

**QUANG BINH WATER SUPPLY
JOINT STOCK COMPANY**
No.: 170 /CV - NQB

SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom – Happiness

Quang Tri, April 6, 2026

**To: State Securities Commission.
Hanoi Stock Exchange.**

Pursuant to:

- *The Law on Enterprises No. 59/2020/QH14 was approved by the National Assembly of the Socialist Republic of Vietnam in its 14th session, 9th session on June 17, 2020;*
- *The Law on Securities No. 54/2019/QH14 was approved by the National Assembly of the Socialist Republic of Vietnam at its XIV session, 8th session on November 26, 2019;*
- *Decree 155/2020/ND-CP dated 31/12/2020 of the Government detailing the implementation of a number of articles of the Law on Securities;*
- *Circular 118/2020/TT-BTC of the Ministry of Finance dated 31/12/2020 guiding a number of contents on offering, issuance of securities, public offer, share repurchase, registration of public companies and cancellation of public company status;*
- *Circular 19/2025/TT-BTC of the Ministry of Finance dated 05/05/2025 regulating the registration of public companies, cancellation of public company status, and audited reports on contributed charter capital;*
- *Business Registration Certificate No. 3100130287 issued by the Business Registration Office – Department of Finance of Quang Tri Province, registered for the 10th change, July 4, 2025.*
- *General list of securities holders of Quang Binh Water Supply Joint Stock Company issued by the Vietnam Securities Depository and Clearing Corporation on March 27, 2026;*

Quang Binh Water Supply Joint Stock Company (Stock Code: NQB) would like to send to the SSC the relevant content related to the status of not meeting the conditions as a public company as follows:

- *Pursuant to Article 8 of Circular No. 19/2025/TT-BC dated May 05, 2025:*

"Within 15 days from the date on which one of the conditions specified at Point a, Clause 1, Article 32 of the Law on Securities No. 54/2019/QH14 is amended and supplemented at Point a, Clause 11, Article 1 of the Law No. 56/2024/QH15, public companies are responsible for sending to the State Securities Commission a written notice enclosed with the list of shareholders provided by the Vietnam Securities Depository and Clearing Corporation or set up by the company itself, for public



companies that have not registered their shares at the Vietnam Securities Depository and Clearing Corporation or the annual financial statements have been audited. The company must fully implement

all regulations related to public companies up to the time of the State Securities Commission announces the cancellation of the status of a public company according to the provisions of Clause 3, Article 38 of the Law on Securities No. 54/2019/QH14."

The charter capital and shareholder structure of Quang Binh Water Supply Joint Stock Company are as follows:

- The contributed charter capital of the Company is 172,302,040,000 VND (In words: One hundred and seventy-two billion three hundred and two million zero hundred and forty thousand VND - Business Registration Certificate No. 3100130287 issued by the Business Registration Office - Department of Finance of Quang Tri Province, registered for the 10th change, July 4, 2025)


- Based on the general list of securities holders of Quang Binh Water Supply Joint Stock Company closed on March 25, 2026 made by the Vietnam Securities Depository and Clearing Corporation on March 27, 2026, the shareholder structure of the Company is as follows:

- + Major shareholders: 02 shareholders accounting for 93,16% of the total voting shares.
- + Shareholders who are not major shareholders: 202 shareholders account for 6.84% of the total voting shares.

Accordingly, Quang Binh Water Supply Joint Stock Company would like to report to the SSC on the status of not meeting the conditions for being a public company as prescribed in the Securities Law No. 54/2019/QH14 because less than 10% of the total voting shares are held by investors who are not major shareholders.

The Company hereby commits that within 01 year, it will take necessary measures to rectify and fully meet the conditions of a public company in accordance with applicable laws.

We hope that the State Securities Commission will consider and approve./.

Thank you very much. 

Recipients:

- As above;
- Save: Secretary of the Board of Directors.

**CONFIRMATION OF THE COMPANY'S
LEGAL REPRESENTATIVE
CHAIRMAN OF THE BOARD OF DIRECTORS**



Lê Anh Dũng