHIPPING AND TRADING JOINT STOCK COMPANY
- Abbreviation: OSTC
- Stock code: NOS
- Headquarters: No. 278 Ton Duc Thang Street, O Cho Dua Ward, Hanoi City
- Telephone: 84-(4) 3851 2688 - Fax: 84-(4) 3856 9967
- Registered Charter Capital: VND 200,560,000,000
- Owner's Equity as of December 31, 2025 (per 2025 Audited Financial Statements): VND (5,304,452,314,182).

2. LEGAL BASIS AND ACTUAL STATUS OF THE COMPANY

Pursuant to Clause 11, Article 1 of Law No. 56/2024/QH15 amending and supplementing Point a, Clause 1, Article 32 of Securities Law No. 54/2019/QH14;

Pursuant to The 2025 Audited Financial Statements of Oriental Shipping and Trading Joint Stock Company, issued by International Auditing and Valuation Co., Ltd.

Accordingly, as of December 31st, 2025, the Company's Owner's Equity stands at negative VND (5,304,452,314,182). Consequently, the Company's Owner's Equity is below the required threshold of VND 30 billion.

Based on the provisions of Clause 11, Article 1 of Law No. 56/2024/QH15, which amends and supplements Point a, Clause 1, Article 32 of Securities Law No. 54/2019/QH14 regarding public company eligibility, the Company currently fails to meet the equity requirement:

"A company must have a contributed charter capital of at least 30 billion VND, owner's equity of at least 30 billion VND, and at least 10% of voting shares held by at least 100 non-major shareholders."

3. COMMITMENTS AND PROPOSALS

Currently, Oriental Shipping and Trading Joint Stock Company is finalizing the procedures to terminate its public company status in accordance with regulations. The Company commits to continue fulfilling all obligations relevant to a public company (including information disclosure and corporate governance) until the State Securities Commission (SSC) issues an official notification regarding the termination of its public company status.

Oriental Shipping and Trading Joint Stock Company respectfully submits this report to the SSC for review and record.

Sincerely,

Recipients:

- *As addressed above;*
- *Archives: Office.*

GENERAL DIRECTOR



GENERAL DIRECTOR
Tram Quang Toan