

**SBS SECURITIES JOINT STOCK  
COMPANY**

*No: 06/2026/NQ-HĐQT*

**SOCIALIST REPUBLIC OF VIETNAM**

**Independence – Freedom – Happiness**

*Hochiminh City, April 28 2026*

**RESOLUTION THE BOARD OF DIRECTOR  
SBS SECURITIES JOINT STOCK COMPANY**

- *Based on the Enterprise Law No. 59/2020/QH14, passed by the National Assembly of the Socialist Republic of Vietnam on June 17, 2020;*
- *Based on the Securities Law No. 54/2019/QH14 passed by the National Assembly of the Socialist Republic of Vietnam on November 26, 2019, and its amendments and supplements;*
- *Based on Government Decree No. 155/2020/ND-CP dated December 31, 2020, detailing the implementation of several articles of the Securities Law and its amendments and supplements;*
- *Based on the Charter of SBS Securities Joint Stock Company;*
- *Based on Resolution No. 01/2025/NQ-ĐHĐCĐ dated June 16, 2025, of the Annual General Meeting of Shareholders;*
- *Based on the Minutes of the Board of Directors Meeting No. 06/2026/BBH-HĐQT dated April 28, 2026, regarding the results of the private placement of shares;*
- *Based on the actual situation;*

**RESOLUTION:**

**Article 1:** The private issuance offering, with a total offering value of VND 500,000,000,000 (Five hundred billion Vietnamese Dong), was unsuccessful because, at the time the offering ended, no investors deposited funds into the escrow account as per the issuance plan. Reason: Due to market fluctuations, investors were unable to secure the necessary financing to participate.

**Article 2:** The Board of Directors is tasked with carrying out the procedures and signing the relevant documents and paperwork concerning the unsuccessful outcome of the public offering.

**Article 3:** This resolution takes effect from the date of signing. Members of the Board of Directors, the Executive Board, and relevant Divisions, Departments, and Units are responsible for implementing this resolution.

**Recipients:**

- *As Article 3;*
- *Save BOD's office*

**On behalf of the board of the Directors**

**Chairman**



**PHAN QUOC HUYNH**