

**THAI HA BRIDGE BOT JOINT  
STOCK COMPANY**

**SOCIALIST REPUBLIC OF VIETNAM**  
**Independence – Freedom – Happiness**

No: 1304/2026/CV-BOT

Hung Yen, April 13, 2026

Re: Explanation of reasons for BOT  
shares being subject to restricted trading  
and remedial measures

**To: State Securities Commission Hanoi Stock Exchange**

Thai Ha Bridge BOT Joint Stock Company respectfully sends greetings to your esteemed agencies.

In implementation of Decision No. 224/QD-SGDHN dated March 26, 2026 of the Hanoi Stock Exchange regarding the continued restricted trading status of BOT shares of the Company, we hereby provide the following explanation:

**1. Reasons for shares being subject to restricted trading**

- The audited financial statements for 2025 were issued, but the auditing organization refused to give an opinion.
- The 2025 financial statements show negative equity, reflecting the Company's difficult financial situation.
- The Company has submitted a written explanation together with the 2025 audited financial statements, which has been disclosed in accordance with regulations.

**2. Remedial measures**

To address the above situation, the Company has been implementing the following solutions:

- Closely coordinating with the auditing unit to review and supplement missing records and documents to ensure transparency and completeness of the financial statements.
- Developing a financial restructuring plan, including reviewing the investment portfolio, optimizing costs, and proposing effective capital utilization measures to improve equity.
- Working with competent State authorities to resolve obstacles related to the Thai Ha Bridge BOT project, thereby enhancing operational efficiency and capital recovery capacity.
- Committing to fully and promptly disclose information in accordance with the law to ensure investor rights and market transparency.

**3. Petition**

The Company respectfully requests the Hanoi Stock Exchange and the State Securities Commission to consider and create favorable conditions for the Company to have time



to implement remedial measures, aiming to improve its financial situation and soon have the restricted trading status lifted.

Respectfully, thank you!

**Recipients:**

As above;

Company archive

**THAI HA BRIDGE BOT JOINT STOCK COMPANY  
CHAIRMAN OF THE BOARD OF DIRECTORS**



**CHỦ TỊCH HĐQT**

*Ngô Tiến Cường*

