



AN BINH SECURITIES

JOINT STOCK COMPANY

No.: 40/NQ-HĐQT2026

THE SOCIALIST REPUBLIC OF VIETNAM

Independence – Freedom - Happiness

Hanoi, 3<sup>rd</sup> June 2026

## RESOLUTION OF THE BOARD OF DIRECTORS

*(Re: Approve the dossier for registration of the private placement of shares)*

### THE BOARD OF DIRECTORS OF AN BINH SECURITIES JOINT STOCK COMPANY

- Pursuant to the Law on Enterprises dated 17 June 2020, amended and supplemented on 11 January 2022 and 17 June 2025 ("*Law on Enterprises*") and its guiding documents;
- Pursuant to the Law on Securities dated 26 November 2019, amended and supplemented on 29 November 2024 ("*Law on Securities*") and its guiding documents;
- Pursuant to the Resolution No. 17/NQ-ĐHĐCĐ2026 dated 18<sup>th</sup> March 2026 of the General Meeting of Shareholders of An Binh Securities Joint Stock Company;
- Pursuant to the Resolution No. 24/NQ-ĐHĐCĐ2026 dated 20<sup>th</sup> April 2026 of the Board of Directors approve the dossier for registration of the private placement of shares
- Pursuant to the Minutes of the Meeting of the Board of Directors No 38 /BB-HĐQT2026 dated 03/06/ 2026,

### RESOLUTED:

**Article 1:** Approve the dossier for registration of the private placement of shares of An Binh Securities Joint Stock Company, including but not limited to the following documents:

- Application form for registration of private placement of shares No. 177/ABS-IB.26 dated 03/6/2026;
- Enterprise Registration Certificate No. 0102036848, third amendment dated 04<sup>th</sup> December, 2025;

- Amended License No. 126/GPĐC-UBCK dated 25<sup>th</sup> November 2025 issued to An Binh Securities Joint Stock Company;
- Resolution No. 17/NQ-ĐHĐCĐ2026 dated 18<sup>th</sup> March 2026 of the 2026 Annual General Meeting of Shareholders (including the charter capital increase plan);
- Resolution No. 23/NQ-HĐQT2026 dated 20/04/2026 of the Board of Directors approving the implementation of the private placement plan (including the list of investors);
- Pursuant to Resolution No. 37/NQ-HĐQT2026 dated 29<sup>th</sup> May 2026 of Board of Directors regarding the correction of Resolution No. 23/NQ-HĐQT2026 dated 20<sup>th</sup> April 20, 2026 of Board of Directors approving the implementation of the private placement plan;
- Undertaking No. 129/ABS-IB.26 dated 20/04/2026 regarding compliance with regulations on cross-ownership under the Law on Enterprises;
- Document No. 130/ABS-IB.26 dated 20/04/2026 regarding the separation of voting rights of persons having related interests in the share issuance;
- Confirmation Letter No. 1724/CNĐĐ-KHDNVVN dated 25/05/2026 issued by Vietnam Joint Stock Commercial Bank for Industry and Trade – Dong Da Branch regarding the opening of a blocked account for receiving subscription proceeds from the share offering (together with the relevant authorizations attached thereto);
- Document No. 165/ABS-IB26 dated 25/05/2026 regarding the provision of information confirming that the Company does not fall within the cases prohibited from expanding its business operations;
- Undertaking Letter No. 168/ABS-IB.26 dated 29/05/2026 relating to the shares privately offered by An Binh Securities Joint Stock Company in 2026;
- Resolution No. 39/NQ-HĐQT2026 dated 03/6/2026 of Board of Directors approving the detailed plan for the use of proceeds raised from the private placement of shares.

**Article 2: Assign/Authorize General Director to**

- Carry out all necessary procedures and execute relevant documents in connection with the Company's share offering registration dossier in compliance with applicable laws and regulations.
- Clarify and finalize the offering dossier in accordance with the plan approved by the General Meeting of Shareholders and the Board of Directors.



- Decide on the necessary documents to be submitted to competent authorities in relation to the offering registration dossier.
- Amend, supplement, and complete the dossier, and carry out all other necessary procedures to implement the offering plan in accordance with applicable laws; and
- Perform all other necessary tasks to complete the share offering.

### **Article 3: Effectiveness**

This Resolution shall take effect from the date of signing and supersede Resolution No. 24/NQ-HDQT2026 dated 20/04/2026 of Board of Directors.

Members of the Board of Directors, the Board of Management, the Chief Accountant, and relevant functional departments shall be responsible for the implementation of this Resolution./.

### **ON BEHALF OF THE BOARD OF DIRECTORS**

#### ***Recipients:***

- As stipulated in Article 3;
- SSC; HNX;
- Archived: VT.

**CHAIRMAN**

*(Signed)*

**Tran Viet Dung**

