

Số/No: **521**/2026/SHS-CV

Hà Nội, ngày **17** tháng 4 năm 2026
Hanoi, April **17**, 2026

THÔNG BÁO THAY ĐỔI NHÂN SỰ
CHANGE IN PERSONNEL

Kính gửi: Sở Giao dịch Chứng khoán Việt Nam/ Sở Giao dịch Chứng khoán Hà Nội/ Sở
Giao dịch Chứng khoán Thành phố Hồ Chí Minh

To: *Vietnam Stock Exchange/ Hanoi Stock Exchange/ Hochiminh Stock Exchange*

Căn cứ theo Nghị quyết số 01/2026/NQ-ĐHĐCĐ ngày 17/04/2026 của Đại hội đồng Cổ đông Công ty Cổ phần Chứng khoán Sài Gòn – Hà Nội (Công ty/SHS) chúng tôi trân trọng thông báo việc thay đổi nhân sự của SHS như sau:

Based on the Resolution No.01/2026/NQ-ĐHĐCĐ dated April 17, 2026 of the General Meeting of Shareholders of Saigon - Hanoi Securities JSC (The Company/SHS) we would like to announce the change in SHS personnel as follows:

Trường hợp bổ nhiệm/In case of appointment (*):

- Ông: **NGUYỄN DUY LINH (Mr. NGUYEN DUY LINH)**
- Chức vụ trước khi bổ nhiệm: **Tổng Giám đốc – Người đại diện theo pháp luật**
Former position in the organization: Chief Executive Officer – Legal Representative
- Chức vụ được bổ nhiệm: **Thành viên Hội đồng Quản trị kiêm Tổng Giám đốc – Người đại diện theo pháp luật**
Newly appointed position: Member of the Board of Directors cum Chief Executive Officer – Legal Representative
- Thời hạn bổ nhiệm/Term: Từ ngày 17/04/2026/ Since April 17, 2026
- Ngày bắt đầu có hiệu lực/Effective date: **17/04/2026 (April 17, 2026)**

Trường hợp miễn nhiệm/từ nhiệm/In case of dismissal/resignation:

- Ông: **NGUYỄN CHÍ THÀNH (Mr.: NGUYEN CHI THANH)**
- Không còn đảm nhận chức vụ: **Thành viên Hội đồng Quản trị**
Dismissed/Resigned position: Member of the Board of Directors
- Lý do miễn nhiệm (nếu có): Theo Nghị quyết số 01/2026/NQ-ĐHĐCĐ ngày 17/04/2026 của Đại hội đồng Cổ đông SHS.
Reason (if any): Based on the Resolution No.01/2026/NQ-ĐHĐCĐ dated April 17, 2026 of SHS General Meeting of Shareholders.
- Ngày bắt đầu có hiệu lực: 17/04/2026

Effective date: April 17, 2026

Thông tin này đã được công bố trên trang thông tin điện tử của công ty vào ngày **17**/04/2026 tại đường dẫn.

This information was published on the company's website on April 17, 2026, as in the link.

<https://www.shs.com.vn/cong-bo-thong-tin/cbtt-ve-viec-thay-doi-thanh-vien-hoi-dong-quan-tri>

Tài liệu đính kèm:

Attached documents:

- Nghị quyết số 01/2026/NQ-ĐHĐCĐ;
The Resolution no.01/2026/NQ-ĐHĐCĐ.

Đại diện tổ chức/Organization representative

Người đại diện theo pháp luật/

Legal representative

Tổng Giám đốc/Chief Executive Officer



NGUYỄN DUY LINH

RESOLUTION

ANNUAL GENERAL MEETING OF SHAREHOLDERS 2026

(19th Annual General Meeting)

THE GENERAL MEETING OF SHAREHOLDERS SAIGON – HANOI SECURITIES JOINT STOCK COMPANY

Pursuant to:

- The Law on Enterprises No. 59/2020/QH14 and Law No. 03/2022/QH15 dated January 11, 2022, and the relevant amending, supplementing and guiding documents for implementation;
- The Law on Securities No. 54/2019/QH14 dated November 26, 2019, and the relevant amending, supplementing and guiding documents for implementation;
- The Government's Decree No. 155/2020/NĐ-CP dated December 31, 2020, and the relevant amending, supplementing and guiding documents for implementation;
- The applicable Charter of Saigon – Hanoi Securities Joint Stock Company;
- The Minutes of the Annual General Meeting of Shareholders 2026 (19th Annual General Meeting) no. 01/2026/BBH-ĐHĐCĐ dated April 17, 2026 of Saigon – Hanoi Securities Joint Stock Company.

