

No. 14/CBTT-UXC.26

Can Tho, April 14, 2026

## **INFORMATION DISCLOSURE**

To: - **The State Securities Commission.**  
- **Hanoi Stock Exchange.**

1. Name of organization: **Utxi Aquatic Products Processing Corporation**

- Stock Code: UXC

- Head office address: 24 Provincial Road 934, Ha Bo Hamlet, Tai Van Commune, Can Tho City, Vietnam.

- Phone: (0299) 3851.367 - 3852953 Fax: (0299) 3852.676 - 3852952

2. Information disclosure person: Chiem Hai Hoa – Authorized person for disclosure

3. Type of information disclosure: ☐ periodic ☒ unusual ☒ 24 hours ☐ upon request

4. Content of the disclosure: On April 14, 2026, Utxi Aquatic Products Processing Corporation received Official Letter No. 2750/UBCK-GSDC dated April 09, 2026 from the State Securities Commission on the notice of not meeting the public company conditions for Utxi Aquatic Products Processing Corporation (UXC).

5. This information was published on the website of Utxi Aquatic Products Processing Corporation on April 14, 2026, at the address: <http://utxi.com.vn>, under the Investor Relations section.

We hereby certify that the information disclosed above is true and take full legal responsibility for the content of the disclosed information.

### **Attachments:**

- Official Letter No. 2750/UBCK-GSDC dated April 09, 2026 from the State Securities Commission.

### **Recipients:**

- As above;
- Board of Directors, Executive Board;
- Supervisory Board
- Filling: Office

### **Institutional Representative**

Persons authorized to disclose information



**CHIEM HAI HOA**

MINISTRY OF FINANCE  
STATE SECURITIES COMMISSION  
OF VIETNAM

SOCIALIST REPUBLIC OF VIETNAM  
Independence – Freedom – Happiness

No: 2750/UBCK-GSĐC

Hanoi, April 9, 2026



Re: Notification of non-fulfillment of  
conditions for public company status (UXC)

To: Utxi Aquatic Products Processing Corporation

The State Securities Commission (SSC) received Official Letter No. 04/TB-UXC.26 dated March 30, 2026 from Utxi Aquatic Products Processing Corporation (the Company) regarding failure to meet the conditions for public company status in terms of equity capital as stipulated by the Securities Law. On this matter, the SSC provides the following opinion:

Based on the audited financial statements for 2025 signed on December 25, 2025, the Company has equity capital of **-90,346,066,991 VND**. Therefore, it does not meet the condition for public company status regarding equity capital as stipulated at Point a, Clause 1, Article 32 of the Securities Law No. 54/2019/QH14, as amended and supplemented under Clause 11, Article 1 of Law No. 56/2024/QH15, and falls under the case of being subject to cancellation of public company status as stipulated in Clause 1, Article 38 of the Securities Law 2019, as amended and supplemented at Point a, Clause 15, Article 1 of Law No. 56/2024/QH15.

After one year from the date of failing to meet the conditions as stipulated above (March 25, 2026), the Company still does not meet the conditions of a public company. The SSC requests the Company to submit the application for cancellation of public company status to the SSC in accordance with Article 39 of the Securities Law No. 54/2019/QH14, as amended and supplemented under Clause 16, Article 1 of Law No. 56/2024/QH15, to carry out the procedures for cancellation of public company status. In case the Company continues to meet the conditions of a public company, it is responsible for reporting to the SSC and disclosing information as stipulated. The Company must fully comply with all regulations related to public companies until the SSC issues a notice of cancellation of public company status.

The Board of Directors and the Legal Representative of the Company are fully responsible before the law for the legality, accuracy, truthfulness, and completeness of the dossier provided to the SSC in accordance with Clause 4, Article 1, Law No. 56/2024/QH15.

The SSC hereby notifies the Company for acknowledgment and implementation./.

**Recipients:**

- As above;
- Chairman (for reporting);
- Archive: Office, Department of Public Company Supervision (04b).

**FOR THE CHAIRMAN  
HEAD OF PUBLIC COMPANY  
SUPERVISION DEPARTMENT**

*Signed and sealed*

**Tran Tien Dung**

**Note:** This English version is translated from the original Vietnamese document. In case of any discrepancies in content, the Vietnamese version shall be the official and legally binding version for resolution.