

No: 29./2026/CV-APS

Ha Noi, April, 17<sup>th</sup> 2026

**Periodic information disclosure - Financial report**

**To:** - Vietnam Stock Exchange;  
- Hanoi Stock Exchange;  
- Ho Chi Minh Stock Exchange;  
- State Securities Commission of Vietnam.

In accordance with the provisions of Clause 3, Article 14 of Circular No. 96/2020/TT-BTC dated November 16, 2020, of the Ministry of Finance guiding information disclosure on the securities market, Asia-Pacific Securities Joint Stock Company (APS) hereby discloses Financial Statements for the first quarter of 2026 to the State Securities Commission and the stock exchanges as follows:

1. Name of the organization: Asia Pacific Securities Joint Stock Company

- Stock code: APS
- Address: 3rd floor, Grand Plaza building, 117 Tran Duy Hung, Yen Hoa Ward, Hanoi City.
- Tel: 024.3573.0200

2. Explanation content

- Financial Statements for the first quarter of 2026

☒ Separate financial statements (the parent company does not have subsidiaries and the parent company's accounting unit does not have affiliated entities);

☐ Consolidated financial statements (the parent company has subsidiaries);

☐ Combined financial statements (the parent company has an accounting unit directly under its organizational structure with separate accounting systems).

- Cases that require an explanation of the reasons:

+ The auditing organization provides a non-unqualified opinion on the financial statements (for the audited financial statements of 2026):

☐ Yes ☐ No

The explanation letter in cases of inclusion is as follows:

☐ Yes ☐ No

+ The net profit after tax in the reporting period shows a difference of 5% or more before and after the audit, reversing from a loss to a profit or vice versa (for the audited financial statements of 2026):

☐ Yes ☐ No

The explanation letter in cases of inclusion is as follows:

☐ Yes ☐ No

+ The net profit after corporate income tax in the income statement for the reporting period changes by 10% or more compared to the same period of the previous year:

☒ Yes ☐ No

The explanation letter in cases of inclusion is as follows:

☒ Yes ☐ No

+ The net profit after tax in the reporting period shows a loss, reversing from a profit in the same period of the previous year to a loss in this period, or vice versa:



☐ Yes

No

☐

The explanation letter in cases of inclusion is as follows:

☐ Yes

No

☐

This information has been published on the company's website on April, 17<sup>th</sup> 2026 at the link [www.apec.com.vn](http://www.apec.com.vn)

3. Report on transactions with a value of 35% or more of total assets in 2026.

In the event that the parent company has such transactions, please report all of the following details:.

- Transaction content: No incurrence
- Proportion of transaction value/total asset value of the company (%) (based on the most recent financial statements): No incurrence
- Transaction completion date: No incurrence

We hereby commit that the information disclosed above is true and accurate, and we fully take responsibility before the law for the content of the disclosed information..

*Attached documents:*

- Financial report
- Explanation letter

✓ **Representative of the organization**

*Legal representative/Authorized person for information disclosure*

*(Signature, full name, title, company seal)*



**Nguyen Duc Quan**



**ASIA PACIFIC SECURITIES JOINT  
STOCK COMPANY**

**Socialist Republic of Vietnam  
Independence - Freedom – Happiness**

No: 30./2026/CV-APS

*Ha Noi, April, 17<sup>th</sup> 2026*

**To: - Vietnam Stock Exchange;  
- Hanoi Stock Exchange;  
- Ho Chi Minh Stock Exchange;  
- State Securities Commission of Vietnam.**

1. Name of the organization: Asia Pacific Securities Joint Stock Company
  - Stock code: APS
  - Address: 3rd floor, Grand Plaza building, 117 Tran Duy Hung, Yen Hoa Ward, Hanoi City.
  - Tel: 1900 999986
2. Information Disclosure Content:
  - First Quarter 2026 Financial Report

This information has been published on the company's website on April 17<sup>th</sup> 2026 at the link [www.apec.com.vn](http://www.apec.com.vn).

