



ADJUSTMENT LICENSE

License for establishing and operating a securities business

CHAIRMAN OF THE STATE SECURITIES COMMISSION

Pursuant to the Law on Securities No. 54/2019/QH14 dated November 26, 2019, as amended and supplemented by Law No. 56/2024/OH15 dated November 29, 2024;

Pursuant to Government Decree No. 155/2020/ND-CP dated December 31, 2020, detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Government Decree No. 245/2025/ND-CP dated September 11, 2025;

Pursuant to Decision No. 686/QĐ-BTC dated February 28, 2025, of the Minister of Finance, which stipulates the functions, tasks, powers, and organizational structure of the State Securities Commission;

Pursuant to Establishment and Operation License No. 116/GP-UBCK dated December 9, 2013, issued by the Chairman of the State Securities Commission to the Company, which includes MB Securities JSC.;

Pursuant to the application for adjusting the License for establishing and operating a securities business of MB Securities JSC.;

According to requested by the Head of the Securities Business Management Department.

DECISION:

Article 1. The License for Establishment and Operation No. 116/GP-UBCK dated December 9, 2013, issued by the Chairman of the State Securities Commission to MB Securities Joint Stock Company, is hereby amended as follows:

- Legal representative: Phan Phuong Anh
- Title: Chairman of the Board of Directors
- Date of birth: [REDACTED]
- Personal identification number: [REDACTED]

Article 2. This amended license is effective from the date of signing and is an integral part of the Establishment and Operation License No. 116/GP-UBCK dated December 9, 2013, issued by the Chairman of the State Securities Commission to MB Securities Joint Stock Company.

Article 3. The amended license is made in two (02) original copies: 01 copy for MB Securities Joint Stock Company; 01 copy stored at the State Securities Commission.

ON BEHALF OF CHAIRMAN
VICE CHAIRMAN

(signed and sealed)

Le Thi Viet Nga

Note: In the event of any discrepancies between the two languages versions of this Document, the Vietnamese version shall prevail.