

**MINISTRY OF FINANCE  
STATE SECURITIES COMMISSION**

**SOCIALIST REPUBLIC OF VIETNAM  
Independence - Freedom - Happiness**

No.: 2604/UBCK-GSDC

*Ha Noi, April 3<sup>rd</sup>, 2026*

Regarding the notification of failure to meet  
the public company requirements of  
shareholder structure of Transportation And  
Trading Services Joint Stock Company

To: Transportation And Trading Services Joint Stock Company

Pursuant to the Law on Securities No. 54/2019/QH14 dated November 26<sup>th</sup>, 2019, as amended and supplemented under Article 1 of Law No. 56/2024/QH15 dated November 29<sup>th</sup>, 2024 amending and supplementing a number of articles of the Law on Securities, Law on Accounting, Law on Independent Audit, Law on State Budget, Law on Management and Use of Public Property, Law on Tax Administration, Law on Personal Income Tax, Law on National Reserves, and Law on Penalties for Administrative Violations (Law No. 56/2024/QH15);

Pursuant to Circular No. 19/2025/TT-BTC dated May 05<sup>th</sup>, 2025 on registration of public companies, cancellation of public company status, audited reports on contributed charter (Circular No. 19/2025/TT-BTC);

Pursuant to Official Letter No. 07/TB-TJC dated March 04<sup>th</sup>, 2026 of Transportation And Trading Services Joint Stock Company (the “Company”/HNX: TJC) disclosing information on no longer satisfying the conditions of a public company, the State Securities Commission (SSC) provides the following opinion:

Transportation And Trading Services Joint Stock Company does not meet the conditions of a public company as prescribed at Point a, Clause 1, Article 32 of the Law on Securities No. 54/2019/QH14, as amended and supplemented by Point a, Clause 11, Article 1 of Law No. 56/2024/QH15, because the proportion of voting shares does not meet the requirement that at least 10% of the voting shares must be held by at least 100 investors who are not major shareholders as of the record date of February 23<sup>rd</sup>, 2026 (*the Company has 268 shareholders who are not major shareholders holding 8.78% of the voting shares, which is lower than the minimum threshold of 10% as prescribed*).

The Company is requested to continue monitoring its compliance with the conditions of a public company, after 01 year from the record date of February 23<sup>rd</sup>, 2026:

- In the event that the Company still fails to meet the conditions of a public company as prescribed at Point a, Clause 1, Article 32 of the Law on Securities No. 54/2019/QH14, as amended and supplemented by Point a, Clause 11, Article 1 of Law No. 56/2024/QH15, the Company is requested to submit a dossier for deregistration of public company status in accordance with Clause 2, Article 8 of Circular No. 19/2025/TT-BTC to the State Securities Commission to carry out the procedures for deregistration of public company status.

- In the event that the Company continues to fully satisfy the conditions of a public company, it shall be responsible for reporting to the State Securities Commission (SSC) and fully complying with its information disclosure obligations in accordance with regulations.

The Company shall be responsible for fully complying with all regulations applicable to public companies until the SSC issues a notice on the deregistration of the Company's public company status in accordance with Point a, Clause 1, Article 8 of Circular No. 19/2025/TT-BTC.

The Board of Directors and the Legal Representative of the Company shall bear full responsibility before the law for the legality, accuracy, truthfulness, and completeness of the dossier submitted to the SSC in accordance with Clause 4, Article 1 of Law No. 56/2024/QH15.

The SSC hereby notifies the Company for its information and implementation.

***Recipients:***

- As above;
- Chairman (for reporting);
- Archived: VT, Public Company  
Supervision Department (04b).

**P.P CHAIRMAN  
HEAD OF PUBLIC COMPANY SUPERVISION  
DEPARTMENT**

*(Signed)*

**Tran Tien Dung**