***Attached documents:***

- Financial report
- Explanation letter

**ASIA PACIFIC SECURITIES JOINT STOCK COMPANY**



**TỔNG GIÁM ĐỐC**  
*Nguyễn Đức Quân*



**ASIA PACIFIC SECURITIES JOINT  
STOCK COMPANY**

**Socialist Republic of Vietnam  
Independence - Freedom – Happiness**

No: 34.../2026/CV-APS

Ha Noi, April 17<sup>th</sup> 2026

**To: - Vietnam Stock Exchange;  
- Hanoi Stock Exchange;  
- Ho Chi Minh Stock Exchange;  
- State Securities Commission of Vietnam.**

1. Name of the organization: Asia Pacific Securities Joint Stock Company
  - Stock code: APS
  - Address: 3rd floor, Grand Plaza building, 117 Tran Duy Hung, Yen Hoa Ward, Hanoi City.
  - Tel: 1900 9999 86
2. Explanation content:
  - The difference in profit after tax (PAT) is 10% or more compared to the same period of the previous year;

Unit: VND

ITEM		Quarter I of 2026	Quarter I of 2025	Deviation rate (%)
<b>I.</b>	<b>OPERATING REVENUE</b>			
1.1	Profit from financial assets at fair value through profit or loss (FVTPL)	8,568,794,650	18,502,836,665	-53.7%
1.2	Other revenue (including interest income from held-to-maturity investments, interest from loans and receivables, revenue from securities brokerage, securities depository services, and financial advisory activities)	6,850,612,262	5,029,862,718	36.2%
	<b>Total operating revenue</b>	<b>15,419,406,912</b>	<b>23,532,699,383</b>	<b>-34.5%</b>
<b>II.</b>	<b>OPERATING EXPENSES</b>			
2.1	Loss from financial assets recognized through profit/ loss (FVTPL)	47,099,871,430	49,229,921,411	-4.3%
2.2	Other operating expenses (including provision expenses for financial assets, securities brokerage expenses, securities depository service expenses, etc.)	1,780,328,468	1,656,518,830	7.5%
	<b>Total operating expenses</b>	<b>48,880,199,898</b>	<b>50,886,440,241</b>	<b>-3.9%</b>
<b>III.</b>	<b>GENERAL AND ADMINISTRATIVE EXPENSE</b>	<b>4,107,878,210</b>	<b>3,503,629,714</b>	<b>-17.2%</b>
<b>IV.</b>	<b>OPERATING RESULT</b>	<b>(37,560,401,746)</b>	<b>-30,862,718,696</b>	<b>-21.7%</b>
<b>V.</b>	<b>ACCOUNTING PROFIT AFTER TAX</b>	<b>(29,835,329,256)</b>	<b>-24,947,303,035</b>	<b>-19.6%</b>

Profit after tax for the first quarter of 2026 reached VND 29.8 billion, down 19.6% compared to the first quarter of 2025. The primary reason was the volatility in the financial market, which led to a decline in the market value of the financial assets held by the Company. As a result, gains from financial assets recognized through profit or loss amounted to only VND 8.5 billion, a decrease of 53.7% compared to the same period last year.

Although other revenues from lending and brokerage activities increased slightly to VND 6.8 billion, total operating revenue still declined significantly year-on-year, reaching VND 15.4 billion, equivalent to a decrease of 34.5%.

Regarding expenses, both operating expenses and administrative expenses decreased by 3.9% and 17.2%, respectively, compared to the first quarter of 2025. However, the reduction in expenses was not significant relative to the decline in total revenue, resulting in a 19.6% decrease in profit after tax for the first quarter of 2026 compared to the same period last year.

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**Attached documents:**

- Financial report
- Explanation letter

ASIA PACIFIC SECURITIES JOINT STOCK COMPANY



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*Nguyễn Đức Quân*