HEREBY RESOLVES:

- Article 1:** Approval of the Report on the activities of the Board of Directors (BOD) in 2025 and Board Members in 2025 and Direction for 2026.
- Article 2:** Approval of the Report on the activities of the Independent BOD's Member in the Audit Committee and the BOD's activities in 2025.
- Article 3:** Approval of the Audited Financial Statements for Fiscal Year 2025 (as presented in Proposal No. 01/2026/TTr-ĐHĐCĐ).
- Article 4:** Approval of the 2025 Fund appropriation and profit distribution Plan (as presented in Proposal No. 02/2026/TTr-ĐHĐCĐ).
- Article 5:** Approval of the Business Operating Plan for 2026 (as presented in Proposal No. 03/2026/TTr-ĐHĐCĐ).
- Article 6:** Approval of the Plan for issuance/offering of shares to increase charter capital



in 2026 (as presented in Proposal No. 04/2026/TTr-DHĐCĐ), including:

- (1) Approval of the share issuance plan to increase share capital from equity sources and authorization for the BOD to implement the plan.
- (2) Approval of the private placement share offering plan and authorization for the BOD to implement the plan.
- (3) Approval of the share issuance plan under the Employee Stock Ownership Plan (ESOP) and authorization for the BOD to implement the plan.

Article 7: **Approval of the selection of Independent auditing firm for the fiscal year 2026** (as set out in Proposal No. 05/2026/TTr-DHĐCĐ).

Article 8: **Approval of entering into and performance of contracts, agreements and transactions with related parties** (as presented in Proposal No. 06/2026/TTr-DHĐCĐ).

Article 9: **Approval of the budget, total remuneration, bonuses, allowances and other benefits for the BOD for fiscal year 2026** (as presented in Proposal No. 07/2026/TTr-DHĐCĐ).

Article 10: **Approval of amendments to the Company's Charter and Internal regulations under the authority of the General Meeting of Shareholders** (as presented in Proposal No. 08/2026/TTr-DHĐCĐ), including:

Approval of the full text of the following documents to replace the corresponding current documents:

- (1) The Company's Charter and the Summary table of key amended and supplemented contents and proposed amendments to the Charter;
- (2) Regulation on the Organization and Operation of the BOD;
- (3) Internal Regulation on Corporate Governance.

Article 11: **Approval of the Provision of Clearing and Settlement services for securities transactions through a Central Counterparty and the Offering of financial products** (as set out in Proposal No. 09/2026/TTr-DHĐCĐ).

Article 12: **Approval of the dismissal of Mr. Nguyen Chi Thanh from the position of BOD's Member and the election of an additional BOD's Member for the 2022–2027 term** (as set out in Proposal No. 10/2026/TTr-DHĐCĐ).

Article 13: **Approval of the appointment of Mr. Nguyen Duy Linh** (Citizen Identification No. _____, issued by the Police Department for Administrative Management of Social Order on March 26, 2024) **as a BOD's Member for the 2022–2027 term, effective from April 17, 2026.**

Article 14: This Resolution shall take effect from April 17, 2026.

The Meeting Minutes, Reports and Proposals presented at the Annual General Meeting of Shareholders 2026 shall constitute integral parts of the Resolution of the Annual General Meeting of Shareholders 2026 of Saigon – Hanoi Securities Joint Stock Company.

The Board of Directors, the Board of Management, all Shareholders, and relevant Units and individuals of Saigon – Hanoi Securities Joint Stock Company shall be responsible for the implementation of this Resolution.

**ON BEHALF OF
THE GENERAL MEETING OF SHAREHOLDERS
CHAIRMAN OF THE BOARD OF DIRECTORS**

Recipients:

- As stated in Article 14;
- Information Disclosure;
- Archived: BOD's Office



DO QUANG VINH

