

**MINISTRY OF FINANCE
STATE SECURITIES
COMMISSION**

**THE SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom - Happiness**

No.: 5251/UBCK-QLKD

Hanoi, June 10th 2026

*Re.: Private Placement Registration
Dossier of An Binh Securities Joint
Stock Company*

To: An Binh Securities Joint Stock Company

The State Securities Commission of Vietnam (SSC) has received the registration dossier for the private placement of shares of An Binh Securities Joint Stock Company (the “Company”) in accordance with the Application for Registration of Private Placement of Shares No. 177/ABS-IB.26 dated 03/06/2026. The SSC has the following comments:

1. Organizations and individuals participating in the preparation of dossiers, documents, and reports relating to securities activities and the securities market shall be legally responsible for the legality, accuracy, truthfulness, and completeness of such dossiers, documents, and reports. Organizations and individuals certifying such dossiers, documents, and reports shall be legally responsible, within the scope of their involvement, for the relevant dossiers, documents, and reports in accordance with Clause 1, Article 11a of the Law on Securities, as supplemented by Clause 4, Article 1 of Law No. 56/2024/QH15.

2. The SSC has published on official website the notice confirming receipt of a complete registration dossier for the Company’s private placement of shares. The offering shall be conducted in accordance with General Meeting of Shareholders Resolution No. 17/NQ-ĐHĐCĐ2026 dated 18/03/2026, Board of Directors’ Resolution No. 23/NQ-HĐQT2026 dated 20/04/2026, Board of Directors’ Resolution No. 37/NQ-HĐQT2026 dated 29/05/2026, Board of Directors’ Resolution No. 39/NQ-HĐQT2026 dated 03/06/2026, and the applicable laws and regulations in force.

3. Within ten (10) days from the completion of the offering, the Company is requested to submit to the SSC a Report on the Offering Results, together with a confirmation from the bank where the escrow account was opened regarding the amount of proceeds raised from the offering. The Company shall also disclose information on its website and on the

website of the Stock Exchange regarding the results of the offering in accordance with Clause 4, Article 48 of Decree No. 155/2020/ND-CP dated 31/12/2020 of the Government detailing the implementation of a number of articles of the Law on Securities.

The SSC hereby notifies the Company accordingly./.

Recipients:

- As stipulated above:
- Chairwoman;
- VNX, HNX, VSDC
- Archived: VT, QLKD (07b).

BY ORDER OF THE CHAIRWOMAN
ON BEHALF OF THE HEAD OF THE SECURITIES
BUSINESS MANAGEMENT DEPARTMENT
DEPUTY HEAD

(Signed)

Le Thi Mai